



June 5, 2017

To: Regional Planning and Highways Committee

From: Darrell Johnson, Chief Executive Officer *[Signature]*

Subject: Comprehensive Transportation Funding Programs Semi-Annual Review – March 2017

Overview

The Orange County Transportation Authority recently completed the semi-annual review of projects funded through the Comprehensive Transportation Funding Programs. This process reviews the status of Measure M2 grant-funded projects and provides an opportunity for local agencies to update project information and request project modifications. Recommended project adjustments are presented for review and approval.

Recommendation

Approve adjustments to the Comprehensive Transportation Funding Programs projects and Local Fair Share funds.

Background

The Comprehensive Transportation Funding Programs (CTFP) is the mechanism the Orange County Transportation Authority (OCTA) uses to administer funding for street, road, signal, transit, and water quality projects. The CTFP contains a variety of funding programs and sources, including Measure M2 (M2) revenues and State-Local Partnership Program funds. The CTFP provides local agencies with a comprehensive set of guidelines for administration and delivery of various transportation funding grants.

As needed, OCTA staff meets with representatives from local agencies to review the status of projects and proposed changes in March and September of each year. This process is commonly referred to as the semi-annual review (SAR). The goals of the SAR process are to review project status, determine the continued viability of projects, address local agency concerns, confirm the availability of local match funds, and ensure timely closeout of all projects funded under the CTFP.

Discussion

The March 2017 SAR adjustments are itemized in Attachment A and further described in Attachment B. The CTFP adjustments include 17 delays, 27 timely-use of funds extension requests, nine scope changes, and two transfers. These changes affect ten percent of the overall program and 90 percent remains unchanged. In addition, OCTA has received seven timely-use of funds extension requests for Local Fair Share funds.

OCTA staff has identified several reasons for timely-use of funds extensions and other requested changes that include: unforeseen delays in acquiring right-of-way parcels, encroachment permits required from the California Department of Transportation, unanticipated utility conflicts, and additional coordination needed between contractors and participating agencies.

Since the start of M2, OCTA has issued a number of calls for projects and awarded \$369.6 million in competitive funds for the following programs:

- M2 Regional Capacity Program (Project O)
- Traffic Signal Synchronization Program (Project P)
- Environmental Cleanup Program (Project X)
- Community-Based Transit Circulators (Project V)
- Safe Transit Stops (Project W)

Below is a summary of the CTFP allocations using M2 funds, comparing the last SAR changes with the proposed changes in the March 2017 SAR. As of March 2017, 81 percent of project have been initiated or are in some stage of completion. Another 19 percent of the projects will be underway in the next two years.

| M2 CTFP Summary | | | | |
|------------------------|----------------|-------------|----------------|---|
| Project Status | September 2016 | | March 2017 | |
| | Project Phases | Allocations | Project Phases | Allocations ¹ (after adjustments) |
| Planned ² | 138 | \$116.4 | 101 | \$85.4 |
| Started ³ | 163 | \$151.8 | 170 | \$156.0 |
| Pending ⁴ | 84 | \$40.0 | 75 | \$46.5 |
| Completed ⁵ | 157 | \$61.4 | 196 | \$81.7 |
| Total Allocations | 542 | \$369.6 | 542 | \$369.6 |

1. Allocations in millions, pending Board of Directors approval of the March 2017 SAR.

2. Planned - indicates that funds have not been obligated and/or are pending contract award.

3. Started - indicates that the project is underway and funds are obligated.

4. Pending - indicates that the project work is completed and the final report submittal/approval is pending.

5. Completed - indicates that the project work is complete, final report approved, and final payment has been made.

Local agencies started 44 project phases and closed out 39 project phases between September 2016 and March 2017.

Summary

OCTA has recently reviewed the status of grant-funded streets and roads projects funded through the CTFP. Staff recommends approval of the project adjustments requested by local agencies including 17 delays, 27 timely-use of funds extension requests, nine scope changes, and two transfers for CTFP projects. In addition, seven timely-use of funds extension requests for Local Fair Share funds are recommended. The next SAR is currently scheduled for September 2017.

Attachments

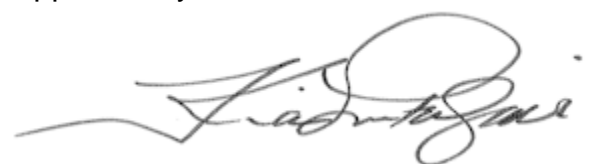
- A. Comprehensive Transportation Funding Programs, March 2017 Semi-Annual Review Adjustment Requests
- B. Comprehensive Transportation Funding Programs, March 2017 Semi-Annual Review Adjustment Request Descriptions

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