



May 22, 2019

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2018-19 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of January through March 2019.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA's Board of Directors. The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, pending-closed, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one discretionary grant during the third quarter, which is summarized below.

Hydrogen Detection Project – Mobile Source Air Pollution Reduction Review Committee (MSRC) Discretionary Fund.

OCTA secured \$642,000 in MSRC discretionary funding to improve preventative maintenance and heavy-duty repair facilities at the Santa Ana Base for the detection of hydrogen gas.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 11, and FTA discretionary grant agreements total four (Attachment A).

The 11 FTA formula grant agreements have a total federal amount of \$295.4 million. A total of \$267.8 million has been reimbursed, leaving a balance of approximately \$27.6 million. The balance of these 11 FTA formula grant agreements will primarily fund the OC Streetcar, Orange Parking Structure, fixed-route bus procurements, rideshare and vanpool programs, the Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The four FTA discretionary grant agreements have a total federal amount of \$166.8 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$22.4 million has been reimbursed, leaving a balance of \$144.4 million. The balance of these four FTA discretionary grant agreements will primarily fund the OC Streetcar and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Garden Grove, Laguna Niguel/Mission Viejo, Orange, Placentia, and Santa Ana.

The total FTA formula and discretionary grant agreements amount to \$462.2 million. The total amount reimbursed through the third quarter under these grant agreements is \$290.2 million, leaving a balance of \$172 million.

OCTA also has 45 State and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 13 active State discretionary grant agreements (Attachment C).

The 45 State and FHWA formula grant agreements total \$375.5 million. The total amount reimbursed through the third quarter under these other grant agreements is \$275.8 million, leaving a balance of \$99.7 million.

The 13 State discretionary grant agreements total approximately \$10 million. A total of \$212,555 of these discretionary grant agreements has been reimbursed, leaving a balance of \$9.8 million. These State discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date.

This report activity is as of March 31, 2019, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for all of these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-out:

There are four grant agreements totaling \$5.5 million which are pending close-out in the third quarter of fiscal year (FY) 2018-19. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement, and OCTA is pending final payment and/or approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are six grant agreements totaling \$83.8 million that were closed out in the third quarter of FY 2018-19. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the third quarter of FY 2018-19, January through March 2019. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2019, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2019, State and Federal Highway Administration Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2019, State Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2019, Grant Agreements Pending Close-out
- E. Third Quarter Grant Reimbursement Status Report, January through March 2019, Closed Grant Agreements

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