



August 10, 2022

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2021-22 Fourth Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the fourth quarter of fiscal year 2021-22, covering April through June 2022.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with capital programming policies approved by the OCTA Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current and closed grant agreements.

Current Grant Agreements:

OCTA's Federal Transit Administration (FTA) formula grant agreements total 16 and FTA discretionary grant agreements total five.

The 12 FTA formula grant agreements have a total federal amount of \$617.8 million. This includes coronavirus (COVID-19) relief funds that will be used for operating costs for fixed-route and paratransit service and COVID-19 labor, materials, and supplies. A total of \$345.7 million has been reimbursed, leaving a balance of approximately \$272.1 million. The balance of these FTA formula grant agreements will primarily fund operating costs for fixed-route and paratransit service, the OC Streetcar project, fixed-route bus procurements, and rail rehabilitation projects.

The FTA discretionary grant agreements have a total federal amount of \$158.1 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$109.2 million has been reimbursed, leaving a balance of \$48.9 million. The balance of these FTA discretionary grant agreements will primarily fund the OC Streetcar project and slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$775.9 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$454.9 million, leaving a balance of \$321 million. These grant agreements are summarized in Attachment A.

OCTA has 23 active State and Federal Highway Administration formula grant agreements that total \$71.6 million. The total amount reimbursed through the fourth quarter under these agreements is \$15.5 million, leaving a balance of \$56.1 million. These grant agreements are summarized in Attachment B.

In addition, OCTA has 11 active state discretionary grant agreements totaling approximately \$17.6 million. The total amount reimbursed through the fourth quarter under these other agreements is \$5.3 million, leaving a balance of \$12.3 million. These grant agreements are summarized in Attachment C.

This report activity is as of June 30, 2022, and any grants with a deadline between the quarter end and the date this report is published will be reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-Out:

There are seven grant agreements totaling \$90 million that are pending close-out in the fourth quarter of FY 2021-22. All invoices for these grant agreements have been submitted to their external funding agency for reimbursement. OCTA is pending approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are four grant agreements totaling \$11.3 million that were closed out in the fourth quarter of FY 2021-22. The remaining balance on the Transportation Security Administration grant is project savings. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement-funded activities for the fourth quarter of FY 2021-22, April through June 2022. Staff recommends this report be received and filed as an information item.

Attachments

- A. Fourth Quarter Grant Reimbursement Status Report, April through June 2022, Federal Transit Administration (FTA) Formula and Discretionary Grant Agreements
- B. Fourth Quarter Grant Reimbursement Status Report, April through June 2022, State and Federal Highway Administration Formula Grant Agreements
- C. Fourth Quarter Grant Reimbursement Status Report, April through June 2022, State Discretionary Grant Agreements
- D. Fourth Quarter Grant Reimbursement Status Report, April through June 2022, Grant Agreements Pending Close-out
- E. Fourth Quarter Grant Reimbursement Status Report, April through June 2022, Closed Grant Agreements

Prepared by:



Sam Kaur
Department Manager,
Revenue Administration
714-560-5889

Approved by:



Andrew Oftelie
Chief Financial Officer,
Finance and Administration
714-560-5649