



March 8, 2017

To: Finance and Administration Committee

From: Darrell Johnson, Chief Executive Officer

Subject: Fiscal Year 2016-17 Second Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for information purposes for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the period of October through December 2016.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal, state, and local grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with OCTA's Board of Directors (Board) approved capital programming policies. The Quarterly Grant Reimbursement Status Report summarizes awarded/executed, current, and closed grant agreements.

Awarded/Executed Grant Agreements:

During the second quarter, OCTA was awarded and executed three discretionary grants which are summarized below.

Fiscal Year (FY) 2016 California Office of Traffic Safety: National Highway Safety Administration

- OCTA executed a grant agreement with the California Office of Traffic Safety to secure \$0.05 million in competitive funds from the National Highway Safety Administration. The funds will be used to develop and distribute safety videos that will raise public awareness of safe bicycling and pedestrian practices. The project is expected to take approximately 12 months to complete.

FY 2016 Transit Security Grant Program (TSGP): Federal Emergency Management Agency (FEMA), Department of Homeland Security

- OCTA executed an agreement with FEMA to secure \$0.14 million in nationally competitive TSGP funds. The awarded funds will support two security initiatives intended to ensure the safety and security of the transit system in Orange County. The awarded projects include \$0.07 million to update the agency's security and emergency preparedness plans, and \$0.07 million to sustain counter terrorism patrols of the Orange County transit system. The grant awards do not require a local match.

FY 2015 Active Transportation Program (ATP): California Department of Transportation

- OCTA executed a grant agreement with the California Department of Transportation to secure \$0.35 million in ATP grant funds to complete a countywide Orange County Active Transportation Plan. The awarded funds will be used to establish a broad-based strategic planning document that will help ensure local and regional bicycle and pedestrian improvement efforts are well coordinated. The countywide plan will also allow local jurisdictions to be eligible for ongoing ATP funding. The grant funds require a 20 percent local match contribution which will be provided using formula State Transportation Improvement Program funds previously authorized by the OCTA Board on February 22, 2016, for use towards planning study purposes.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 19, and FTA discretionary grant agreements total three. The total FTA formula and discretionary grant agreements amount to \$464.1 million. The total amount reimbursed through the second quarter under these grant agreements is \$348.7 million, leaving a balance of \$115.4 million (Attachment A).

The balance (\$107.6 million) of the 19 FTA formula grant agreements will primarily fund fixed-route bus procurements, engine repowers, rideshare and vanpool programs, design and engineering for the Laguna Niguel/San Juan Capistrano passing siding project, preliminary engineering for the OC Streetcar Project, and rail rehabilitation projects.

The balance (\$7.8 million) of the three FTA discretionary grant agreements will primarily fund a new control point at 4th Street on the OCTA-owned railroad right-of-way (ROW), as well as slope stabilization improvements on the railroad ROW from the City of Laguna Niguel to the City of Lake Forest. The balance will

also fund security camera surveillance systems for commuter rail stations located in the cities of Anaheim, Laguna Niguel/Mission Viejo, Orange, and Placentia.

OCTA also has a combined 43 other formula grant agreements (Attachment B) and 11 other discretionary grant agreements (Attachment C).

The 43 other formula grant agreements total \$429.9 million. The total amount reimbursed through the second quarter under these other grant agreements is \$272.9 million, leaving a balance of \$157 million.

The 11 other discretionary grant agreements total \$8.5 million. A total of \$0.5 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$8 million. These other discretionary grant agreements have a defined grant expiration date. Project expenses must be fully paid and reimbursed prior to the grant agreement expiration date. Staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Combined, the FTA and other formula and discretionary grants total \$902.5 million. The total amount reimbursed across all these grant agreements equals \$622.1 million, leaving a remaining balance of \$280.4 million.

Closed Grant Agreements:

There were five grant agreements totaling \$8.3 million that were closed out in the second quarter of FY 2016-17. These grant agreements are summarized in Attachment D.

Summary

This report provides an update of the grant agreement funded activities for the second quarter of FY 2016-17, October through December 2016. Staff recommends this report be received and filed as an information item.

Attachments

- A. Second Quarter Grant Reimbursement Status Report, October through December 2016, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Second Quarter Grant Reimbursement Status Report, October through December 2016, Other Formula Grant Agreements
- C. Second Quarter Grant Reimbursement Status Report, October through December 2016, Other Discretionary Grant Agreements
- D. Second Quarter Grant Reimbursement Status Report, October through December 2016, Closed Grant Agreements

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