



February 14, 2018

To: Finance and Administration Committee

From: Darrell Johnson, Chief Executive Officer

Subject: Fiscal Year 2017-18 Second Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of October through December 2017.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA's Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, and closed grant agreements.

Awarded/Executed Grant Agreements:

During the second quarter, OCTA awarded/executed three discretionary grants which are summarized below.

Fiscal Year (FY) 2017 Office of Traffic Safety (OTS) – Safety Education Classes

OCTA secured \$75,000 in Pedestrian and Bicycle Safety Program funds from the OTS for safety education classes. Best practice strategies will be conducted to reduce the number of persons killed and injured in crashes involving pedestrians and bicyclists. The funded strategies may include classroom education, bicycle rodeos, community events, presentations, and workshops. These countermeasures will be conducted in communities with high numbers of

pedestrian and/or bicycle related collisions, including underserved communities, older adults, and school-aged children.

FY 2016 Transit and Intercity Rail Capital Program (TIRCP) – Mobile Ticketing

OCTA has secured \$2.5 million in TIRCP funds from the California Department of Transportation, which will be used for the implementation of a system-wide mobile ticketing system. The grant funds will be used to purchase stand-beside readers that will be installed on OCTA's fixed-route bus system, which accommodates over 48 million boardings along 77 routes.

FY 2017 Transit Security Grant Program (TSGP) – Be the One Campaign

OCTA executed an agreement with the Federal Emergency Management Agency, a component of the Department of Homeland Security, to secure \$0.08 million in TSGP funds. These funds will be utilized for the Be the One (BT1) Public Awareness Campaign, which is intended to prevent and deter criminal and terrorist acts, and educate the public on reporting suspicious activity, incidents, and crimes. The BT1 campaign will address the following three areas: anti-crime/anti-terrorism, human trafficking, and emergency preparedness.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 14, and FTA discretionary grant agreements total three. The total FTA formula and discretionary grant agreements amount to \$329.2 million. The total amount reimbursed through the second quarter under these grant agreements is \$274 million, leaving a balance of \$55.2 million (Attachment A).

The 14 FTA formula grant agreements have a total federal amount of \$311.3 million. A total of \$263.1 million has been reimbursed, leaving a balance of \$48.2 million. The balance of these 14 FTA formula grant agreements will primarily fund the OC Streetcar, Orange Parking Structure, fixed-route bus procurements, rideshare and vanpool programs, the Laguna Niguel/San Juan Capistrano passing siding project, and rail rehabilitation projects.

The three FTA discretionary grant agreements have a total federal amount of \$17.9 million. A total of \$10.9 million has been reimbursed, leaving a balance of \$7 million. The balance of these three FTA discretionary grant agreements will primarily fund a new control point at 4th Street on the OCTA-owned railroad right-of-way (ROW), as well as slope stabilization improvements on the railroad ROW from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations located in the cities of Anaheim, Laguna Niguel/Mission Viejo, Orange, and Placentia.

In November 2017, OCTA was informed that the FTA Region IX office had placed grant CA-04-0122 on the FTA inactive list. These grant funds are programmed for the installation of the video surveillance system (VSS) at the Santa Ana/Garden Grove bus bases and have been identified as inactive due to a lack of reimbursement activity for a 12-month period. On January 22, 2018, the Board approved to release request for proposals for the installation/construction of the VSS project. OCTA anticipates executing the contract in June 2018 which will enable staff to post reimbursement activity in August 2018. Based on the guidance received from FTA, the grant will become active after the reimbursement is processed for the installation/construction elements of the project.

OCTA also has 45 state and Federal Highway Administration (FHWA) formula grant agreements (Attachment B) and 13 state discretionary grant agreements (Attachment C).

The 45 state and FHWA formula grant agreements total \$381.2 million. The total amount reimbursed through the second quarter under these other grant agreements is \$268.8 million, leaving a balance of \$112.4 million.

The 13 state discretionary grant agreements total \$7.3 million. A total of \$0.3 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$7 million. These state discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date. Staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Combined, the state and FHWA formula and discretionary grants total \$388.5 million. The total amount reimbursed across all these grant agreements equals \$269.1 million, leaving a remaining balance of \$119.4 million.

Grant Agreements Pending Close-out:

There are eight grant agreements totaling \$99.5 million which are pending close-out in the second quarter of FY 2017-18. All invoices for these grant agreements have been submitted to the external funding agency for reimbursement, and OCTA is pending final payment and/or approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are two grant agreements totaling \$1.6 million that were closed out in the second quarter of FY 2017-18. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement funded activities for the second quarter of FY 2017-18, October through December 2017. Staff recommends this report be received and filed as an information item.

Attachments

- A. Second Quarter Grant Reimbursement Status Report, October through December 2017, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Second Quarter Grant Reimbursement Status Report, October through December 2017, State and Federal Highway Administration Formula Grant Agreements
- C. Second Quarter Grant Reimbursement Status Report, October through December 2017, State Discretionary Grant Agreements
- D. Second Quarter Grant Reimbursement Status Report, October through December 2017, Grant Agreements Pending Close-out
- E. Second Quarter Grant Reimbursement Status Report, October through December 2017, Closed Grant Agreements

Prepared by:



Sam Kaur
Department Manager,
Revenue and Grants Administration
714-560-5889

Approved by:



Andrew Oftelie
Executive Director,
Finance and Administration
714-560-5649