



**February 28, 2024**

**To:** Finance and Administration Committee

**From:** Darrell E. Johnson, Chief Executive Officer

**Subject:** Fiscal Year 2023-24 Second Quarter Grant Reimbursement Status Report

**Overview**

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the second quarter of fiscal year 2023-24, covering October through December 2023.

**Recommendation**

Receive and file as an information item.

**Discussion**

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with the capital programming policies approved by the Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current and closed grant agreements.

Fiscal Year (FY) 2023-24 SB 1 (Chapter 5, Statutes of 2017) State of Good Repair (SGR)

The Board authorized OCTA to utilize FY 2023-24 SB1 SGR funds, currently estimated to be approximately \$6.886 million, for the San Clemente track protection project, battery electric chargers, security gates, heating-ventilation unit replacement, and facility upgrades, modifications, and replacements projects.

Current Grant Agreements:

OCTA has 14 Federal Transit Administration (FTA) formula grant agreements and three FTA discretionary grant agreements.

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The 14 FTA formula grant agreements have a total federal amount of \$341.3 million. A total of \$230.2 million has been reimbursed, leaving a balance of approximately \$111.1 million. The balance of these FTA formula grant agreements will primarily fund bus operations, the OC Streetcar project, and rail rehabilitation projects.

The three FTA discretionary grant agreements have a total federal amount of \$151.1 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$134 million has been reimbursed, leaving a balance of \$17.1 million. The balance of these FTA discretionary grant agreements will primarily fund the OC Streetcar project and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$492.4 million. The total amount reimbursed through the second quarter under these grant agreements is \$364.2 million, leaving a balance of \$128.2 million. These grant agreements are summarized in Attachment A.

OCTA has 35 active State and Federal Highway Administration formula grant agreements that total \$268.6 million. The total amount reimbursed through the second quarter under these agreements is \$45.4 million, leaving a balance of \$223.2 million. These grant agreements are summarized in Attachment B.

In addition, OCTA has 21 active state discretionary grant agreements totaling approximately \$70.6 million. The total amount reimbursed through the second quarter under these other agreements is \$28.2 million, leaving a balance of \$42.4 million. These grant agreements are summarized in Attachment C.

This report activity is as of September 30, 2023, and any grants with a deadline between the quarter end and the date this report is published will be reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

### **Grant Agreements Pending Close-Out:**

There are two grant agreements totaling \$4.6 million that are pending close-out in the second quarter of FY 2023-24. All invoices for these grant agreements have been submitted to their external funding agency for reimbursement. These grant agreements are summarized in Attachment D.

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**Closed Grant Agreements:**

There were three grant agreements totaling \$66.2 million that were closed out in the second quarter of FY 2023-24.

These grant agreements are summarized in Attachment E.

**Summary**

This report provides an update of the grant agreement-funded activities for the second quarter of FY 2023-24, October through December 2023. Staff recommends this report be received and filed as an information item.

**Attachments**

- A. Second Quarter Grant Reimbursement Status Report, October through December 2023, Federal Transit Administration (FTA) Formula and Discretionary Grant Agreements
- B. Second Quarter Grant Reimbursement Status Report, October through December 2023, State and Federal Highway Administration Formula Grant Agreements
- C. Second Quarter Grant Reimbursement Status Report, October through December 2023, State Discretionary Grant Agreements
- D. Second Quarter Grant Reimbursement Status Report, October through December 2023, Grant Agreements Pending Close-out
- E. Second Quarter Grant Reimbursement Status Report, October through December 2023, Closed Grant Agreements

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