

ACTION PLAN - REVISED

ORANGE COUNTY TRANSPORTATION AUTHORITY

2020 BOARD AND CEO INITIATIVES

BALANCED & FORWARD THINKING



STEVE JONES
Chairman

DARRELL E. JOHNSON
Chief Executive Officer

2020 Board Initiatives

1. Comprehensive Mobility Solutions
2. Regional Leadership and Public Transparency
3. Resiliency, Sustainability and Innovation
4. Fiscal Accountability
5. Organizational Excellence

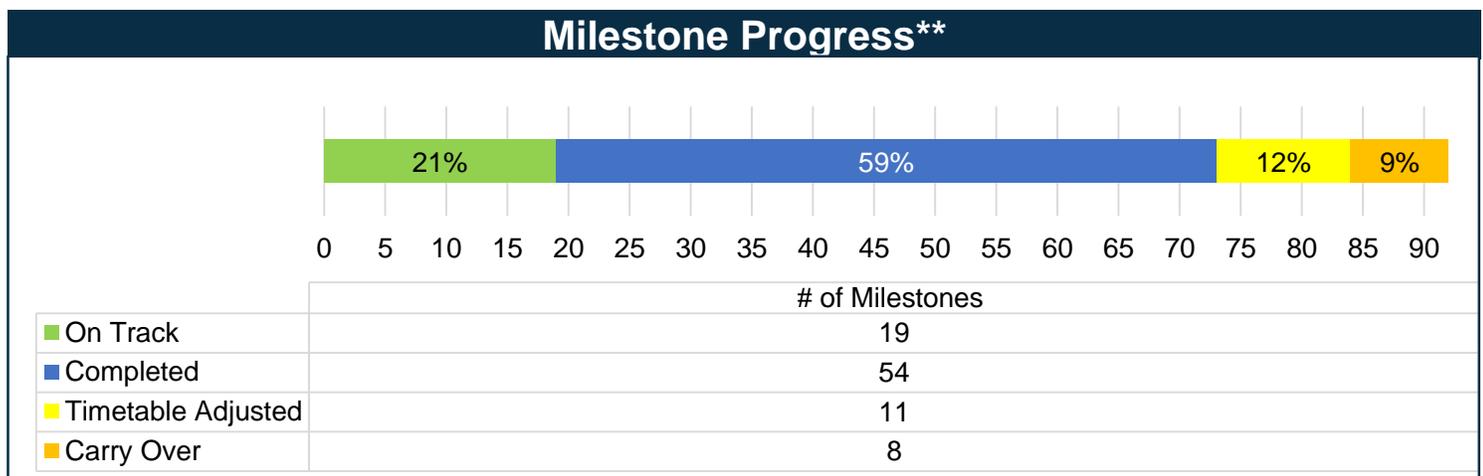
2020 CEO Initiatives

1. Enhance Transit Service Quality and Customer Experience
2. Deliver OC Go - Next 10 Plan Commitments
3. Collaborate with Local and Regional Partners
4. Ensure Public Accountability and Transparency
5. Advance Climate Resiliency and Sustainability
6. Promote and Deploy Innovative Technology
7. Champion Fiscal Responsibility
8. Strengthen Safety and Security Measures
9. Develop and Maximize Workforce Potential

2020 CEO Milestone Summary

Number of Milestones by Quarter		
Quarter Due	Original	Revised*
First Quarter	15	15
Second Quarter	30	31
Third Quarter	24	20
Fourth Quarter	28	26
TOTAL	97	92

* Explanation for revised milestones can be found on page 3.



**Due to rounding, total percentage may be greater than 100%.

Action Plan Review - Coronavirus Pandemic

On February 27, 2020, the Orange County Transportation Authority (OCTA) activated its Emergency Operations Center (EOC) and implemented its Emergency Operations Plan (EOP) in response to potential impacts from the coronavirus (COVID-19), which subsequently was declared a pandemic by the World Health Organization.

On March 4, 2020, Governor Newsom declared a State of Emergency to help the state prepare for a broader spread of COVID-19. On March 19, 2020, the Governor issued a stay-at-home order to protect the health and well-being of all California residents and slow the spread of COVID-19. Public transportation and infrastructure projects are designated by the state and federal governments as critical infrastructure projects. OCTA has taken steps to continue operations serving the public with essential transit service, capital project implementation, and is playing a vital role with the County of Orange EOC.

OCTA is closely monitoring risks and the financial implications of the pandemic including identifying any necessary changes to the OCTA budget, Measure M2 projects and program delivery and OCTA's bus operations. There is a great deal of uncertainty regarding the severity of the fiscal impact. OCTA is implementing proactive and strategic measures to ensure the health of the public and OCTA employees, supporting the County of Orange in the ongoing emergency response to the COVID-19 pandemic, and ensuring that short- and long-term agency programs can be successfully delivered. Due to the unprecedented pandemic, a review of the current Action Plan milestones was initiated to ensure they continue to reflect OCTA's needs and goals. Although our goals remain unchanged, timing of certain projects have been adjusted to allow OCTA to focus on management and recovery plans due to the health crisis. The tables on pages 3 and 4 summarize milestones that have been identified as impacted and require adjustments. These milestones along with their status will also be reflected in their respective assigned quarters and be designated with a "COVID-19" label identifying the change.

Milestones Adjusted

#	Project/ Program	Objective	Milestone	COVID-19 Impact
1.	Transportation Communications Union Collective Bargaining Agreement	Negotiate and Renew Agreement	Present parts clerks and facilities technicians agreement to Board - Q2	Current contract expired April 30, 2020. Negotiations continue. Current contract terms remain status quo. Timetable adjusted to Q3.
2.	Agency Climate Resiliency and Sustainability Practices	Perform Gap Analysis on Agency Sustainability Practices and Preparedness for Natural and Human Induced Hazards	Inventory agency-wide practices, including comparative analysis with peer agencies and present next steps for Plan development to ESC – Q2	Data collection and inventory processes to perform a thorough Gap Analysis have been limited. Timetable adjusted to Q4.
3.	Transportation Needs Assessment	Conduct Assessment to Gain Insight on Current and Potential Customers	Provide <i>results update</i> to Board - Q3	Assessment was put on hold in mid-March. Staff will conduct informal, qualitative surveys with current customers and diverse communities to seek feedback on priorities and needs. Milestone wording was modified and timetable adjusted to Q4.
4.	Credit Ratings	Maintain OCTA's Positive Credit Rating	Conduct annual rating agency <i>trip meeting</i> - Q3	A virtual meeting was still held. Milestone wording was modified.

Milestones Adjusted (Continued)

#	Project/ Program	Objective	Milestone	COVID-19 Impact
5.	OC ACCESS	Ensure Service Continuity	Present OC ACCESS Service Provider RFP to Board - Q2	The contractor requested a six-month extension of the current agreement, which will go to the Board in June. The existing milestone is timetable adjusted to Q4.
6.	Coach Operator Security	Enhance Security Measures for Coach Operators- Annual Security Update	Provide update in closed session to Board - Q1	COVID-19 has affected the ability to measure effectiveness of various security measures. The milestone wording was modified and the milestone is timetable adjusted to Q4.
7.	APTA Expo	Host International Conference	Lead efforts to host APTA Expo 2020 in Anaheim - Q4	Due to health and safety concerns amidst the ongoing COVID-19 pandemic, the APTA Expo has been rescheduled to allow the hosts more time to plan, prepare, and better organize a safer event for attendees. The existing milestone is carried over to Q1 of 2021.

Milestones Added

#	Project/ Program	Objective	Milestone	COVID-19 Impact
1.	COVID-19 Task Force	COVID-19 planning and response actions	Initiate the COVID-19 Task Force to develop plans and monitor timely implementation of necessary actions to mitigate organizational, employee and customer risk. Present COVID-19 After-Action Report to Board - Q4	Added new milestone to Q4.
2.	Post-COVID-19 Bus Service Plan	Restore appropriate levels of bus service post COVID-19	Present post-COVID-19 bus service plan to Board - Q2	Added new milestone to Q2.

Milestones Removed - To be reconsidered in 2021 as appropriate

#	Project/ Program	Objective	Milestone	COVID-19 Impact
1.	OC Bus 2.0	Develop Strategies for Service Improvement	Present Improvement Plan to Board - Q3	Milestone replaced with the added post-COVID-19 Bus Service Plan milestone.
2.	Bravo Service Enhancements	Develop BRAVO Enhancement Strategy	Create a speed and amenity task force and present recommendations to Board - Q3	Milestone superceded with the added post-COVID-19 Bus Service Plan milestone.
3.	Strategic Transit Technology Plan	Develop Road Map for Future Transit Technology Improvements	Initiate procurement for consultant services - Q3	Milestone superceded with the added post-COVID-19 Bus Service Plan milestone.
4.	Employee Rotation Program	Develop Employees through Job Rotation	Launch annual program to develop future leaders with a broadened understanding of OCTA - Q3	Program postponed to ensure a complete experience. Carryover to 2021.
5.	OCTA Innovation Ideas	Explore Innovation Opportunities through Inter-divisional Team Meetings	Present biannual updates to Board - Q2 and Q4	Staff resources focused on post-COVID-19 service recovery plans. This will be reconsidered in 2021.

Milestones Removed - To be reconsidered in 2021 as appropriate

#	Project/ Program	Objective	Milestone	COVID-19 Impact
6.	Agency Climate Resiliency and Sustainability Strategies	Create a Comprehensive Plan	Using information from the gap analysis completed in Q2, initiate procurement to develop a Climate Resiliency and Sustainability Plan – Q4	The Agency Climate Resiliency and Sustainability Practices milestone was timetable adjusted to Q4, this will be reconsidered in 2021.
7.	Treasury Functional Review (2019 Carryover – Revised)	Conduct an External Review of Treasury Functions	Initiate procurement for consultant services – Q4	Staff resources focused on COVID-19 review of debt and investment portfolios. This milestone will be removed. There is no imminent need; the latest Treasury audit had no findings.

FIRST QUARTER (Q1)

12 of 15 Completed - 80%

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
1.	Bus Fleet	Ensure Asset Preservation	Present 40-foot bus replacement RFP to Board - Q1	March 23, 2020 – presented to Board approval to release RFP.				
2.	OC ACCESS	Review OC ACCESS Service	Present results and recommendations from service delivery model study to ESC - Q1	February 24, 2020 - presented to ESC.				
3.	Orange County Rail Maintenance Facility (2019 Carryover)	Build a Local Rail Maintenance Facility	Begin environmental and preliminary design - Q1	April 22, 2020 - Contract to begin environmental and preliminary design executed.				
4.	San Juan Creek Railroad Bridge	Ensure Asset Preservation	Obtain environmental approval and initiate ROW acquisition to replace 100 year-old Railroad Bridge - Q1	March 26, 2020 - FTA issued environmental approval to initiate ROW acquisition.				
5.	I-5, SR-73 to El Toro Road (2019 Carryover)	Begin Construction	Initiate construction activities for Segment 1 on I-5 between SR-73 and Oso Parkway - Q1	January 15, 2020 - construction contract approved to initiate construction activities.				
6.	OC Streetcar (2019 Carryover)	Award Operations and Maintenance Contract	Present recommendation for contract award to Board - Q1	May 22, 2020 - presented to Board. Award of the contract				
7.	SR-91, SR-55 to SR-57	Begin Design	Present release of RFP for design of Segment 3 on SR-91 between Acacia Avenue to La Palma Avenue to Board - Q1	March 9, 2020 - presented release of RFP to Board.				
8.	Fare Collection System	Modernize Fare Collection System	Present Farebox replacement alternatives to Transit Committee - Q1	May 14, 2020 - presented award of the design contract to study farebox replacement to Transit Committee to better define scope and cost of modernizing OCTA's fare collection system.				

FIRST QUARTER (Q1) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
9.	OC Flex	Test New Transit Delivery Models	Provide an update with recommendations on next steps to Board - Q1	January 27, 2020 - update provided to Board with a recommendation to extend OC Flex to October 2020.				
10.	Signal Synchronization Technology	Future Proof Infrastructure	Initiate discussions with local agencies on advanced signal system improvements - Q1	February 11, 2020 - discussions were initiated at the Traffic Forum. Local agencies were interested, although many expressed concerns with aging infrastructure and resource availability.				
11.	Coach Operator Security	Annual Security Update	Provide update in closed session to Board - Q1	COVID-19 has affected the ability to measure effectiveness of various security measures. Staff requested additional law enforcement resources in the FY 21 budget to enhance security for coach operators. Anticipated to present update at the end of the year.				COVID-19
12.	Facility Security Camera System (2019 Carryover)	Secure All OCTA Facilities	Complete security camera installation - Q1	October 31, 2020 - anticipated to complete security camera installation. Delay is due to difficulties with fiber optic cabling and integration into software and additional safety submittals and coordination required.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

FIRST QUARTER (Q1) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
13.	Leadership Development Academy (LDA)	Provide Professional Development Programs	Select and launch the fourth cohort of the LDA to grow the next generation of leaders - Q1	January 16, 2020 - launched LDA with 15 participants. All 15 LDA participants continue the program. Class sessions are conducted virtually and are now three to four hours in length, as opposed to a full day. Job Shadowing will be postponed until it can be safely conducted in person. Due to COVID-19 delays, the program is scheduled to wrap up mid-year 2021.				
14.	Transit Master Plan	Seek Direction of Future Transit Options	Present prior Transit Master Plan for discussion to Transit Committee - Q1	Due to the inability to have in-person discussions, the Transit Master Plan will be delayed to 2021. There will now be two workshops, called "Future of Transit," that are anticipated to be held next year. This will be carried over to 2021.				
15.	M2 Eligibility - City of Santa Ana and City of Stanton	Ensure Timely Audit Of Expenditure Report and Maintenance of Effort (MOE)	Upon receipt, audit City of Santa Ana's and City of Stanton's Expenditure Reports and MOE requirements to bring recommendation at earliest meeting for action to Board - Q1	March 23, 2020 - presented audit findings to Board; April 13, 2020 - presented eligibility recommendations to Board.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

SECOND QUARTER (Q2)

26 of 31 Completed - 84%

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
1.	Fullerton Transportation Center Revitalization	Enhance Passenger Experience	Complete construction - Q2	May 22, 2020 - completed construction and Fullerton Transportation Center now reopen.				
2.	OC ACCESS	Ensure Asset Preservation	Present OC ACCESS vehicle replacement contract to Board - Q2	April 27, 2020 - presented vehicle replacement contract to Board.				
3.	OC ACCESS	Ensure Service Continuity	Present OC ACCESS Service Provider RFP to Board - Q2	October 12, 2020 - anticipated to present to Board. Due to COVID-19, the contractor requested a six-month extension of the current agreement. As a result, the milestone was timetable adjusted to Q4.				
4.	Anaheim Canyon Metrolink Station Improvement(2019 Carryover)	Initiate Construction for Passenger Enhancements	Present recommendation for construction contract award to Board - Q2	October 2020 - anticipated contract award to Board. There is an access easement needed from a private property that could hold up the release of plans for bid; alternative construction access options have been developed; ROW agreements are being negotiated and finalized.				
5.	Community-Based Transit Circulators	Fund Community Based Transit Options	Present recommendations for Transit Circulator projects (Project V) grant awards to Board - Q2	April 13, 2020 - presented recommendations for grant awards to Board.				
6.	I-5, El Toro Interchange	Seek Consensus on Project Alternative	Provide progress report on path forward based on input from the affected cities to Board - Q2	March 9, 2020 - provided progress report to Board.	Early Complete			
7.	I-5, I-405 to SR-55	Initiate Design Phase	Present release of RFP for design of Segment 2 on I-5 between Yale Avenue and SR-55 to Board - Q2	June 22, 2020 - RFP release presented to Board.				
8.	I-5, SR-73 to El Toro Road	Initiate Construction	Achieve Ready to List status for Segment 3 on I-5 between Alicia Parkway and El Toro Road - Q2	April 4, 2020 - achieved Ready to List status.				
9.	I-605/Katella Avenue Interchange	Begin Design	Present release of RFP for design consultant services to Board - Q2	April 13, 2020 - presented RFP release to Board.				

COVID-19

SECOND QUARTER (Q2) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
10.	Placentia Metrolink Station (2019 Carryover - Revised)	Provide Construction Start Progress	Provide update on agreements necessary to initiate construction to Transit Committee - Q2	August 10, 2020 - update to Board; August 3, 2020 - update to Executive Committee as part of quarterly Capital Action Plan Update. Approval to construct the project remains dependent on further negotiations and finalization of the Metrolink/BNSF Railway shared use agreement; invitation for construction bids is now anticipated for release in January 2021. This will be carried over to Q1 of 2021.				
11.	SR-55, I-405 to I-5	Complete Design	Submit 100 percent design plans for approval to Caltrans - Q2	April 29, 2020 - submitted to Caltrans.				
12.	SR-55, I-5 to SR-91	Complete Environmental	Submit final environmental document for approval to Caltrans - Q2	March 13, 2020 - submitted final environmental document to Caltrans. The Final Project Report was signed on March 30, 2020.	Early Complete			
13.	SR-91, SR-55 to SR-57	Begin Design	Present recommendation for award of design contract for Segment 2 on SR-91 between La Palma Avenue and SR-55 to Board - Q2	February 10, 2020 - presented recommendation to Board.	Early Complete			
14.	SR-91, SR-55 to SR-57 (2019 Carryover)	Complete Environmental	Submit final environmental document for approval to Caltrans - Q2	June 3, 2020 - submitted final environmental document to Caltrans.				
15.	Streets and Roads	Fund Streets and Roads Improvements	Present recommendations for RCP (Project O) and RTSSP (Project P) projects grant awards to Board - Q2	May 11, 2020 - presented recommendations for grant awards to Board.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

SECOND QUARTER (Q2) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
16.	Agency Climate Resiliency and Sustainability Practices	Perform Gap Analysis on Agency Sustainability Practices and Preparedness for Natural and Human Induced Hazards	Inventory agency-wide practices, including comparative analysis with peer agencies and present next steps for Plan development to ESC – Q2	Data collection and inventory processes to perform a thorough Gap Analysis have been limited. In some cases, face-to-face interaction with employees at the bus bases is necessary to obtain adequate feedback and information. This will be timetable adjusted to Q4.				COVID-19
17.	Zero-Emission Bus Roll Out	Prepare Roll Out Plan for Zero-Emission Bus Implementation	Present for adoption to Board - Q2	June 22, 2020 - presented to and approved by the Board.				
18.	Dynamic Digital Bus Stop Signage	Deploy Pilot Real Time Bus Digital Signs	Provide an interim update on implementation to ESC – Q2	June 8, 2020 - provided update to ESC.				
19.	Fullerton Park and Ride Joint Development	Opportunities for Public/Private Partnership to Increase Transit Interest	Present the results from the study for future consideration of joint development opportunities to Board – Q2	October 12, 2020 - anticipated to present to Board; October 8, 2020 - anticipated to present to Transit Committee.				
20.	Mobility On Demand	Support Mobility on Demand	Provide update on demand responsive services proposed through Project V to Board - Q2	April 13, 2020 - provided update on demand responsive services as part of Project V programming recommendations to Board.				
21.	OCTA's Operating and Capital Budget	Develop a FY 2020-21 Balanced Budget	Present a comprehensive balanced OCTA FY 2020 - 21 Budget for adoption to Board - Q2	June 8, 2020 - presented budget to Board. The FY 21 budget public hearing was held at the Board meeting on June 8.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

SECOND QUARTER (Q2) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
22.	Cybersecurity Training	Protect OCTA's Information Systems	Require completion of annual cybersecurity training for all employees - Q2	June 30, 2020 - cybersecurity training deadline for all employees.				
23.	Cybersecurity Training	Protect OCTA's Information Systems	Continue implementation of Cyber Security Roadmap and provide an update to Executive Committee - Q2	April 6, 2020 - provided update to Executive Committee.				
24.	Transit Police Services (TPS)	Protect OCTA Operations	Present contract for TPS to Board - Q2	July 13, 2020 - contract presented to Board. Adjusted due to delay in receipt of key documents.				
25.	Early Career Academy (ECA)	Provide Early Career Development to Grow New Professionals	Select and launch the second cohort of the ECA - Q2	Two of the original 11 ECA participants have left the organization. The program launched on June 15, 2020 virtually and continues monthly with virtual sessions. The program will now close in January 2021.				
26.	Personnel and Salary Resolution	Update Personnel Policies	Present recommendations to Board - Q2	June 8, 2020 - presented to Board with FY 21 OCTA budget.				
27.	Transportation Communications Union Collective Bargaining Agreement	Negotiate and Renew Agreement	Present parts clerks and facilities technicians agreement to Board - Q2	August 24, 2020 - agreement was reached with Transportation Communications Union and ratified by the Board.			COVID-19	
28.	Beach Boulevard Corridor Study	Study Corridor Transportation Improvement Options	Present draft final report to RPH - Q2	April 6, 2020 - presented draft final report to RPH; to Board on April 27, 2020.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

SECOND QUARTER (Q2) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
29.	I-5, Pico to San Diego County Line	Initiate the Environmental Phase to complete Orange County's High-Occupancy Vehicle Lane System	Provide update to Board - Q2	June 22, 2020 - RFP released for PA/ED services to Board; June 8, 2020 - PA/ED coop with Caltrans to Board.				
30.	SAFE Rule	Monitor Implementation of SAFE Rule	Provide an update to Legislative and Communications Committee - Q2	April 16, 2020 - provided an update to Legislative and Communications Committee.				
31.	Post-COVID-19 Bus Service Plan	Restore appropriate levels of bus service post COVID-19	Present post-COVID-19 bus service plan to Board - Q2	May 22, 2020 - presented June 2020 Bus Service Change to Board; August 2020 - anticipated October Service Plan to Board.		COVID-19		

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

THIRD QUARTER (Q3)

14 of 20 Completed - 70%

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
1.	Human Services Transportation Coordinated Plan	Coordinate Transportation Delivery Services	Present final plan to Board - Q3	This item has been delayed due to the impacts of COVID-19 on community and stakeholder outreach. November 23, 2020 - anticipated to present final plan to Board; November 12, 2020 - anticipated to present to Transit Committee.				
2.	I-405 Improvement Project (2019 Carryover)	Complete Possession of Property Rights	Complete ROW Phase (obtain legal possession) - Q3	July 22, 2020 - obtained legal possession.				
3.	Hazard Mitigation Plan	Develop a Plan of Actions	Issue an RFP for a Plan of Sustained Actions Needed to Reduce Risks to Life and Property from All Hazards - Q3	July 16, 2020 - kick-off meeting completed; June 30, 2020 - RFP awarded; May 12, 2020 - RFP issued.				
4.	Near Zero-Emission Engines	Install Cleanest CNG Engine Technology	Complete near zero-emission engine installation on 20 articulated buses - Q3	June 24, 2020 - Completed installation on 20 articulated buses.		Early Complete		
5.	Zero-Emission Battery-Electric Buses	Evaluate Large Bus (BRAVO) Battery-Electric Technology	Present release of vehicle procurement RFP to Board - Q3	April 27, 2020 – RFP release presented to Board.		Early Complete		
6.	Zero-Emission Bus Update	Report on Transit Technology	Present fleet and equipment performance update to Board - Q3	September 28, 2020 - provided update to Board.				
7.	Zero-Emission Relief Vehicles	Reduce Emissions From Coach Operator Relief Vehicles	Present request for purchase of zero-emission vehicles to Board - Q3	August 10, 2020 – approval for the purchase of zero-emission vehicles from the California Statewide contract presented and approved by the Board.				
8.	Express Lanes Network Study	Assess Freeway Operations Strategies	Present recommendations to RPH Committee - Q3	Delayed to December 2020 - anticipated to present recommendations to RPH Committee.				
9.	405 Express Lanes Back Office System	Implement Transaction Processing System	Present the RFP for back office system and customer service to Board - Q3	June 22, 2020 - RFP approved by the Board and released.		Early Complete		

THIRD QUARTER (Q3) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
10.	Credit Ratings	Maintain OCTA's Positive Credit Rating	Conduct annual rating agency meeting - Q3	June 18-19, 2020 - virtual meetings held. OCTA provided updates on major programs and projects and the challenges related to COVID-19 were highlighted. OCTA's conservative and strategic financial planning was discussed, in addition to OCTA's strong cash and reserve positions.		COVID-19		
11.	Express Lanes Roadside Assistance	Ensure Roadside Safety	Present release of SR-91 and I-405 Express Lanes Roadside Assistance RFP to Board - Q3	August 10, 2020 - release of RFP to Board.				
12.	Safety Management System	Ensure Operational Safety	Present the Public Transportation Agency Safety Plan (PTASP) to Board - Q3	May 11, 2020 - presented PTASP to Board for adoption.		Early Complete		
13.	Coach Operator Collective Bargaining Agreement	Negotiate and Renew Agreement	Present agreement to Board - Q3	June 8, 2020 - Agreement has been reached and ratified by Teamsters membership. Contract was approved in closed session.		Early Complete		
14.	Employee Health Insurance Renewal Programs	Secure Competitive Health Benefits	Present health insurance recommendations to Board - Q3	August 24, 2020 – presented recommendations to Board.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

THIRD QUARTER (Q3) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
15.	College Pass Program	Initiate Post Pilot Next Steps	Work with colleges to extend the pass program beyond the pilot phase for Santa Ana College and Rancho Santiago Community College District Continuing Education - Q3	Rancho Santiago Community College District Continuing Education program approved contract amendment to extend the program. September 14, 2020 - Santa Ana College provided formal approval. Saddleback College joined the program this fall.				
16.	OC to LA Transit Connections Study	Study Regional Transit Connection Opportunities	Present update to Transit Committee - Q3	This item has been delayed and will be included in the second "Future of Transit" workshop anticipated to be held next year. This will be carried over to 2021.				
17.	SR-91 Implementation Plan	Collaborate with RCTC to Update the Plan in Support of Regional SR-91 Corridor Transportation Improvements	Present Plan to Board – Q3	July 13, 2020 - draft 2020 SR-91 Implementation Plan presented to Board; January 22, 2020 - PDT Meeting held ; June 5, 2020 - presented draft plan to 91 Advisory Committee; July 6, 2020 - presented draft plan to RPH.				
18.	Diversity Outreach	Conduct Diversity Outreach Programs to Support Capital Projects, Planning Studies and Marketing	Provide annual update to Board Q3	October 26, 2020 - anticipated update to Board; October 15, 2020 - anticipated update to Legislative and Communications Committee. Delays were due to anticipated scheduling conflicts with the Mobility 21 conference.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

THIRD QUARTER (Q3) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
19.	Legislative Priorities	Provide End of Session Report	Discuss outcomes of legislative priorities, including protection of toll agency interoperability and enforcement practices and any reform of the Transportation Development Act with Legislative and Communications Committee - Q3	November 19, 2020 - anticipated to provide end-of-year report to Legislative and Communications Committee.				
20.	Transportation Needs Assessment	Conduct Assessment to Gain Insight on Current and Potential Customers	Provide update to Board - Q3	Due to COVID-19, this comprehensive research effort was unable to be accomplished because of its high-contact nature of working with the public to get feedback. Staff will conduct an informal, qualitative survey with diverse communities to seek feedback on priorities and needs.				COVID-19
	OC Bus 2.0	Develop Strategies for Service Improvement	Present Improvement Plan to Board - Q3	Milestone superceded with the added post-COVID-19 Bus Service Plan milestone.			COVID-19	
	Bravo Service Enhancements	Develop BRAVO Enhancement Strategy	Create a speed and amenity task force and present recommendations to Board - Q3	Milestone superceded with the added post-COVID-19 Bus Service Plan milestone.			COVID-19	
	Strategic Transit Technology Plan	Develop Road Map for Future Transit Technology Improvements	Initiate procurement for consultant services - Q3	Milestone superceded with the added post-COVID-19 Bus Service Plan milestone.			COVID-19	
	Employee Rotation Program	Develop Employees through Job Rotation	Launch annual program to develop future leaders with a broadened understanding of OCTA - Q3	The program is able to provide a full and meaningful experience when started at the beginning of the calendar or fiscal year. This will be carried over to 2021.			COVID-19	

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

FOURTH QUARTER (Q4)

2 of 26 Completed -

8%

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
1.	I-405 Improvement Project	Continue to Advance Construction	Complete McFadden Avenue bridge - Q4	Mid-October 2020 - anticipated to complete bridge and open to traffic.				
2.	Next 10 Plan	Ensure M2 Delivery Commitment	Review and present status of the Next 10 Plan deliverables to Board - Q4	The Next 10 Plan is now tentatively scheduled for February 8, 2021 to capture some project updates and allow for additional analysis and strategic planning with the lower sales tax revenue forecast. This will be carried over to Q1 of 2021.				
3.	OC Streetcar	Ensure Vehicle Delivery	Finish assembly of one complete vehicle - Q4	Currently on schedule, based on Siemens' baseline schedule.				
4.	SR-55, I-405 to I-5	Initiate Construction	Achieve Ready to List status - Q4	Due to delays to ROW acquisition and the eminent domain process, as well as impacts from COVID-19, Ready to List status is now projected for next year. This will be carried over to Q2 of 2021.				
5.	Congestion Management Program / SB 743 (Chapter 386, Statutes of 2013) Consistency	Support SB 743 requirements	Develop VMT Analysis Guidelines and present recommendations to ESC - Q4	February 4, 2020 - Consultant selected to develop VMT analysis guidelines.				
6.	M2 Environmental Cleanup Program	Fund Water Quality Improvements	Present programming recommendations for Tier 1 Projects (Project X) grant awards to Board - Q4	October 12, 2020 - anticipated recommendations for grant awards to Board.				
7.	OC Flex	Test New Transit Delivery Models	Upon completion of the micro-transit pilot program, present results and recommendations to ESC - Q4	Due to COVID-19, the pilot program will not be completed, but updates to ESC will continue. December 14, 2020 - anticipated update to ESC; September 14, 2020 - presented updates to ESC.				
8.	Comprehensive Annual Financial Report (CAFR)	Ensure Accountability and Transparency	Obtain an unmodified opinion from the external auditors and provide the annual financial statements to Board - Q4	November 23, 2020 - anticipated to provide CAFR to Board.				

FOURTH QUARTER (Q4) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
9.	Comprehensive Business Plan	Develop OCTA's Business Plan	Provide a summary of OCTA's FY 2020-21 long-term financial plan for adoption to Board - Q4	The CBP is scheduled to follow the Next 10 Plan, which has been moved to Q1 of next year. As a result, the CBP will be carried over to Q1 of 2021.				
10.	Federal Obligation Authority Plan	Maximize Federal Formula Funding Opportunities	Present the Federal Obligation Authority Plan to Board – Q4	January 11, 2021 - anticipated to present to Board; January 4, 2021 - anticipated to present to RPH. SB 1 (Chapter 5, Statutes of 2017) Competitive Programs approvals will not be announced until November/December, which will delay the Plan. This will be carried over to Q1 of 2021.				
11.	New Budget Software Implementation	Ensure Long Term OCTA Budget System Support	Transition from internally developed software to commercial software during 2020 for development of FY 2021-22 budget - Q4	December 2020 - anticipated training kickoff in new system for the development of FY 2021-22 budget. Implementation will take six months.				
12.	Crisis Communication	Ensure Agency and Staff Preparedness	Conduct a tabletop exercise for the Crisis Communications team and revise Crisis Communications Plan as appropriate – Q4	Mid-November - anticipated to conduct tabletop exercise.				
13.	APTA Expo	Host International Conference	Lead efforts to host APTA Expo 2020 in Anaheim - Q4	Following Federal, State, and Local Public Health guidance regarding the COVID-19 pandemic, OCTA, APTA, and Visit Anaheim staff determined that the APTA Expo would be rescheduled to March 14-17, 2021 still to be held at the Anaheim Convention Center. This will be carried over to Q1 of 2021.				COVID-19

FOURTH QUARTER (Q4) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
14.	South Orange County Multimodal Study	Study Regional Multimodal Transportation Improvement Options	Provide an update to Board - Q4	August 10, 2020 - provided update to Board; August 3, 2020 - provided update to RPH.			Early Complete	
15.	241/91 Express Lanes Connector (ELC)	Provide 241/91 ELC Project Progress	Provide an update on the status of the project with a discussion on the development of the agreements related to the term sheet to Board - Q4	November 23, 2020 - anticipated update to Board. Representatives from RCTC, TCA, and OCTA continue to meet on a monthly basis to negotiate deal points for the agreements to construct, operate, and maintain the 241/91 ELC facility.				
16.	State Active Transportation Program	Support Local Efforts to Secure Active Transportation Funding	Provide an update and priorities to Board - Q4	December 14, 2020 - anticipated update to Board.				
17.	Federal Transportation Reauthorization	Monitor Reauthorization Efforts	Report on discussions consistent with legislative platforms to Board - Q4	July 27, 2020 - presented update on the House proposed reauthorization bill; February 24, 2020 - principles presented to Board.				
18.	Legislative Platforms	Set Legislative Priorities	Present 2021 state and federal legislative platforms to Board - Q4	November 23, 2020 - anticipated to present to Board. Schedule for development of the platforms was adopted by the Board on June 22, 2020. Drafts will go to Board in October 2020.				
19.	OC Streetcar	Continue to Advance Construction	Report on status of construction to meet March 2022 service startup date Q1 - Q4	March 23, June 22, and September 14, 2020 - provided update to Board.				

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

FOURTH QUARTER (Q4) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
20.	Outreach Activities	Conduct Outreach to Support Capital Projects and Planning Studies	Provide quarterly I-405 and OC Streetcar updates and other projects and studies as appropriate to Board - Q1-Q4	January 13, 2020 - presented I-405 updates to Board; March 23, 2020 - presented OC Streetcar updates to Board; April 27, 2020 - presented Beach Boulevard Study to Board; May 22, 2020 - presented I-405 updates to Board; June 22, 2020 - presented OC Streetcar updates to Board; August 10, 2020 - presented I-5 South and South OC Study updates to Board; September 14, 2020 - presented OC Streetcar updates to Board; September 28, 2020 - presented I-405 updates to Board.				
21.	Active Transportation Initiatives	Implement Programs in Support of Non-Motorized Transportation	Provide biannual updates on Safe Routes to School, OC Loop and Safety Programs to Board - Q2 and Q4	June 8, 2020 - provided update to Board and December 14, 2020 - anticipated update to Board.				
22.	M2 Environmental Mitigation Programs	Ensure Comprehensive Freeway Program Mitigation	Present biannual progress reports to Board - Q2 and Q4	July 13, 2020 - progress report and updates presented to Board and December 14, 2020 - anticipated to present to Board.				
23.	Legislative Forums	Communicate Transportation Needs and Challenges	Conduct forums with local delegation representatives and present report to ESC - Q2 and Q4	August 25, 2020 - second forum held; May 19, 2020 - first forum with delegation offices held.				
24.	Marketing Activities	Promote and Educate Public on OCTA Services	Present biannual updates on ongoing campaigns promoting bus, rail, OC Flex, rideshare, vanpool services and bus service changes to Legislative and Communications Committee - Q2 and Q4	June 18, 2020 - presented updates to Legislative and Communications Committee and November 19, 2020 - anticipated updates to Legislative and Communications Committee.				

FOURTH QUARTER (Q4) (Continued)

#	Project/ Program	Objective	Milestone	Notes	Q1	Q2	Q3	Q4
25.	Regional Planning Activities	Highlight Transportation Planning Activities	Present biannual reports on activities underway that impact OCTA and the Southern California Region to Board - Q2 and Q4	May 11, 2020 - presented to Board and November 2020 - anticipated to present to Board.				
26.	COVID-19 Task Force	COVID-19 planning and response actions	Initiate the COVID-19 Task Force to develop plans and monitor timely implementation of necessary actions to mitigate organizational, employee and customer risk. Present COVID-19 After-Action Report to Board - Q4	COVID-19 Task Force continues to be active and meets weekly. Security and Emergency Preparedness initiated the After-Action Report efforts to include early response actions/efforts.				
	Treasury Functional Review (2019 Carryover - Revised)	Conduct an External Review of Treasury Functions	Initiate procurement for consultant services - Q4	There is no imminent need and given COVID-19, we will be dedicating Treasury time and resources elsewhere. In addition, the latest Treasury audit had no findings.				COVID-19
	Agency Climate Resiliency and Sustainability Strategies	Create a Comprehensive Plan	Using information from the gap analysis completed in Q2, initiate procurement to develop a Climate Resiliency and Sustainability Plan - Q4	The Agency Climate Resiliency and Sustainability Practices milestone was timetable adjusted to Q4, this will be reconsidered in 2021.				COVID-19
	OCTA Innovation Ideas	Explore Innovation Opportunities through Inter-divisional Team Meetings	Present biannual updates to Board - Q2 and Q4	Staff resources focused on post-COVID-19 service recovery plans. This will be reconsidered in 2021.				COVID-19

ON TRACK

COMPLETED

TIMETABLE ADJUSTED

CARRYOVER

Acronyms

APTA – American Public Transit Association	Q1 – First Quarter
BRC – Budget Review Committee	Q2 – Second Quarter
Board – Board of Directors	Q3 – Third Quarter
Caltrans – California Department of Transportation	Q4 – Fourth Quarter
CBP – Comprehensive Business Plan	RCTC – Riverside County Transportation Commission
CNG – Compressed Natural Gas	RCP – Regional Capacity Program
ESC – Executive Steering Committee	RPH – Regional Planning and Highways Committee
EV – Electric Vehicle	RFP – Request for Proposals
FTA – Federal Transit Administration	ROW – Right-of-Way
FY – Fiscal Year	RTSSP – Regional Transportation Signal Synchronization Program
I-5 – Interstate 5	RCTC – Riverside County Transportation Commission
I-405 – Interstate 405	SR-55 – State Route 55
I-605 – Interstate 605	SR-57 – State Route 57
IFB – Invitation for Bids	SR-73 – State Route 73
M2 – Measure M2	SR-91 – State Route 91
NTP – Notice to Proceed	SAFE – Safer Affordable Fuel Efficiency
PA/ED – Project Approval/Environmental Document	TCA – Transportation Corridor Agencies
PDT – Project Development Team	VMT – Vehicle Miles Traveled