



June 28, 2017

To: Finance and Administration Committee
From: Darrell Johnson, Chief Executive Officer
Subject: Fiscal Year 2016-17 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for information purposes for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of January through March 2017.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal, state, and local grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with OCTA's Board of Directors approved capital programming policies. The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, and closed grant agreements.

Awarded/Executed Grant Agreements:

During the third quarter, there were no new grants executed; however, there are a number of grants in development which are anticipated to be executed within the fourth quarter of this fiscal year (FY).

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 16, and FTA discretionary grant agreements total three. The total FTA formula and discretionary grant agreements amount to \$424.9 million. The total amount reimbursed through the third quarter under these grant agreements is \$350.7 million, leaving a balance of \$74.2 million (Attachment A).

The balance (\$66.4 million) of the 16 FTA formula grant agreements will primarily fund fixed-route bus procurements, engine repowers, rideshare and vanpool programs, design and engineering for the Laguna Niguel/San Juan Capistrano passing siding project, preliminary engineering for the OC Streetcar Project, and rail rehabilitation projects.

The balance (\$7.7 million) of the three FTA discretionary grant agreements will primarily fund a new control point at 4th Street on the OCTA-owned railroad right-of-way (ROW), as well as slope stabilization improvements on the railroad ROW from the City of Laguna Niguel to the City of Lake Forest. The balance will also fund security camera surveillance systems for commuter rail stations located in the cities of Anaheim, Laguna Niguel/Mission Viejo, Orange, and Placentia.

OCTA also has 47 other formula grant agreements (Attachment B) and 10 other discretionary grant agreements (Attachment C).

The 47 other formula grant agreements total \$427.5 million. The total amount reimbursed through the third quarter under these other grant agreements is \$280.1 million, leaving a balance of \$147.4 million.

The ten other discretionary grant agreements total \$7.3 million. A total of \$1.9 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$5.4 million. These other discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date. Staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Combined, the formula and discretionary grants total \$859.7 million. The total amount reimbursed across all these grant agreements equals \$632.7 million, leaving a remaining balance of \$227 million.

Closed Grant Agreements:

There were eight grant agreements totaling \$79.5 million that were closed out in the third quarter of FY 2016-17. These grant agreements are summarized in Attachment D.

Summary

This report provides an update of the grant agreement funded activities for the third quarter of FY 2016-17, January through March 2017. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2017, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2017, Other Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2017, Other Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2017, Closed Grant Agreements

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