



May 24, 2023

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2022-23 Third Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the third quarter of fiscal year 2022-23, covering January through March 2023.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with the capital programming policies approved by the Board of Directors. The Quarterly Grant Reimbursement Status Report summarizes current and closed grant agreements.

Current Grant Agreements:

OCTA has 12 Federal Transit Administration (FTA) formula grant agreements and three FTA discretionary grant agreements.

The 12 FTA formula grant agreements have a total federal amount of \$492.8 million. A total of \$310 million has been reimbursed, leaving a balance of approximately \$182.8 million. The balance of these FTA formula grant agreements will primarily fund bus operations, the OC Streetcar Project, and rail rehabilitation projects.

The three FTA discretionary grant agreements have a total federal amount of \$151.1 million. This includes the full funding grant agreement award of

\$148.9 million. A total of \$122.8 million has been reimbursed, leaving a balance of \$28.3 million. The balance of these FTA discretionary grant agreements will primarily fund the OC Streetcar Project and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$644 million. The total amount reimbursed through the third quarter under these grant agreements is \$432.8 million, leaving a balance of \$211.1 million. These grant agreements are summarized in Attachment A.

OCTA has 27 active State and Federal Highway Administration formula grant agreements that total \$84.7 million. The total amount reimbursed through the third quarter under these agreements is \$23.2 million, leaving a balance of \$61.5 million. These grant agreements are summarized in Attachment B.

In addition, OCTA has 14 active state discretionary grant agreements totaling approximately \$47.3 million. The total amount reimbursed through the third quarter under these other agreements is \$20.1 million, leaving a balance of \$27.2 million. These grant agreements are summarized in Attachment C.

This report activity is as of March 31, 2023, and any grants with a deadline between the quarter end and the date this report is published, will be reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Closed Grant Agreements:

There was one grant agreement totaling \$14 million that was closed out in the third quarter of fiscal year (FY) 2022-23. The total amount reimbursed was \$13.2 million. The remaining balance of the grant was not able to be used on a different OCTA project because FTA Section 5316 funding was discontinued and the remaining balance was de-obligated.

These grant agreements are summarized in Attachment D.

Summary

This report provides an update of the grant agreement-funded activities for the third quarter of FY 2022-23, January through March 2023. Staff recommends this report be received and filed as an information item.

Attachments

- A. Third Quarter Grant Reimbursement Status Report, January through March 2023, Federal Transit Administration (FTA) Formula and Discretionary Grant Agreements
- B. Third Quarter Grant Reimbursement Status Report, January through March 2023, State and Federal Highway Administration Formula Grant Agreements
- C. Third Quarter Grant Reimbursement Status Report, January through March 2023, State Discretionary Grant Agreements
- D. Third Quarter Grant Reimbursement Status Report, January through March 2023, Closed Grant Agreements

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