



May 13, 2020

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2019-20 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the third quarter of fiscal year 2019-20, covering January through March 2020.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by OCTA's Board of Directors. The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one formula and two discretionary grants during the third quarter, which are summarized below.

Federal fiscal year (FFY) 2019 Federal Transit Administration (FTA) Section 5310 Enhanced Mobility for Seniors and Disabled:

OCTA executed an FTA grant agreement to secure \$2 million in FTA Section 5310 funds allocated to OCTA for FFY 2019. The funds will be used to support the capital costs of contracting for ACCESS services.

Fiscal Year (FY) 2020 Federal Emergency Management Agency (FEMA) – Hazard Mitigation Grant Program:

On behalf of FEMA, the California Governor's Office of Emergency Services (Cal OES) makes grants available to local and state public agencies for programs to help plan for and mitigate impacts that can pose risks, threats, and vulnerabilities to California's people, property, economy, and environment. Cal OES awarded OCTA \$93,750, in competitive Hazard Mitigation Grant Program funding. The grant award will support the development of OCTA's first Local Hazard Mitigation Plan within the OC Bus service area and the Los Angeles - San Diego -San Luis Obispo Rail Corridor within Orange County.

Transit Security Administration (TSA) - National Explosives Detection Canine Team Program:

OCTA will receive approximately \$75,750, between January to June 2020 to support the three TSA-certified explosives detection canine teams assigned to the OCTA Transit Police Service.

Current Grant Agreements:

OCTA's FTA formula grant agreements total ten and FTA discretionary grant agreements total four (Attachment A).

The ten FTA formula grant agreements have a total federal amount of \$284.2 million. A total of \$266.7 million has been reimbursed, leaving a balance of approximately \$17.5 million. The balance of these ten FTA formula grant agreements will primarily fund the OC Streetcar, fixed-route bus procurements, rideshare and vanpool programs, Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$166.8 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$44.2 million has been reimbursed, leaving a balance of \$122.6 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Garden Grove, Laguna Niguel/Mission Viejo, Orange, and Santa Ana.

The total FTA formula and discretionary grant agreements amount to \$451 million. The total amount reimbursed through the third quarter under these grant agreements is \$310.8 million, leaving a balance of \$140.2 million.

OCTA also has 34 active state and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 18 active state discretionary grant agreements (Attachment C).

The 34 state and FHWA formula grant agreements total \$202.5 million. The total amount reimbursed through the third quarter under these other grant agreements is \$155 million, leaving a balance of \$47.5 million.

The 18 state discretionary grant agreements total approximately \$22.9 million. A total of \$9.1 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$13.8 million. These state discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date.

This report activity is as of March 31, 2020, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-Out:

There are three grant agreements totaling \$28 million which are pending close-out in the third quarter of FY 2019-20. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement, and OCTA is pending final payment and/or approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are five grant agreements totaling \$10.8 million that were closed out in the third quarter of FY 2019-20. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the third quarter of FY 2019-20, January through March 2020. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2020, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2020, State and Federal Highway Administration Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2020, State Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2020, Grant Agreements Pending Close-Out
- E. Third Quarter Grant Reimbursement Status Report, January through March 2020, Closed Grant Agreements

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