



**November 27, 2017**

**To:** Members of the Board of Directors

**From:** Darrell Johnson, Chief Executive Officer

**Subject:** Conflict of Interest Code and 2017 Annual Statement of Economic Interests Filing

### **Overview**

Pursuant to the Orange County Transportation Authority's Conflict of Interest Code, Members of the Board of Directors and designated positions are required to file a Statement of Economic Interests - Form 700.

### **Recommendation**

Direct the Clerk of the Board to distribute and monitor the 2017 annual Statement of Economic Interests - Form 700 to Members of the Board of Directors and designated positions, to be filed by April 1, 2018.

### **Background**

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to review its designated positions and disclosure categories to determine accuracy to date.

### **Discussion**

The Orange County Transportation Authority's (OCTA) Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, Executive Director of Finance and Administration, and General Manager, Treasury/Toll Roads are required to file an annual Statement of Economic Interests - Form 700 (Form 700) with the County of Orange Clerk of the Board of Supervisors (Attachment A).

OCTA's other designated positions are required to file a Form 700 with OCTA's Clerk of the Board (Attachment B). The Human Resources and Organizational Development Division and OCTA's General Counsel reviewed and made changes, as needed, to the designated positions list and standard disclosure categories (Attachment C).

OCTA's Clerk of the Board shall retain copies and forward the original Form 700s of the Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, Executive Director of Finance and Administration, and General Manager, Treasury/Toll Roads to the County of Orange Clerk of the Board of Supervisors.

OCTA's Clerk of the Board shall retain the electronic or original Form 700s submitted by all other designated positions. The California Fair Political Practices Commission's filing deadline for the 2017 annual Form 700 statement is April 1, 2018.

***Summary***

The Board of Directors annually reviews and approves the list of designated positions and disclosure categories subject to OCTA's Conflict of Interest Code.

***Attachments***

- A. Conflict of Interest Code for the Orange County Transportation Authority (November 27, 2017)
- B. Orange County Transportation Authority Designated Positions and Disclosure Category (November 27, 2017)
- C. Orange County Transportation Authority Disclosure Categories (November 27, 2017)

**Prepared by:**



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