



September 12, 2018

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2017-18 Fourth Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of April through June 2018.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA's Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, and closed grant agreements.

Awarded/Executed Grant Agreements:

During the fourth quarter, OCTA awarded/executed one formula grant which is summarized below.

Fiscal Year (FY) 2016-17 Proposition 1B Transit System Safety, Security, & Disaster Response Account, California Transit Security Grant Program, California Transit Assistance Fund (CTSGP-CTAF): California Office of Emergency Services (Cal OES)

OCTA received approval from the Cal OES to secure \$2.8 million in CTSCP-CTAF grant funds to support eligible transit system safety, security, and disaster response projects. The projects include: OCTA Transit Security & Operations Center, video surveillance system upgrades at OCTA bases, replace backup

generators at OCTA bases, replace light poles and luminaires at Goldenwest Transportation Center, and the San Juan Creek Rail Bridge Replacement Project. The projects were selected based on several factors, including program eligibility, project readiness, and overall funding need.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 13, and FTA discretionary grant agreements total three (Attachment A).

The 13 FTA formula grant agreements have a total federal amount of \$306 million. A total of \$270.6 million has been reimbursed, leaving a balance of \$35.4 million. The balance of these 13 FTA formula grant agreements will primarily fund the OC Streetcar, Orange Parking Structure, fixed-route bus procurements, Rideshare and Vanpool programs, Laguna Niguel/San Juan Capistrano Passing Siding Project, and rail rehabilitation projects.

The three FTA discretionary grant agreements have a total federal amount of \$17.9 million. A total of \$13.2 million has been reimbursed, leaving a balance of \$4.7 million. The balance of these three FTA discretionary grant agreements will primarily fund a new control point at 4th Street on the OCTA-owned railroad right-of-way (ROW), as well as slope stabilization improvements on the railroad ROW from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Laguna Niguel/Mission Viejo, Orange, Placentia, Santa Ana, and Garden Grove.

The total FTA formula and discretionary grant agreements amount to \$323.9 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$283.8 million, leaving a balance of \$40.1 million.

OCTA also has 49 State and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 15 state discretionary grant agreements (Attachment C).

The 49 state and FHWA formula grant agreements total \$385 million. The total amount reimbursed through the fourth quarter under these other grant agreements is \$257.2 million, leaving a balance of \$127.8 million.

The 15 state discretionary grant agreements total \$9 million. A total of \$1.1 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$7.9 million. These state discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date.

Combined, the state and FHWA formula and discretionary grants total \$394 million. The total amount reimbursed across all these grant agreements equals \$258.3 million, leaving a remaining balance of \$135.7 million.

This report activity is as of June 30, 2018, any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for all of these grants staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-out:

There are seven grant agreements totaling \$64 million which are pending close-out in the fourth quarter of FY 2017-18. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement and OCTA is pending final payment and/or approval of close-out documentation. OCTA Board approved reprogramming \$0.4 million of remaining funds from the Sand Canyon Grade Separation Project to the San Juan Creek Bridge Project in July 2017. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

No grants were closed in the fourth quarter of FY 2017-18.

Summary

This report provides an update of the grant agreement funded activities for the fourth quarter of FY 2017-18, April through June 2018. Staff recommends this report be received and filed as an information item.

Attachments

- A. Fourth Quarter Grant Reimbursement Status Report, April through June 2018, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Fourth Quarter Grant Reimbursement Status Report, April through June 2018, State and Federal Highway Administration Formula Grant Agreements
- C. Fourth Quarter Grant Reimbursement Status Report, April through June 2018, State Discretionary Grant Agreements
- D. Fourth Quarter Grant Reimbursement Status Report, April through June 2018, Grant Agreements Pending Close-out

Prepared by:



Sam Kaur
Department Manager,
Revenue and Grants Administration
714-560-5889

Approved by:



Andrew Oftelie
Executive Director,
Finance and Administration
714-560-5649