



---

## **BOARD AGENDA**

Orange County Transportation Authority Board Meeting  
Orange County Transportation Authority Headquarters  
Board Room - Conference Room 07-08  
550 South Main Street  
Orange, California  
**Monday, November 8, 2021 at 9:00 a.m.**

Any person with a disability who requires a modification or accommodation in order to participate in this meeting should contact the Orange County Transportation (OCTA) Clerk of the Board, telephone (714) 560-5676, no less than two business days prior to this meeting to enable OCTA to make reasonable arrangements to assure accessibility to this meeting.

### **Agenda Descriptions**

The agenda descriptions are intended to give members of the public a general summary of items of business to be transacted or discussed. The posting of the recommended actions does not indicate what action will be taken. The Board of Directors may take any action which it deems to be appropriate on the agenda item and is not limited in any way by the notice of the recommended action.

### **Public Availability of Agenda Materials**

All documents relative to the items referenced in this agenda are available for public inspection at [www.octa.net](http://www.octa.net) or through the Clerk of the Board's office at: OCTA Headquarters, 600 South Main Street, Orange, California.

### **Public Comments on Agenda Items**

Members of the public can either attend in-person (subject to OCTA's Coronavirus (COVID-19) safety protocols) or listen to audio live streaming of the Board and Committee meetings by clicking the below link:

<https://www.octa.net/About-OCTA/Who-We-Are/Board-of-Directors/Live-and-Archived-Audio/>



---

## **BOARD AGENDA**

Members of the public may address the Board of Directors regarding any item two ways:

### **In-Person Comment**

Members of the public may attend in-person (subject to OCTA's COVID-19 safety protocols) and address the Board regarding any item. Members of the public will be strongly encouraged to wear a face covering regardless of vaccine status. Limited (physically-distanced) seating will be available in the Board room. If capacity is reached, members of the public will be asked to wait outside until it is time for them to speak.

Please complete a speaker's card and submit it to the Clerk of the Board (or notify the Clerk of the Board the item number on which you wish to speak). Speakers will be recognized by the Chairman at the time the agenda item is to be considered. A speaker's comments shall be limited to three minutes.

### **Written Comment**

Written public comments may also be submitted by emailing them to [ClerkOffice@octa.net](mailto:ClerkOffice@octa.net), and must be sent by 5:00 p.m. the day prior to the meeting. If you wish to comment on a specific agenda item, please identify the item number in your email. All public comments that are timely received will be part of the public record and distributed to the Board. Public comments will be made available to the public upon request.

### **Call to Order**

#### **Invocation**

Director Bartlett

#### **Pledge of Allegiance**

Director Wagner

### **Special Calendar**

There are no Special Calendar matters.



---

**BOARD AGENDA****Consent Calendar (Items 1 through 14)**

All matters on the Consent Calendar are to be approved in one motion unless a Board Member or a member of the public requests separate action on a specific item.

**Orange County Transportation Authority Consent Calendar Matters****1. Approval of Minutes**

Approval of the Orange County Transportation Authority and affiliated agencies' regular meeting minutes of October 25, 2021.

**2. Ralph M. Brown Act Board of Directors Resolution for Teleconference Meetings**

Andrea West/Jennifer L. Bergener

**Overview**

The Orange County Transportation Authority Board of Directors holds regular meetings to effect business and act on measures related to its programs, projects, and services. In order to comply with new provisions related to the Ralph M. Brown Act, a resolution must be adopted.

**Recommendation**

Adopt Board of Directors Resolution 2021-090.

**3. Coronavirus Update**

Darrell E. Johnson

**Overview**

The Orange County Transportation Authority continues to take proactive measures in response to the impacts of the coronavirus pandemic in relation to transportation services, programs, and projects. The response efforts are centered around agency core values, helping to ensure the health and safety of the public and employees while continuing to provide essential transportation services and deliver critical infrastructure improvements. An overview and update on these efforts is presented.



---

## **BOARD AGENDA**

### **Recommendation**

Receive and file as an information item.

#### **4. Approval of Board Members Travel**

Approval of Board Members Travel is requested for Chairman Andrew Do, Vice-Chairman Mark A. Murphy, and Finance and Administration Committee Chairman Michael Hennessey to travel with Orange County Transportation Authority Chief Executive Officer, Darrell Johnson, and Finance and Administration staff to New York, NY on December 1-4, 2021, to meet with Moody's Investor Services, Fitch Ratings, Standard & Poor's, and other interested parties.

#### **5. Evaluation of Independent Auditor and Consideration of Contract Amendment to Extend Audit Services through the Fiscal Year Ending June 30, 2023**

Janet Sutter

##### **Overview**

On January 28, 2019, the Orange County Transportation Authority Board of Directors approved an agreement with Crowe LLP, to provide independent annual financial auditing services for a three-year initial term with one, two-year option term. The Internal Audit Department has prepared an evaluation of Crowe LLP, and based on the evaluation, is recommending continuing the auditing services. Board of Directors' approval is requested to exercise the option term effective April 1, 2022 through March 31, 2024.

##### **Recommendations**

- A. Approve draft evaluation questions and comments compiled by the Internal Audit Department for the Finance and Administration Committee.
- B. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 3 to Agreement No. C-8-1911 between the Orange County Transportation Authority and Crowe LLP, to exercise the two-year option term, in the amount of \$592,200, to provide the annual financial and agreed-upon procedures audits for the fiscal years ending June 30, 2022 and 2023. This will increase the maximum obligation of the agreement to a total contract value of \$1,497,861.



---

**BOARD AGENDA**

- 6. Fiscal Year 2021-22 Internal Audit Plan, First Quarter Update**  
Janet Sutter

**Overview**

The Orange County Transportation Authority Board of Directors adopted the Orange County Transportation Authority Internal Audit Department Fiscal Year 2021-22 Internal Audit Plan on August 9, 2021. This report provides an update of activities for the first quarter of the fiscal year.

**Recommendation**

Receive and file the first quarter update to the Orange County Transportation Authority Internal Audit Department Fiscal Year 2021-22 Internal Audit Plan as an information item.

- 7. Orange County Transportation Authority Investment and Debt Programs Report - September 2021**  
Robert Davis/Andrew Oftelie

**Overview**

The Orange County Transportation Authority has a comprehensive investment and debt program to fund its immediate and long-term cash flow demands. Each month, the Treasurer submits a report detailing investment allocation, performance, compliance, outstanding debt balances, and credit ratings for the Orange County Transportation Authority's debt program. This report is for the month ending September 30, 2021.

**Recommendation**

Receive and file as an information item.

- 8. Fiscal Year 2020-21 Fourth Quarter Budget Status Report**  
Anthony Baruch/Andrew Oftelie

**Overview**

Orange County Transportation Authority staff has implemented the fiscal year 2020-21 budget. This report summarizes the material variances between the budget and actual revenues and expenses through the fourth quarter of fiscal year 2020-21.



---

**BOARD AGENDA****Recommendation**

Receive and file as an information item.

**9. First Quarter Fiscal Year 2021-22 Procurement Status Report**  
Pia Veesapen/Andrew Oftelie**Overview**

The first quarter procurement status report summarizes the procurement activities for information purposes to the Orange County Transportation Authority Board of Directors. This report focuses on procurement activity from July 1 through September 30, 2021, that was approved by the Board of Directors during this period. The first quarter procurement status report also projects future procurement activity for the second quarter as identified in the fiscal year 2021-22 budget.

**Recommendation**

Receive and file as an information item.

**10. Property Insurance Policy Renewal**  
Al Gorski/Maggie McJilton**Overview**

The Orange County Transportation Authority holds a property insurance policy with Zurich Insurance Company. This policy is scheduled to expire on December 1, 2021, and a renewal is necessary to maintain coverage.

**Recommendation**

Authorize the Chief Executive Officer to negotiate and execute Purchase Order No. A48889, in an amount not to exceed \$735,000, to Marsh Risk and Insurance Services, Inc., to purchase property insurance on behalf of the Orange County Transportation Authority for the renewal of the coverage for the period of December 1, 2021 to December 1, 2022.



---

**BOARD AGENDA**

**11. Amendment to the Master Plan of Arterial Highways**  
Stephanie Chhan/Kia Mortazavi

**Overview**

The Orange County Transportation Authority administers the Master Plan of Arterial Highways, including the review and approval of amendments requested by local jurisdictions. The City of Orange is considering the recurring seasonal closure of Glassell Street to operate the Orange Plaza Paseo. An amendment to remove Glassell Street from the Master Plan of Arterial Highways is presented for the Regional Planning and Highways Committee and Board of Directors' consideration. An update on pending Master Plan of Arterial Highways amendments is also provided.

**Recommendations**

- A. Approve an amendment to the Master Plan of Arterial Highways to remove Glassell Street between Walnut Avenue and La Veta Avenue from the Orange County Master Plan of Arterial Highways.
- B. As applicable, direct the Executive Director of Planning, or his designee, to file a Notice of Exemption from the California Environmental Quality Act in support of the Board of Directors' action.

**12. Regional Planning Update**  
Warren Whiteaker/Kia Mortazavi

**Overview**

Updates on regional planning matters are provided regularly to highlight transportation planning issues impacting the Orange County Transportation Authority and the Southern California region. This update focuses on the Regional Early Action Planning Grants Program of 2021, preparations for the 2024 Connect SoCal, and the Orange County Council of Governments' exploration of alternative regional planning governance models.

**Recommendation**

Receive and file as an information item.



---

**BOARD AGENDA**

## **Orange County Local Transportation Authority Consent Calendar Matters**

### **13. Contract Change Orders for the Interstate 405 Improvement Project from State Route 73 to Interstate 605**

Jeff Mills/James G. Beil

#### **Overview**

On November 14, 2016, the Orange County Transportation Authority Board of Directors approved Agreement No. C-5-3843 with OC 405 Partners, a joint venture, for the design and construction of the Interstate 405 Improvement Project from State Route 73 to Interstate 605. Contract change orders are needed at this time to compensate OC 405 Partners for additional design and construction efforts related to providing additional environmental monitoring services, and fencing and landscaping in the City of Seal Beach.

#### **Recommendations**

- A. Authorize the Chief Executive Officer to negotiate and execute Contract Change Order No. 68.3 to Agreement No. C-5-3843 between the Orange County Transportation Authority and OC 405 Partners, a joint venture, in the amount of \$155,000, to provide additional environmental monitoring services.
- B. Authorize the Chief Executive Officer to negotiate and execute Contract Change Order No. 115 to Agreement No. C-5-3843 between the Orange County Transportation Authority and OC 405 Partners, a joint venture, in the amount of \$550,000, to provide additional fencing and landscaping between Interstate 405 and Lampson Avenue in the City of Seal Beach.





---

**BOARD AGENDA**

**14. Regional Traffic Signal Synchronization Program Update**  
Alicia Yang/Kia Mortazavi

**Overview**

The Orange County Transportation Authority has been working with local cities, the County of Orange, and the California Department of Transportation to fund and implement key regional traffic signal synchronization projects. This report provides an update on the Measure M2 Regional Traffic Signal Synchronization Program, including results from recently completed projects.

**Recommendation**

Receive and file as an information item.

**Regular Calendar**

**Orange County Transportation Authority Regular Calendar Matters**

**15. Active Transportation Program Biannual Update**  
Peter Sotherland/Kia Mortazavi

**Overview**

The Orange County Transportation Authority coordinates regional active transportation efforts in Orange County through planning, grant funding, and safety programs. An update on recent and upcoming activities is provided for review.

**Recommendation**

Receive and file as an information item.



---

**BOARD AGENDA****Orange County Local Transportation Authority Regular Calendar Matters**

- 16. Interstate 405 Improvement Project Update**  
Jeff Mills/James G. Beil

**Overview**

The Orange County Transportation Authority is currently underway with the implementation of the Interstate 405 Improvement Project. This report provides a project update.

**Recommendation**

Receive and file as an information item.

**Discussion Items**

- 17. Update on Interstate 5/El Toro Road Interchange Project**  
Niall Barrett/James G. Beil

Staff will provide a project update.

- 18. 2021 Board of Directors and Chief Executive Officer Initiatives and Action Plan - Third Quarter Progress Report**  
Darrell E. Johnson

On January 25, 2021, the Orange County Transportation Authority's Board of Directors and the Chief Executive Officer identified a set of priority goals and a supporting Action Plan. Quarterly progress reports are prepared sharing activities and accomplishments. This report summarizes the third quarter activities of calendar year, July through September 2021.

- 19. Public Comments**

At this time, members of the public may address the Board of Directors regarding any items within the subject matter jurisdiction of the Board of Directors, but no action may be taken on off agenda items unless authorized by law. Comments shall be limited to three (3) minutes per speaker, unless different time limits are set by the Chairman subject to the approval of the Board of Directors.



---

## **BOARD AGENDA**

### **20. Chief Executive Officer's Report**

### **21. Directors' Reports**

### **22. Closed Session**

A Closed Session will be held as follows:

- A. Pursuant to Government Code Section 54956.9(d)(1) - Conference with General Counsel - Existing Litigation - St. Andrew's by the Sea United Methodist Church v. Orange County Transportation Authority, et al. - OCSC Case No. 30-2018-01018320.
- B. Pursuant to Government Code Section 54956.9(d)(1) - Conference with General Counsel - Existing Litigation - J.L Kids, LLC v. California Department of Transportation, et al. - OCSC Case No. 30-2018-01014871.
- C. Pursuant to Government Code Section 54957.6 to discuss negotiations with Teamsters Local 952 regarding the coach operators. The lead negotiator for the Orange County Transportation Authority is Maggie McJilton, Executive Director of Human Resources and Organizational Development, and Teamsters Local 952 representative.
- D. Pursuant to Government Code 54957.6 to discuss collective bargaining agreement negotiations with the Transportation Communications International Union regarding the parts/stock room clerks and facilities maintenance employee unit. The lead negotiator for the Orange County Transportation Authority is Maggie McJilton, Executive Director of Human Resources and Organizational Development, and Transportation Communications International Union representative.

### **23. Adjournment**

The next regularly scheduled meeting of this Board will be held at **9:00 a.m. on Monday, November 22, 2021**, at the Orange County Transportation Authority Headquarters, Board Room - Conference Room 07-08, 550 South Main Street, Orange, California.