



**December 2, 2024**

**To:** Regional Transportation Planning Committee

**From:** Darrell E. Johnson, Chief Executive Officer

**Subject:** Comprehensive Transportation Funding Programs Semi-Annual Review – September 2024

A handwritten signature in blue ink, appearing to read "Darrell E. Johnson", is written over the "From:" line of the header.

**Overview**

The Orange County Transportation Authority recently completed the September 2024 semi-annual review of the Comprehensive Transportation Funding Programs projects. The review focused on the delivery status of Measure M2 grant-funded projects and allowed local jurisdictions to update project information and request modifications. Staff has evaluated the requests, and the recommended project adjustments are presented for Board of Directors' review and approval.

**Recommendations**

- A. Approve requested adjustments to the proposed Comprehensive Transportation Funding Programs projects.
- B. Authorize the Chief Executive Officer to negotiate and execute cooperative agreement amendments for applicable cooperative agreements.

**Background**

The Comprehensive Transportation Funding Programs (CTFP) is the mechanism that the Orange County Transportation Authority (OCTA) uses to administer and monitor funding for streets and roads (Projects O and Q), signal synchronization (Project P), transit (Projects S, U, V, and W), and water quality (Project X) programs. The CTFP guidelines provide local jurisdictions with comprehensive information for pursuing, administering, and delivering the various transportation funding grants. Projects awarded through the CTFP undergo a biannual review conducted by OCTA until the project is delivered and the grant closeout process is completed.

During each biannual review, OCTA meets with representatives from local jurisdictions to review the status of projects and consider project change requests. This process is known as the semi-annual review. The primary purpose of the semi-annual review process is to promote the timely use of Measure M2 (M2) grants awarded to support local projects. This is achieved by reviewing individual project status, assessing the continued viability of projects, addressing local jurisdiction concerns, confirming availability of local match funds, ensuring timely closeout of all projects funded through the CTFP, and addressing any other project-related issues or concerns. For this review period, local jurisdictions were required to submit status updates and requests for project changes by September 13, 2024.

### ***Discussion***

The September 2024 semi-annual review project adjustment requests include the following:

- 1 cancellation
- 5 timely use of funds extensions
- 2 scope changes
- 3 fund transfers
- 4 OCTA-initiated requests (technical adjustments)

Local jurisdictions identified several reasons justifying the need for project adjustments, including construction cost increases, supply chain delays, construction coordination challenges, and project design changes.

Staff is recommending Board of Directors' (Board) approval of the project adjustments listed above. Additional information on the 15 proposed adjustments is included in Attachment A, which outlines all local jurisdiction requests and Attachment B, which provides a narrative of the requests.

Staff is also requesting Board approval of four OCTA-initiated adjustments. These requests include one technical correction to a prior semi-annual review scope change to make the modification consistent with the intended scope reduction and three technical clarifications to confirm project phases have finalized closeout processes and are considered complete.

### **M2 CTFP Summary**

The M2 CTFP summary table provided below includes an update on the status of M2 CTFP-funded projects by phase and funding allocation amount. The table also documents programmatic changes that have occurred since the March 2024 semi-annual review.

<b>M2 CTFP Summary Table</b>				
<b>Project Status</b>	<b>March 2024 Semi-Annual Review</b>		<b>September 2024 Semi-Annual Review</b>	
	<b>Project Phases</b>	<b>Allocations (in millions)</b>	<b>Project Phases</b>	<b>Allocations <sup>1</sup> (after adjustments)</b>
Planned <sup>2</sup>	43	\$ 54.7	82	\$ 74.8
Started <sup>3</sup>	110	\$171.8	108	\$176.3
Pending <sup>4</sup>	120	\$133.4	115	\$127.4
Completed <sup>5</sup>	459	\$213.3	475	\$227.0
Cancelled <sup>6</sup>	74	\$ 82.8	74	\$ 82.8
Total	806	\$656.0	854	\$688.3

<sup>1</sup> Allocations in millions, subject to change pending final reconciliation.

<sup>2</sup> Planned - indicates that funds have not been obligated and/or are pending contract award.

<sup>3</sup> Started - indicates that the phase is underway, and funds are obligated.

<sup>4</sup> Pending - indicates that phase work is completed, and final report submittal/approval is pending.

<sup>5</sup> Completed - indicates that phase work is complete, the final report is approved, and final payment has been made.

<sup>6</sup> Cancelled - indicates that the phase work will not be completed, and project savings will be returned to the program.

\* Note: the project phase and allocations listed above are subject to frequent and regular changes due primarily to project status updates, final reconciliations, and project closeout processes.

**Key items to note are:**

- Since the inception of M2, OCTA has programmed \$688.3 million in competitive funds, including approximately \$37.8 million in state and federal funds.
- As of the drafting of this report, 590 individual project phases (115 pending plus 475 completed from the table) have been completed. This represents a 76 percent project delivery rate (excluding cancelled projects), for all projects that have been granted funds.
- Another 153 project phases, or 24 percent, are considered currently active (108 started and 82 planned).

Based on the CTFP guidelines, the recommended project adjustments identified in this staff report are appropriate and necessary. The local agency-requested adjustments have also been reviewed and approved by the OCTA Technical Advisory Committee.

**Next Steps**

Pending approval by the Board, staff will monitor the implementation of the actions included in this report through future semi-annual review cycles, typically reported biannually.

**Summary**

Consistent with the semi-annual review process, staff has reviewed all active M2 CTFP-funded project phases and is recommending approval of all proposed project adjustments.

**Attachments**

- A. Comprehensive Transportation Funding Programs, September 2024 Semi-Annual Review Adjustment Requests
- B. Comprehensive Transportation Funding Programs, September 2024 Semi-Annual Review Adjustment Request Descriptions

**Prepared By:**



Stephanie Mooney  
Transportation Funding Analyst  
(714) 560-5905

**Approved By:**



Kia Mortazavi  
Executive Director, Planning  
(714) 560-5741