



May 12, 2021

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2020-21 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the third quarter of fiscal year 2020-21, covering January through March 2021.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with the capital programming policies approved by the OCTA Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one formula grant during the third quarter, which is summarized below.

OCTA executed a Federal Transit Administration (FTA) grant agreement to secure \$42.6 million in Section 5307 Flexible Funding Programs, Congestion Mitigation and Air Quality Program (CMAQ) funds. These funds are to be transferred from the Federal Highway Administration (FHWA) to the FTA for transit use. These funds will be used to provide design and construction services for the OC Streetcar Project, a maintenance and storage facility, ten new stations and 16 individual platforms, purchase of approximately eight streetcar vehicles, and the installation of signal priority.

Current Grant Agreements:

OCTA's FTA formula grant agreements total 15 and FTA discretionary grant agreements total four.

The 15 FTA formula grant agreements have a total federal amount of \$533.6 million. A total of \$252.4 million has been reimbursed, leaving a balance of approximately \$281.2 million. The balance of these 15 FTA formula grant agreements will primarily fund the OC Streetcar Project, fixed-route bus procurements, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$162.9 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$56.6 million has been reimbursed, leaving a balance of \$106.3 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar Project and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$696.5 million. The total amount reimbursed through the third quarter under these grant agreements is \$308.9 million, leaving a balance of \$387.6 million. These grant agreements are summarized in Attachment A.

OCTA has 32 active state and Federal Highway Administration formula grant agreements that total \$224 million. The total amount reimbursed through the third quarter under these other agreements is \$101.1 million, leaving a balance of \$122.9 million. These grant agreements are summarized in Attachment B.

In addition, OCTA has 14 active state discretionary grant agreements total approximately \$34.8 million. A total of \$1.6 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$33.2 million. These grant agreements are summarized in Attachment C.

This report activity is as of March 31, 2021, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-Out:

There are three grant agreements totaling \$11.6 million that are pending close-out in the third quarter of fiscal year (FY) 2020-21. All invoices for these grant agreements have been submitted to their external funding agency for

reimbursement. OCTA is pending approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are four grant agreements totaling \$115.4 million that were closed out in the third quarter of FY 2020-21. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement-funded activities for the third quarter of FY 2020-21, January through March 2021. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2021, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2021, State and Federal Highway Administration Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2021, State Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2021, Grant Agreements Pending Close-out
- E. Third Quarter Grant Reimbursement Status Report, January through March 2021, Closed Grant Agreements

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