



Orange County Transportation Authority

Legislation Details (With Text)

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Title: Administrative Controls Related to Conflict of Interest Code and California Form 700 Statement of Economic Interests, Internal Audit Report No. 21-510

Sponsors: Serena Ng, Janet Sutter

Indexes:

Code sections:

Attachments: 1. Transmittal, 2. Staff Report, 3. Attachment A

Date	Ver.	Action By	Action	Result
7/28/2021	1	Finance and Administration Committee	approved and passed	Pass

Administrative Controls Related to Conflict of Interest Code and California Form 700 Statement of Economic Interests, Internal Audit Report No. 21-510

The Internal Audit Department of the Orange County Transportation Authority has completed an audit of administrative controls related to the Conflict of Interest Code and California Form 700 Statement of Economic Interests filing process. Based on the audit, internal controls related to coordination of California Form 700 Statement of Economic Interests by designated employees are in place; however, the Internal Audit Department recommended a process be established for evaluation and identification of contractors as designated filers, and improvements be made in the identification and reporting of designated positions and notification of leaving office filing requirements.

Direct staff to implement three recommendations provided in Administrative Controls Related to Conflict of Interest Code and California Form 700 Statement of Economic Interests, Internal Audit Report No. 21-510.