



**November 22, 2021**

**To:** Members of the Board of Directors  
**From:** Darrell E. Johnson, Chief Executive Officer  
**Subject:** Conflict of Interest Code and 2021 Annual Statement of Economic Interests Filing

### **Overview**

Pursuant to the Orange County Transportation Authority's Conflict of Interest Code, Members of the Board of Directors and designated positions are required to file a Statement of Economic Interests - Form 700.

### **Recommendations**

- A. Approve the list of designated positions and disclosure categories subject to the Orange County Transportation Authority's Conflict of Interest Code.
- B. Direct the Clerk of the Board to distribute and monitor the 2021 annual Statement of Economic Interests - Form 700 to Members of the Board of Directors and designated positions, to be filed by April 1, 2022.

### **Background**

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to review its designated positions and disclosure categories to determine accuracy to date.

### **Discussion**

The Orange County Transportation Authority's (OCTA) Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, and Chief Financial Officer/Treasurer are required to file an annual Statement of Economic Interests - Form 700 (Form 700) with the County of Orange Clerk of the Board of Supervisors (Attachment A).

OCTA's other designated positions are required to file a Form 700 with OCTA's Clerk of the Board (Attachment B). The People and Community Engagement Division and OCTA's General Counsel reviewed and made changes, as needed, to the designated positions list and standard disclosure categories (Attachment C).

OCTA's Clerk of the Board shall forward the electronic or original Form 700s of the Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, and Chief Financial Officer/Treasurer to the County of Orange Clerk of the Board of Supervisors.

OCTA's Clerk of the Board shall retain the electronic or original Form 700s submitted by all other designated positions. The California Fair Political Practices Commission's filing deadline for the 2021 annual Form 700 statement is April 1, 2022.

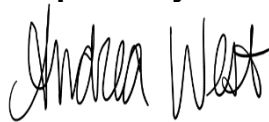
***Summary***

The Board of Directors annually reviews and approves the list of designated positions and disclosure categories subject to OCTA's Conflict of Interest Code.

***Attachments***

- A. Conflict of Interest Code for the Orange County Transportation Authority (November 22, 2021)
- B. Orange County Transportation Authority Designated Positions and Disclosure Category (November 22, 2021)
- C. Orange County Transportation Authority Disclosure Categories (November 22, 2021)

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