



# Orange County Transportation Authority

## Legislation Details (With Text)

**File #:** 18-1179  
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**In control:** Finance and Administration Committee  
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**Final action:** 4/23/2018  
**Title:** Agreement for Financial Advisory Services  
**Sponsors:** Kirk Avila, Andrew Oftelie  
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**Attachments:** 1. Transmittal, 2. Staff Report, 3. Attachment A, 4. Attachment B, 5. Attachment C

Date	Ver.	Action By	Action	Result
4/23/2018	1	Board		
4/11/2018	1	Finance and Administration Committee		

### Agreement for Financial Advisory Services

The Treasury/Public Finance Department of the Orange County Transportation Authority relies on the services of a financial advisory firm to provide assistance with new debt issuances, ongoing reporting requirements of the Transportation Infrastructure Finance and Innovation Act loan, assistance with the investor relations program, and investment advisory advice on an as-needed basis. Proposals were received in accordance with the Orange County Transportation Authority's procurement procedures for professional and technical services. Board of Directors' approval is requested to select a firm to provide financial advisory services.

- A. Approve the selection of Sperry Capital Inc., as the firm to provide financial advisory services.
- B. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-7-2137 between the Orange County Transportation Authority and Sperry Capital Inc., in the amount of \$495,500, for a three-year initial term through April 30, 2021, with one, two-year option term, to provide financial advisory services.