

**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

**Delays**

Local agencies may request delay(s) of up to 24 months to obligate funds. During the March 2022 semi-annual review cycle, the following delay requests were submitted.

The City of Orange (Orange) is requesting a 24-month delay for the operation and maintenance (O&M) phase of the Tustin Avenue and Rose Drive Regional Traffic Signal Synchronization Program Project (20-ORNG-TSP-3976) due to unforeseen supply chain impacts and construction-related delays resulting from the coronavirus (COVID-19) pandemic.

The Orange County Transportation Authority (OCTA), as administrative lead, is requesting a 24-month delay for the following three projects. Additional time is required due to unforeseen supply chain impacts and construction-related delays resulting from the pandemic.

- The O&M phase of the Red Hill Avenue Corridor Regional Traffic Signal Synchronization Program Project (19-OCTA-TSP-3939)
- The O&M phase of the Lake Forest Drive Traffic Signal Synchronization Program Project (19-OCTA-TSP-3940)
- The O&M phase of the Aliso Creek Road Traffic Signal Synchronization Program Project (19-OCTA-TSP-3941)

The City of Santa Ana (Santa Ana) is requesting a 24-month delay for the following two projects. Additional time is required to finalize right-of-way (ROW) acquisition.

- The construction (CON) phase of the Bristol Street Improvements Phase 3A - Civic Center Drive to Washington Avenue (20-SNTA-ACE-3968)
- The CON phase of the Bristol Street Improvements Phase 4 - Warner Avenue to St. Andrew Place (20-SNTA-ACE-3969)

The City of Yorba Linda (Yorba Linda) is requesting a 24-month delay for the CON phase of the Lakeview Avenue Widening from Bastanchury Road to Oriente Drive Project (21-YLND-ACE-3998) due to unforeseen construction-related delays resulting from design modifications.

**Comprehensive Transportation Funding Programs (CTFP) Timely-Use of Funds Extensions**

Once obligated, CTFP funds expire 36 months from the contract award date. Local agencies may request extension(s) of up to 24 months. During this semi-annual review cycle, the following CTFP timely-use of funds extensions requests were submitted.

## **Comprehensive Transportation Funding Programs March 2022 Semi-Annual Review Adjustment Request Descriptions**

The City of Costa Mesa is requesting a 24-month timely-use of funds extension for the engineering (ENG) phase of the Newport Boulevard Widening from 19th Street to Superior Avenue Project (16-CMSA-ACE-3803), from April 2022 to April 2024. Additional time is required to complete the design review with California Department of Transportation (Caltrans) and to finalize project closeout processes.

The City of La Palma is requesting a 24-month timely-use of funds extension for the ENG phases of the La Palma Avenue/Del Amo Boulevard over Coyote Creek Bridge Replacement Project (16-LPMA-ACE-3810) from December 2022 to December 2024. Additional time is required to complete both the preliminary engineering and the final design. This request is due to unforeseen environmental assessment delays and impacts to the ability to collect viable public input resulting from the pandemic.

OCTA, as administrative lead, is requesting a 24-month timely-use of funds extension for the primary implementation (IMP) phase of the Katella Avenue, Villa Park Road, Santiago Canyon Road Regional Traffic Signal Synchronization Program Project (18-OCTA-TSP-3894) from April 2022 to April 2024 due to unforeseen supply chain impacts and equipment delivery delays resulting from the pandemic.

OCTA, as administrative lead, is also requesting a 24-month timely-use of funds extension for the IMP phase of the following two projects from February 2022 to February 2024. Additional time is required due to delays in finalizing contract amendments.

- Garden Grove Boulevard Traffic Signal Synchronization Program Project from Valley View Street to Bristol Street (18-OCTA-TSP-3897)
- Los Alisos Boulevard Route Project (18-OCTA-TSP-3905)

### **Local Fair Share (LFS) Timely-Use of Funds Extensions**

Once issued, LFS funds expire 36 months from the date of disbursement. OCTA tracks expenditures based on the fiscal year of receipt and processes extension requests in fiscal year increments for uniform review purposes. Requests for extensions must be submitted as part of the semi-annual review process prior to the end of the second year beyond the fiscal year of receipt of funds. Local agencies may request an extension of two fiscal years. During this semi-annual review cycle, the following timely-use of funds LFS extensions requests were submitted:

The City of Brea (Brea) is requesting a timely-use of funds extension for \$271,268. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Brea has indicated these funds will be directed towards traffic sign and signal improvements and citywide street improvements.

## **Comprehensive Transportation Funding Programs March 2022 Semi-Annual Review Adjustment Request Descriptions**

The City of Fountain Valley (Fountain Valley) is requesting a timely-use of funds extension for \$772,962. The funds being considered were disbursed in five separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Fountain Valley has indicated these funds will be directed towards traffic signal improvements.

The City of La Habra (La Habra) is requesting a timely-use of funds extension for \$951,079. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. La Habra has indicated these funds will be directed towards citywide street improvements.

The City of Newport Beach (Newport Beach) is requesting a timely-use of funds extension for \$1,152,280. The funds being considered for extension were disbursed in four separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Newport Beach has indicated these funds will be primarily directed towards citywide street improvements.

Santa Ana is requesting a timely-use of funds extension for \$3,374,800. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Santa Ana has indicated these funds will be primarily directed towards activities such as traffic signal improvements, street maintenance, and projects to reduce road congestion.

The City of Tustin (Tustin) is requesting a timely-use of funds extension for \$742,293. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Tustin has indicated these funds will be primarily directed towards street maintenance.

Yorba Linda is requesting a timely-use of funds extension for \$1,094,236. The funds being considered for extension were disbursed in four separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A. Yorba Linda has indicated these funds will be primarily directed towards activities such as traffic signal improvements, street maintenance, and projects to reduce road congestion.

### **Senior Mobility Program (SMP) Timely-Use of Funds Extensions**

Once issued, SMP funds expire 36 months from the date of disbursement. OCTA tracks expenditures based on the fiscal year of receipt and processes extension requests in fiscal year increments for uniform review purposes. Requests for extensions must be submitted as part of the semi-annual review process prior to the end of the second year

**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

from the fiscal year of receipt of funds. Local agencies may request an extension of two fiscal years. During this semi-annual review cycle, the following timely-use of funds SMP extensions requests were submitted:

The City of Aliso Viejo is requesting a timely-use of funds extension for \$17,265. The funds being considered for extension were disbursed in four separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

Anaheim is requesting a timely-use of funds extension for \$53,413. The funds being considered for extension were disbursed in two separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of Dana Point (Dana Point) is requesting a timely-use of funds extension for \$53,989. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in the staff report.

Fountain Valley is requesting a timely-use of funds extension for \$17,095. The funds being considered for extension were disbursed in two separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of Laguna Hills is requesting a timely-use of funds extension for \$38,435. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track the expenditures consistent with fiscal year noted in Attachment A.

The City of Lake Forest is requesting a timely-use of funds extension for \$46,173. The funds being considered for extension were disbursed in four separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of Mission Viejo (Mission Viejo) is requesting a timely-use of funds extension for \$111,200. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of Placentia is requesting a timely-use of funds extension for \$19,286. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

## **Comprehensive Transportation Funding Programs March 2022 Semi-Annual Review Adjustment Request Descriptions**

The City of Rancho Santa Margarita is requesting a timely-use of funds extension for \$7,301. The funds being considered for extension were disbursed in two separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of San Clemente (San Clemente) is requesting a timely-use of funds extension for \$75,566. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

The City of Villa Park (Villa Park) is requesting a timely-use of funds extension for \$12,054. The funds being considered for extension were disbursed in six separate installments and must be expended by the extension deadline of 60 months from the disbursement date. Staff will track expenditure consistent with fiscal year noted in Attachment A.

### **Scope Changes**

Agencies may request scope changes for CTFP projects if they can assure that project benefits as committed to in the project application can still be delivered. With the pandemic-induced supply chain issues and consequent high inflationary environment, scope reductions were allowed as an option for local jurisdictions and OCTA is not requiring a reduction in the funding allocation for projects that are requesting to deliver a reduced scope. During this semi-annual review cycle, the following scope change requests were submitted:

Anaheim is requesting a scope change to the CON phase of the Catch Basin Screen Installation Project - 2021 (21-ANAH-ECP-4003). The scope change includes reducing the number of connector pipe screens (CPS) from 695 CPS to 430 CPS and reducing the number of catch basins receiving installations accordingly due to unforeseen supply chain issues and the high inflationary environment.

Dana Point is requesting a scope change to reinstate pre-pandemic service schedule for its Project V services. In the March 2021 semi-annual review, the OCTA Board of Directors (Board) approved Dana Point's scope change request to implement a temporary reduction in its Project V services due to the pandemic. The reduction included postponing commencement of the seven days a week summer shuttle service from Memorial Day weekend to the end of June 2021 and operating only through Labor Day weekend, instead of through the first week of October. The March 2021 modification also included a temporary reduction in revenue vehicle hours on certain days of operation.

After evaluation of the summer 2021 seasonal service ridership and community engagement, Dana Point is requesting to remove the temporary service reductions to the following three Project V services, effective for the Summer 2022 season:

**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

- Summer Weekend Trolley/Harbor Shuttle (14-DPNT-CBT-3742);
- Dana Point PCH Trolley (16-DPNT-CBT-3823); and
- Dana Point Trolley Continuity and Expansion and Weekend Service (18-DNPT-CBT-3911).

The City of Irvine (Irvine), as administrative lead for the Culver Drive/Bonita Canyon Drive/Ford Road Regional Traffic Signal Synchronization Programs Project (18-IRVN-TSP-3902), is requesting a scope change to the IMP phase with several components, which include removal of project components which are no longer necessary, modifications to unit types and improvement locations, addition of countdown pedestrian modules, video detection equipment enhancements, communication enhancements, increased fiber optic cable quantities, and additional network operations equipment. These modifications emerged during the project development and construction process and are requested in order to facilitate project completion and utilize project cost savings to enhance overall project benefits.

La Habra, as administrative lead for the Lambert Road Corridor Project (20-LHAB-TSP-3975), is requesting a scope change to the IMP phase with several components, which include addition of an adaptive traffic control system, video management system, and signal performance measures licenses, communication network upgrades and additional equipment, and modification to quantities and improvement locations. These modifications emerged during the project development and construction process and are requested in order to facilitate project completion and utilize project cost savings to enhance overall project benefits.

The City of Laguna Beach (Laguna Beach) is requesting a scope change in order to implement a service schedule modification to its Summer Breeze Bus Service (18-OCTA-CBT-3912) that is outside of the original operating window specified in Laguna Beach's grant application. The modification includes extending the weekend service window to incorporate Fridays.

Laguna Hills is requesting a scope change to the CON phase of the CPS-Mod™ and ARS-CL™ Screen Project, Phase X (21-LHLL-ECP-4006). The scope change includes reducing the number of automatic retractable screens (ARS) from 252 ARS to 173 ARS and reducing the number of catch basins receiving installations accordingly due to unforeseen supply chain issues and the high inflationary environment.

Mission Viejo is requesting a scope change to the CON phase of the Mission Viejo Trash and Runoff Abatement Project (TRAP): CPS and ARS Installations in the North-Central City Area (21-MVJO-ECP-4008). The scope change includes reducing the number of ARS devices from 155 ARS to 85 ARS and reducing the number of catch basins receiving installations accordingly due to unforeseen supply chain issues and the high inflationary environment.

**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

Mission Viejo is also requesting a scope change to the CON phase of the Mission Viejo Trash and Runoff Abatement Project (TRAP): Lower Curtis Park Bioretention Basin with Trash Capture (21-MVJO-ECP-4009). The scope change includes reducing the number of ARS devices from 17 ARS to seven ARS and reducing the number of catch basins receiving installations accordingly due to unforeseen supply chain issues and the high inflationary environment.

San Clemente is requesting a scope change to the CON phase of the San Clemente Pier and Pico Corridor Runoff Treatment Project (21-SCLM-ECP-4011). The scope change includes reducing the number of ARS devices from 246 ARS to 170 ARS, reducing the number of CPS devices from 99 CPS to 64 CPS, reducing the number of grate inlet trash screens (GIT) from three GIT to two GIT, and reducing the number of catch basins receiving installations accordingly due to unforeseen supply chain issues and the high inflationary environment.

San Clemente is also requesting approval of a scope change in order to implement modifications to its Project V services. These modifications include providing services outside of the original operating window specified in San Clemente's grant applications. San Clemente is requesting to extend weekend services by approximately three months through December for both the San Clemente Summer Trolley (16-SCLM-CBT-3840) and the San Clemente Trolley Expansion (18-SCLM-CBT-3914) services for the 2022 and the 2023 trolley seasons.

In addition, San Clemente is requesting to provide these Project V funded services for approximately three weeks in January/February 2024 in order to alleviate congestion-related impacts resulting from a downtown construction project. This is an update to the scope change requests that were approved by the Board during the September 2021 semi-annual review for both the San Clemente Summer Trolley (16-SCLM-CBT-3840) and the San Clemente Trolley Expansion (18-SCLM-CBT-3914) services. The September 2021 scope changes denoted a January/February 2022 timeframe for this same purpose; however, as of March 2022, the downtown construction project has been rescheduled for 2024, which is the updated timeframe for when the services will be needed.

Villa Park is requesting a scope change to the CON phase of the Catch Basin Enhancement Project - Round 3 Project (17-VPRK-ECP-3892). The scope change includes modifying the best management practice devices installed at certain catch basins from filter inserts to CPS, ARS, and round curb inlet baskets, as well as reducing the number of catch basins receiving installations accordingly due to project components no longer being necessary. These modifications emerged during the construction process, and project savings will be returned to the program for future reprogramming.

Yorba Linda is requesting a scope change to the preliminary ENG phase of the Yorba Linda Boulevard Widening Project (18-YLND-ACE-3910). The scope change includes additional technical studies that are required to finalize the National Environmental Policy Act (NEPA) portion of the environmental document. Since the final

## **Comprehensive Transportation Funding Programs March 2022 Semi-Annual Review Adjustment Request Descriptions**

scope effort on the NEPA document requires coordinated information from the 65 percent design plans, the scope change also includes moving the NEPA clearance to the final design as part of the ENG phase of the Yorba Linda Widening Project (20-YLND-ACE-3971). With the California Environmental Quality Act document completed and approved, the 18-YLND-ACE-3910 grant would be considered complete upon delivery of the updated technical studies.

Yorba Linda is also requesting a scope change to the CON phase of the Lakeview Avenue Widening from Bastanchury Road to Oriente Drive Project (21-YLND-ACE-3998). The scope change includes replacing the Class II bike lane with an on-street bikeway sharrows striping in order to retain on-street parking.

### **Transfers**

The CTFP guidelines allow local jurisdictions to request to transfer up to 100 percent of projects savings between subsequent phases or years within a project. Funds can only be transferred to a phase or year that has already been awarded competitive funds. Such requests must be made prior to the acceptance of a final report and submitted as part of the semi-annual review process.

Irvine is requesting a transfer for the University Drive/Ridgeline Drive/Rosa Drew Lane Intersection Improvements Project (16-IRVN-ICE-3808). The request is to transfer general project savings in the amount of \$117,998 from the ROW phase to the CON phase through the University Drive/Ridgeline Drive Intersection Improvement Project (17-IRVN-ICE-3863).

OCTA is requesting a transfer for the Main Street Regional Traffic Signal Synchronization Program Project (18-OCTA-TSP-3901). The request is to transfer general project savings in the amount of \$163,345 from the IMP phase to the O&M phase.

Santa Ana is requesting a transfer for the Warner Avenue Improvements - Standard Avenue to Grand Avenue Project (18-SNTA-ACE-3907). The request is to transfer general project savings in the amount of \$1,724,000 from the ROW phase to the ROW through the Warner Avenue Improvements - Oak Street to Standard Avenue Project (18-SNTA-ACE-3909).

Yorba Linda is requesting a transfer for the Yorba Linda Boulevard Widening Project (18-YLND-ACE-3910). This request is to transfer general project savings from the preliminary ENG phase to the final design ENG phase through the Yorba Linda Boulevard Widening Project (20-YLND-ACE-3971) in an amount to be determined.



**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

**Other/OCTA-Initiated**

Once obligated, CTFP funds expire 36 months from the contract award date. Local agencies may request an extension(s) of up to 24 months. For Project O grants, funds for ROW phases will expire after 36 months from the date of the first offer letter and/or, if contract services are required, 36 months from the contract NTP. First offer letter date will be considered the date of encumbrance for the ROW phase.

During this semi-annual review cycle, a change to the fund expenditure deadline is recommended to reflect an encumbrance date based on the first offer letter. This is different from what the Board approved in a previous semi-annual review.

Brea received Board approval for a 24-month timely-use of funds extension during the March 2020 semi-annual review for the ROW phase of the SR-57 and Lambert Road Interchange Improvements Project (16-BREA-FST-3802). The extension to June 6, 2023, was based on the consultant contract award date of June 6, 2017. The first offer letter for ROW was issued on July 25, 2018, thus changing the encumbrance date changes the expenditure deadline for the ROW phase to July 25, 2023.

Staff is requesting approval of a technical correction for the Orange Old Towne Automatic Retractable Screens Project (11-ORNG-ECP-3588). For 2011 Project X Tier I projects, ongoing O&M was allowed to be pledged as match in lieu of (or in addition to) a cash match. Orange indicated an O&M in-kind match rate of 45 percent, which was approved by the Board. However, Orange contacted OCTA on April 2, 2012, to notify OCTA that the correct the match rate was 25 percent. Upon confirmation that the correction would have no impact on the competitiveness of the project, staff concurred with the request but did not follow through with a correction through the semi-annual review process at that time. Now that the project is near close out, staff is requesting a technical correction to this project's O&M in-kind match rate, in order to make it consistent with the applicant's intent.

The COVID-19 pandemic continues to impact local agencies' abilities to conduct normal business. With the pandemic-induced supply chain issues and consequent high inflationary environment, the cost of stainless steel, the material used for the majority of devices funded through Project X Tier 1 call for projects, has increased significantly since the time applications were received in May 2021. In recent discussions with local jurisdictions, it became clear that this was a countywide concern and was affecting project delivery in terms of unforeseen cost escalations beyond initial estimates and construction completion. Given these COVID-19-related issues, staff is recommending Board approval of delay requests for the projects listed below. This action addresses all of the Project X awards from the last cycle, which have not yet awarded a contract. Staff is also recommending an exception to the CTFP guidelines, which generally do not allow for this kind of delay. The impacted projects are:

**Comprehensive Transportation Funding Programs  
March 2022 Semi-Annual Review Adjustment Request Descriptions**

- The Catch Basin Screen Installation Project - 2021 (21-ANAH-ECP-4003);
- Huntington Beach Trash Removal Project - Phase 1 (21-HBCH-ECP-4004);
- Irvine Citywide Catch Basin Connector Pipe Screen Installation Phase 2 Project (21-IRVN-ECP-4005);
- CPS-Mod™ and ARS-CL™ Screen Project, Phase X (21-LHLL-ECP-4006);
- Catch Basin CPS Project (Citywide) (21-LSAL-ECP-4007);
- Mission Viejo Trash and Runoff Abatement Project (TRAP): CPS and ARS Installations in the North-Central City Area (21-MVJO-ECP-4008);
- Mission Viejo Trash and Runoff Abatement Project (TRAP): Lower Curtis Park Bioretention Basin with Trash Capture (21-MVJO-ECP-4009); and
- San Clemente Pier and Pico Corridor Runoff Treatment Project (21-SCLM-ECP-4011).