



**February 24, 2021**

**To:** Finance and Administration Committee

**From:** Darrell E. Johnson, Chief Executive Officer 

**Subject:** Fiscal Year 2020-21 Second Quarter Grant Reimbursement Status Report

### **Overview**

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the second quarter of fiscal year 2020-21, covering October through December 2020.

### **Recommendation**

Receive and file as an information item.

### **Discussion**

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by OCTA's Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current, pending close-out, and closed grant agreements.

#### **Current Grant Agreements:**

OCTA's Federal Transit Administration (FTA) formula grant agreements total 16, and FTA discretionary grant agreements total four.

The 16 FTA formula grant agreements have a total federal amount of \$598.3 million. A total of \$307.4 million has been reimbursed, leaving a balance of approximately \$290.9 million. The balance of these 16 FTA formula grant agreements will primarily fund the OC Streetcar, fixed-route bus procurements, Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$162.9 million, including the OC Streetcar full funding grant agreement award of \$148.9 million. A total of \$56.6 million has been reimbursed, leaving a balance of \$106.3 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$761.2 million. The total amount reimbursed through the second quarter under these grant agreements is \$363.9 million, leaving a balance of \$397.3 million. These grant agreements are summarized in Attachment A.

OCTA has 33 active state and Federal Highway Administration (FHWA) formula grant agreements that total \$232 million. The total amount reimbursed through the second quarter under these other agreements is \$108.9 million, leaving a balance of \$123.1 million. These grant agreements are summarized in Attachment B.

In addition, OCTA has 14 active state discretionary grant agreements that total approximately \$34.8 million. A total of \$1.3 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$33.5 million. These grant agreements are summarized in Attachment C.

This report activity is as of December 31, 2020, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

#### **Grant Agreements Pending Close-Out:**

There are three grant agreements totaling \$11.5 million that are pending close-out in the second quarter of FY 2020-21. All invoices for these grant agreements have been submitted to their external funding agency for reimbursement. OCTA is pending approval of close-out documentation. These grant agreements are summarized in Attachment D.

#### **Closed Grant Agreements:**

There are two grant agreements totaling \$7.9 million that were closed out in the Second Quarter of Fiscal Year (FY) 2020-21. These grant agreements are summarized in Attachment E.

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***Summary***

This report provides an update of the grant agreement-funded activities for the second quarter of FY 2020-21, October through December 2020. Staff recommends this report be received and filed as an information item.

***Attachments***

- A. Second Quarter Grant Reimbursement Status Report, October through December, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Second Quarter Grant Reimbursement Status Report, October through December, State and Federal Highway Administration Formula Grant Agreements
- C. Second Quarter Grant Reimbursement Status Report, October through December, State Discretionary Grant Agreements
- D. Second Quarter Grant Reimbursement Status Report, October through December, Grant Agreements Pending Close-Out
- E. Second Quarter Grant Reimbursement Status Report, October through December, Closed Grant Agreements

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