



February 26, 2020

To: Finance and Administration Committee
From: Darrell E. Johnson, Chief Executive Officer
Subject: Fiscal Year 2019-20 Second Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of October through December 2020.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA's Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one formula and one discretionary grant during the second quarter, which are summarized below.

Fiscal Year (FY) 2020 State of Good Repair (SGR) Program.

The Board authorized OCTA to utilize FY 2019-20 SGR funds to purchase up to seven iShuttle replacement buses, replace up to six El Dorado Cutaways with 40-foot zero-emission battery electric buses, and replace the existing emergency power standby generators at the Anaheim and Irvine Construction Circle bus bases.

FY 2020 California Office of Traffic Safety (OTS) – Safety Education Classes.

OTS awarded OCTA \$100,000 in competitive funds to develop and implement bicycle and pedestrian safety education classes to raise public awareness of safe bicycling and pedestrian practices, and reduce injuries involving bicyclists and pedestrians. This award will fund bicycle skills classes, a train-the-trainer course, and distribute reflective keychains, helmets, and bicycle lights, similar to efforts in FY 2017-18 and FY 2018-19.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total nine and FTA discretionary grant agreements total four (Attachment A).

The nine FTA formula grant agreements have a total federal amount of \$282 million. A total of \$260 million has been reimbursed, leaving a balance of approximately \$21.9 million. The balance of these nine FTA formula grant agreements will primarily fund the OC Streetcar, fixed-route bus procurements, rideshare and vanpool programs, Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$166.8 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$32.2 million has been reimbursed, leaving a balance of \$134.6 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Garden Grove, Laguna Niguel/Mission Viejo, Orange, and Santa Ana.

The total FTA formula and discretionary grant agreements amount to \$448.9 million. The total amount reimbursed through the second quarter under these grant agreements is \$292.4 million, leaving a balance of \$156.5 million.

OCTA also has 35 active state and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 19 active state discretionary grant agreements (Attachment C).

The 35 state and FHWA formula grant agreements total \$214.7 million. The total amount reimbursed through the second quarter under these other grant agreements is \$165.1 million, leaving a balance of \$49.6 million.

The 19 state discretionary grant agreements total approximately \$24.2 million. A total of \$8.9 million of these discretionary grant agreements has been reimbursed,

leaving a balance of \$15.3 million. These state discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date.

This report activity is as of December 31, 2019, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-out:

There are four grant agreements totaling \$31 million which are pending close-out in the second quarter of FY 2019-20. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement, and OCTA is pending final payment and/or approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are three grant agreements totaling \$53.1 million that were closed out in the second quarter of FY 2019-20. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the second quarter of FY 2019-20, October through December 2019. Staff recommends this report be received and filed as an information item.

Attachments

- A. Second Quarter Grant Reimbursement Status Report, October through December 2019, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Second Quarter Grant Reimbursement Status Report, October through December 2019, State and Federal Highway Administration Formula Grant Agreements
- C. Second Quarter Grant Reimbursement Status Report, October through December 2019, State Discretionary Grant Agreements
- D. Second Quarter Grant Reimbursement Status Report, October through December 2019, Grant Agreements Pending Close-out
- E. Second Quarter Grant Reimbursement Status Report, October through December 2019, Closed Grant Agreements

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