



May 23, 2018

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2017-18 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of January through March 2018.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, and closed grant agreements.

Awarded/Executed Grant Agreements:

During the third quarter, OCTA awarded/executed two formula grants and two discretionary grants which are summarized below.

Surface Transportation Block Grant (STBG) – State Route 55 (SR-55), Interstate 405 to Interstate 5

The OCTA Board approved the use of \$13.8 million in STBG funds for the plans, specifications and estimates phase of the SR-55, Interstate 405 to Interstate 5 project. These funds will be used for the addition of a general-purpose lane and a second high-occupancy vehicle lane in both directions of SR 55. Auxiliary lanes will be constructed, restored, and extended.

State Transportation Improvement Program (STIP) – Orange Transportation Center Parking Structure

The California Transportation Commission (CTC) approved an allocation of \$13.8 million in STIP funds for the Orange Transportation Center Parking Structure. These funds will be used for the construction of a 600-stall parking structure at Orange Station to relieve parking congestion. The station accommodates Metrolink commuter rail service and OCTA bus service.

Mobile Source Air Pollution Reduction Review Committee (MSRC) – Orange County (OC) Fair Express 2017 and 2018

OCTA secured \$0.8 million in Assembly Bill 2766 (Chapter 7 Statutes of 1990) (Major Event Center Transportation Program) funding administered by the South Coast Air Quality Management District (SCAQMD) which will allow OCTA to provide express bus service directly to the OC Fair from nine existing transit stations located throughout OC on Saturdays and Sundays in 2017 and 2018. The service would make it possible for fair patrons to utilize public transportation for all, or a significant portion, of their trip.

MSRC – Bicycle Corridor Improvement

The OCTA Board approved \$0.9 million in MSRC CTC funds towards four 2014 Bicycle Corridor Improvement Program projects in the cities of Cypress, Irvine, San Juan Capistrano, and the County of Orange. These funds will be used to match California Active Transportation Program Cycle 1 funds for the construction of bicycle and pedestrian facilities.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 13, and FTA discretionary grant agreements total three. The total FTA formula and discretionary grant agreements amount to \$323.9 million. The total amount reimbursed through the third quarter under these grant agreements is \$276.3 million, leaving a balance of \$47.6 million (Attachment A).

The 13 FTA formula grant agreements have a total federal amount of \$306 million. A total of \$264.3 million has been reimbursed, leaving a balance of \$41.7 million. The balance of these 13 FTA formula grant agreements will primarily fund the OC Streetcar, Orange Transportation Center Parking Structure, fixed-route bus procurements, rideshare and vanpool programs, Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The three FTA discretionary grant agreements have a total federal amount of \$17.9 million. A total of \$11.9 million has been reimbursed, leaving a balance of \$6 million. The balance of these three FTA discretionary grant agreements will primarily fund a new control point at 4th Street on the OCTA-owned railroad right-of-way (ROW), as well as slope stabilization improvements on the railroad ROW from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations and transit facilities located in the cities of Anaheim, Garden Grove, Laguna Niguel/Mission Viejo, Orange, Placentia, and Santa Ana.

OCTA also has 47 State and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 15 state discretionary grant agreements (Attachment C).

The 47 state and FHWA formula grant agreements total \$408.8 million. The total amount reimbursed through the third quarter under these other grant agreements is \$277.2 million, leaving a balance of \$131.6 million.

The 15 state discretionary grant agreements total \$9 million. A total of \$0.5 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$8.5 million. These state discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date. Staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Combined, the state and FHWA formula and discretionary grants total \$417.8 million. The total amount reimbursed across all of these grant agreements equals \$277.7 million, leaving a remaining balance of \$140.1 million.

Grant Agreements Pending Close-out:

There are four grant agreements totaling \$37.5 million which are pending close-out in the third quarter of fiscal year (FY) 2017-18. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement and OCTA is pending final payment and/or approval of close-out documentation. The OCTA Board approved reprogramming \$0.4 million of remaining funds from the Sand Canyon Grade Separation Project to the San Juan Creek Bridge Project in July 2017. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are five grant agreements totaling \$67.3 million that were closed out in the third quarter of FY 2017-18. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the third quarter of FY 2017-18, January through March 2018. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2018, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2018, State and Federal Highway Administration Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2018, State Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2018, Grant Agreements Pending Close-out
- E. Third Quarter Grant Reimbursement Status Report, January through March 2018, Closed Grant Agreements

Prepared by:



Sam Kaur
Department Manager,
Revenue and Grants Administration
714-560-5889

Approved by:



Andrew Oftelie
Executive Director,
Finance and Administration
714-560-5649