



September 25, 2019

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2018-19 Fourth Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of April through June 2019.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA's Board of Directors. The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed three discretionary and one formula grant during the fourth quarter, which are summarized below.

Federal Fiscal Year 2019-20 OCTA Pedestrian and Bicycle Safety Program – California Office of Traffic Safety (OTS)

The California OTS awarded the OCTA \$100,000 in competitive Pedestrian and Bicycle Safety Grant Program funding. The grant award will support implementation of bicycle and pedestrian education classes, which are intended to raise public awareness of safe pedestrian and bicycling practices.

Bus Rapid Transit (BRT) Concept Study – California Department of Transportation (Caltrans) Sustainable Planning Grant

The Freeway BRT Concept Study will develop a conceptual plan for two Freeway BRT routes on Interstate 5 and State Route 55 that were identified as high priority BRT corridors in the Orange County Transit Vision (Orange County Transit Master Plan). BRT is a high-frequency, limited-stop bus service with improved passenger amenities to improve headways. The BRT concept envisions operating in high-occupancy vehicle or managed lanes on freeways and may examine stopping at existing transit hubs or at new stations in the freeway right-of-way. Stations could be located to connect with key transit routes, including the Amtrak and Metrolink commuter rail service. The study will identify short-term and long-term recommendations for project implementation. The award of \$210,602 will be matched with \$27,398 State Transportation Improvement Program Planning, Programming, and Monitoring funds, for a total project cost of \$238,000, and will support the project in its entirety.

Safe Travels Education Program (STEP) Campaign – Caltrans Active Transportation Program

OCTA will collaborate with the Orange County Health Care Agency to administer the STEP Campaign, which includes activities such as safety training at school assemblies, walk/bike to school day training events, and classroom/blacktop training activities directed to both students (during and after school) and families (on nights or weekends) at 25 public elementary schools in Orange County. The STEP Campaign will also engage with local city staff and police departments to successfully promote and leverage education and encouragement activities for walking and biking to/from school by youth. The award of the \$500,000 supports the project in its entirety.

Fiscal Year (FY) 2018-19 Low Carbon Transit Operations Program (LCTOP)

\$7.1 million in LCTOP funds to be used for continuation and expansion of college fare programs (Fullerton, Golden West, and Santa Ana colleges), related travel training for college students, seniors, and the disabled community, and capital and operating support for the Bravo! Main Street Rapid Bus Service.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 11, and FTA discretionary grant agreements total four (Attachment A).

The 11 FTA formula grant agreements have a total federal amount of \$295.4 million. A total of \$269 million has been reimbursed, leaving a balance of approximately \$26.4 million. The balance of these 11 FTA formula grant

agreements will primarily fund the OC Streetcar, fixed-route bus procurements, rideshare and vanpool programs, the Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$166.8 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$24.3 million has been reimbursed, leaving a balance of \$142.5 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Garden Grove, Laguna Niguel, Mission Viejo, Orange, Placentia, and Santa Ana.

The total FTA formula and discretionary grant agreements amount to \$462.1 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$293.3 million, leaving a balance of \$168.9 million.

OCTA also has 43 active State and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 18 active State discretionary grant agreements (Attachment C).

The 43 State and FHWA formula grant agreements total \$299.2 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$206.9 million, leaving a balance of \$92.2 million.

The 18 State discretionary grant agreements total approximately \$23.3 million. A total of \$591,252 of these discretionary grant agreements has been reimbursed, leaving a balance of \$22.7 million. These State discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date.

This report activity is as of June 30, 2019, and the majority of grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for all of these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-out:

There are two grant agreements totaling \$5.5 million which are pending close-out in the fourth quarter of FY 2018-19. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement, and OCTA is pending final payment and/or approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are twelve grant agreements totaling \$83.1 million that were closed out in the fourth quarter of FY 2018-19. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the fourth quarter of FY 2018-19, April through June 2019. Staff recommends this report be received and filed as an information item.

Attachments

- A. Fourth Quarter Grant Reimbursement Status Report, April through June 2019, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Fourth Quarter Grant Reimbursement Status Report, April through June 2019, State and Federal Highway Administration Formula Grant Agreements
- C. Fourth Quarter Grant Reimbursement Status Report, April through June 2019, State Discretionary Grant Agreements
- D. Fourth Quarter Grant Reimbursement Status Report, April through June 2019, Grant Agreements Pending Close-out
- E. Fourth Quarter Grant Reimbursement Status Report, April through June 2019, Closed Grant Agreements

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