



## **BOARD AGENDA**

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# **REVISED**

Orange County Transportation Authority Board Meeting  
Orange County Transportation Authority Headquarters  
Board Room - Conference Room 07-08  
550 South Main Street  
Orange, California  
**Monday, February 12, 2018 at 9:00 a.m.**

Any person with a disability who requires a modification or accommodation in order to participate in this meeting should contact the OCTA Clerk of the Board, telephone (714) 560-5676, no less than two (2) business days prior to this meeting to enable OCTA to make reasonable arrangements to assure accessibility to this meeting.

### **Agenda Descriptions**

The agenda descriptions are intended to give members of the public a general summary of items of business to be transacted or discussed. The posting of the recommended actions does not indicate what action will be taken. The Board of Directors may take any action which it deems to be appropriate on the agenda item and is not limited in any way by the notice of the recommended action.

### **Public Comments on Agenda Items**

Members of the public may address the Board of Directors regarding any item. Please complete a speaker's card and submit it to the Clerk of the Board or notify the Clerk of the Board the item number on which you wish to speak. Speakers will be recognized by the Chairman at the time the agenda item is to be considered. A speaker's comments shall be limited to three (3) minutes.

### **Public Availability of Agenda Materials**

All documents relative to the items referenced in this agenda are available for public inspection at [www.octa.net](http://www.octa.net) or through the Clerk of the Board's office at the OCTA Headquarters, 600 South Main Street, Orange, California.

### **Call to Order**

### **Invocation**

Director Do

### **Pledge of Allegiance**

Director R. Murphy



## **BOARD AGENDA**

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### **Special Calendar**

#### **Orange County Transportation Authority Special Calendar Matters**

- 1. Presentation of Resolutions of Appreciation for Employees of the Year for 2017**

Present Orange County Transportation Authority Resolutions of Appreciation Nos. 2018-019, 2018-020, 2018-021 to Manuel Vargas, Coach Operator; Patrick Courchaine, Maintenance; and Lesley Hill, Administration, as Employees of the Year for 2017.

#### **Consent Calendar (Items 2 through 23)**

All matters on the Consent Calendar are to be approved in one motion unless a Board Member or a member of the public requests separate action on a specific item.

#### **Orange County Transportation Authority Consent Calendar Matters**

- 2. Approval of Minutes**

Approval of the Orange County Transportation Authority and affiliated agencies' regular meeting minutes of January 22, 2018.

- 3. Investigation and Limited Scope Review of the Senior Mobility Program Operated by the Korean American Senior Association**  
Serena Ng/Janet Sutter

##### **Overview**

The Internal Audit Department has completed an investigation of a report received from Orange County Transportation Authority's Transit Division related to the Korean American Senior Association of Orange County's Senior Mobility Program. Specifically, the Transit Division raised concerns about compliance with program requirements for wheelchair accessibility and with the service plan. The Internal Audit Department confirmed that the Korean American Senior Association of Orange County had not made trips available to those requiring wheelchair accessibility and that some trips provided were not outlined in the current service plan agreement. The Internal Audit Department also identified unsupported costs charged to the program and non-compliance with the program's minimum age requirement.



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**BOARD AGENDA****3. (Continued)****Recommendation**

Direct staff to implement recommendations to enhance oversight of the Senior Mobility Program operated by the Korean American Senior Association of Orange County and return to the Finance and Administration Committee with a status on compliance by June 1, 2018.

**4. Fiscal Year 2016-17 Auditor's Communication to Those Charged with Governance**

Janet Sutter

**Overview**

The Orange County Transportation Authority is required to obtain an independent auditor's opinion on its Comprehensive Annual Financial Report and various fund financial statements. Vavrinek, Trine, Day & Company, LLP, an independent accounting firm, conducted the annual audit of the Orange County Transportation Authority's financial statements for fiscal year 2016-17, and, as required by United States Generally Accepted Auditing Standards and Office of Management and Budget Circular A-133, has herewith issued its Auditor's Communication to Those Charged with Governance.

**Recommendation**

Receive and file as an information item.

**5. Fiscal Year 2016-17 Annual Financial and Agreed-Upon Procedures Reports**

Janet Sutter

**Overview**

The Orange County Transportation Authority is required to obtain an independent auditor's opinion on various financial statements. Vavrinek, Trine, Day & Company, LLP, an independent accounting firm, has completed its annual audits of the Orange County Transportation Authority and related entities for the fiscal year 2016-17. Additionally, reports have been issued on the results of agreed-upon procedures applied to determine compliance with certain state, federal, and local requirements. All reports are included herewith.



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**BOARD AGENDA****5. (Continued)****Recommendations**

- A. Receive and file the fiscal year 2016-17 annual financial statement audits and agreed-upon procedures reports as information items.
- B. Direct staff to implement two auditor recommendations related to procurement and subrecipient monitoring.

**6. Fiscal Year 2016-17 Management Letter**

Janet Sutter

**Overview**

In connection with the annual audit of the Orange County Transportation Authority's financial statements for fiscal year 2016-17, Vavrinek, Trine, Day & Company, LLP, has issued a management letter with five recommendations for improvement to internal controls.

**Recommendations**

- A. Receive and file the Orange County Transportation Authority Management Letter June 30, 2017, as an information item.
- B. Direct staff to implement auditor recommendations related to the information technology environment and procurement.

**7. Fiscal Year 2017-18 Internal Audit Plan, Second Quarter Update**

Janet Sutter

**Overview**

The Orange County Transportation Authority Board of Directors adopted the Orange County Transportation Authority Internal Audit Department Fiscal Year 2017-18 Internal Audit Plan on August 14, 2017. This update is for the second quarter of the fiscal year.

**Recommendation**

Receive and file the second quarter update to the Orange County Transportation Authority Internal Audit Department Fiscal Year 2017-18 Internal Audit Plan as an information item.



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**BOARD AGENDA**

**8. Purchasing Cards, Internal Audit Report No. 18-503**  
Gerald Dunning/Janet Sutter

**Overview**

The Internal Audit Department has completed an audit of purchasing cards. Based on the audit, controls to ensure transactions are valid, authorized, and in compliance with policies and procedures are generally adequate; however, three recommendations were made to improve compliance with the policies and procedures.

**Recommendation**

Direct staff to implement the three recommendations provided in Purchasing Cards, Internal Audit Report No. 18-503.

**9. Second Quarter Fiscal Year 2017-18 Procurement Status Report**  
Virginia Abadessa/Andrew Oftelie

**Overview**

The second quarter procurement status report summarizes the procurement activities for information purposes to the Orange County Transportation Authority Board of Directors. This report focuses on procurement activity from October 1 through December 31, 2017, that was approved by the Board of Directors during this period. The second quarter procurement status report also projects future procurement activity for the third quarter as identified in the fiscal year 2017-18 budget.

**Recommendation**

Receive and file as an information item.



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**BOARD AGENDA****10. 91 Express Lanes Property Insurance Renewal**

Al Gorski/Maggie McJilton

**Overview**

The Orange County Transportation Authority currently has a primary property and flood insurance policy for the 91 Express Lanes with Zurich American Insurance Company, and six earthquake insurance policies with Empire Indemnity Insurance Company, General Security Indemnity Company of Arizona, Everest Indemnity Insurance Company, QBE Specialty Insurance Company, Endurance American Insurance Company, and Princeton Excess and Surplus Lines Insurance Company which will expire on March 1, 2018.

**Recommendation**

Authorize the Chief Executive Officer to negotiate and execute Purchase Order No. A38806, in the amount of \$500,000, to Marsh USA, Inc., to purchase the 91 Express Lanes' property, flood, and earthquake insurance on behalf of the Orange County Transportation Authority for the policy period of March 1, 2018 to March 1, 2019.

**11. 2019 Federal Transportation Improvement Program and Financial Plan**

Ben Ku/Kia Mortazavi

**Overview**

The Orange County Transportation Authority is responsible for the biennial preparation of the Federal Transportation Improvement Program for Orange County. This document is required under state and federal laws and includes the financial information for all regionally significant transportation improvement projects in Orange County, with a total value of approximately \$2.959 billion. A summary of the Federal Transportation Improvement Program and a supporting resolution are submitted for Board of Directors' approval.



## **BOARD AGENDA**

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### **11. (Continued)**

#### **Recommendations**

- A. Authorize programming actions for, and the submittal of, the Federal Transportation Improvement Program project list and financial plan for fiscal year 2018-19 through fiscal year 2023-24.
- B. Authorize staff to process all necessary amendments to the Federal Transportation Improvement Program to facilitate programming of projects.
- C. Adopt Resolution No. 2018-005 of the Board of Directors of the Orange County Transportation Authority.

### **12. Orange County Transportation Authority State and Federal Grant Programs - Update and Recommendations**

Louis Zhao/Kia Mortazavi

#### **Overview**

The Orange County Transportation Authority provides grants to local agencies through various state and federal funding programs. Status reports on these programs, as well as project changes are presented for review and approval.

#### **Recommendations**

- A. Approve cancellation of four projects from the County of Orange, City of Dana Point, and City of San Clemente, for a total of \$5.824 million in savings.
- B. Approve funding three 2016 Bicycle Corridor Improvement Program standby list projects in the cities of Irvine, Newport Beach, and the County of Orange for a total of \$5.025 million in Congestion Mitigation and Air Quality Improvement Program funds, contingent on the California Department of Transportation's approval of project eligibility and the Orange County Transportation Authority Master Plan of Arterial Highways' amendment approval.
- C. Authorize staff to make all necessary amendments to the Federal Transportation Improvement Program and execute any required agreements or amendments to facilitate the recommendations above.



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**BOARD AGENDA****13. Update on the Implementation of Orange County Transportation Authority Corridor Studies**

Carolyn Mamaradlo/Kia Mortazavi

**Overview**

Between 2006 and 2010, five corridor studies were completed by the Orange County Transportation Authority. These studies focused on major travel corridors within Orange County and between neighboring counties. They also identified, evaluated, and recommended improvement options to address mobility challenges. Substantial progress has been made with respect to implementation of the studies' recommended improvements, and a status report is provided for the Board of Directors' information.

**Recommendation**

Receive and file as information item.

**14. Amendment to the Master Plan of Arterial Highways**

Carolyn Mamaradlo/Kia Mortazavi

**Overview**

The Orange County Transportation Authority administers the Master Plan of Arterial Highways, including the review and approval of amendments requested by local agencies. The City of Westminster has requested an amendment to the Master Plan of Arterial Highways that is recommended for approval. A status update on the active Master Plan of Arterial Highways amendments is also provided.

**Recommendations**

- A. Approve an amendment to the Master Plan of Arterial Highways to reclassify the following facilities from secondary (four-lane, undivided) arterials to divided collector (two-lane, divided) arterials:
- Garden Grove Boulevard between Interstate 405 northbound off-ramp/State Route 22 eastbound off-ramp/State Route 22 eastbound on-ramp and Edwards Street;
  - Edwards Street between Garden Grove Boulevard and Trask Avenue;
  - Trask Avenue between Edwards Street and Hoover Street;
  - Hoover Street between Garden Grove Boulevard and Bolsa Avenue.





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**BOARD AGENDA****14. (Continued)**

The proposed amendment will become final, contingent upon the Orange County Transportation Authority receiving documentation that the City of Westminster has amended their general plan, and has complied with the requirements of the California Environmental Quality Act.

If the originally proposed Master Plan of Arterial Highways amendment is modified as a result of the California Environmental Quality Act and/or general plan amendment process, the modified Master Plan of Arterial Highways amendment shall be returned to the Orange County Transportation Authority's Board of Directors for consideration.

- B. Direct the Executive Director of Planning, or his designee, to file a Notice of Exemption from the California Environmental Quality Act in support of the amendment to the Master Plan of Arterial Highways.
- C. Receive and file a status report on active Master Plan of Arterial Highways amendments.

**15. Regional Planning Update**

Greg Nord/Kia Mortazavi

**Overview**

The Orange County Transportation Authority coordinates regularly with planning and other agencies in Southern California. An update on state and regional activities regarding rule-making, greenhouse gas emission reduction target setting and strategies, and planning coordination efforts is provided for information purposes.

**Recommendation**

Receive and file as an information item.



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**BOARD AGENDA**

## **Orange County Transit District Consent Calendar Matters**

- 16. Approval to Release Request for Proposals for Same-Day Taxi Service**  
Sandy Boyle/Beth McCormick

### **Overview**

The Orange County Transportation Authority requires a contract with a private taxi operator to provide the Same-Day Taxi service. Staff is requesting Board of Directors' approval to release a request for proposals for taxi service providers.

### **Recommendations**

- A. Approve the proposed evaluation criteria and weightings for Request for Proposals 8-1440 for selection of taxi service providers.
- B. Approve the release of Request for Proposals 8-1440 to select taxi service providers to provide Same-Day Taxi services for a two-year initial term with two, one-year option terms.

- 17. Contract Change Order to Add Equipment to the Hydrogen Fuel Station**  
George Olivo/James G. Beil

### **Overview**

On November 13, 2017, the Orange County Transportation Authority Board of Directors authorized Agreement No. C-7-1577 with Trillium USA Company LLC, for the construction, operation, and maintenance of a hydrogen fuel station and liquid hydrogen deliveries. A contract change order is requested to install additional equipment to the hydrogen station to increase fueling capacity.

### **Recommendation**

Authorize the Chief Executive Officer to negotiate and execute Contract Change Order No. 1 to Agreement No. C-7-1577 with Trillium USA Company LLC, in the amount of \$442,305, for the installation of additional equipment to increase fueling capacity of the hydrogen fuel station. This will increase the maximum obligation of the agreement to a total contract value of \$6,914,432.



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**BOARD AGENDA**

**18. Award of Agreement for Compressed Natural Gas Fueling Facility Operation and Maintenance**  
Cliff Thorne/Beth McCormick

**Overview**

On September 25, 2017, the Board of Directors approved the release of a request for proposals for operation and maintenance services of the compressed natural gas facility located at the Irvine Sand Canyon base. Offers were received in accordance with the Orange County Transportation Authority procurement procedures for professional and technical services. Board of Directors' approval is requested to execute an agreement for these services.

**Recommendations**

- A. Approve the selection of Clean Energy as the firm to provide compressed natural gas fueling facility operation and maintenance.
- B. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-7-1930 between the Orange County Transportation Authority and Clean Energy, in the amount of \$677,160, to provide compressed natural gas fueling facility operation and maintenance, for a three-year initial term beginning March 1, 2018, with two, one-year option terms.

**Orange County Local Transportation Authority Consent Calendar Matters**

**19. Capital Programs Division - Second Quarter Fiscal Year 2017-18 Capital Action Plan Performance Metrics**  
James G. Beil

**Overview**

The Orange County Transportation Authority's Strategic Plan key strategies and objectives to achieve the goals for Mobility and Stewardship include delivery of all Capital Action Plan projects on time and within budget. The Capital Action Plan is used to create a performance metric to assess capital project delivery progress on highway, grade separation, rail, and facility projects. This report provides an update on the Capital Action Plan delivery and performance metrics.



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### **19. (Continued)**

#### **Recommendation**

Receive and file as an information item.

### **20. Amendment to Cooperative Agreement with the California Department of Transportation for the State Route 55 Improvement Project Between Interstate 405 and Interstate 5**

Ross Lew/James G. Beil

#### **Overview**

On June 12, 2017, the Orange County Transportation Authority Board of Directors approved a cooperative agreement with the California Department of Transportation to establish roles, responsibilities, and funding obligations for the preparation of plans, specifications, and estimates, and to advertise and award the construction contract for the State Route 55 Improvement Project between Interstate 405 and Interstate 5. Board of Directors' approval is requested to amend this cooperative agreement for additional funding.

#### **Recommendations**

- A. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 1 to Cooperative Agreement No. C-7-1753 between the Orange County Transportation Authority and the California Department of Transportation to update Funding Summary No. 1 and transfer \$500,000 in federal Surface Transportation Block Grant Program funds from the California Department of Transportation to the Orange County Transportation Authority.
- B. Authorize the use of an additional \$3.2 million in federal Surface Transportation Block Grant Program funds, bringing the total funding committed for the plans, specifications, and estimates to \$20.7 million.
- C. Authorize staff to process all necessary amendments to the Federal Transportation Improvement Program and execute or amend all necessary agreements to facilitate the above recommendation.



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## **BOARD AGENDA**

- 21. Cooperative Agreement with the California Department of Transportation for the Interstate 5 Widening Project Between Oso Parkway and Alicia Parkway**  
Niall Barrett/James G. Beil

### **Overview**

The Orange County Transportation Authority proposes to enter into a cooperative agreement with the California Department of Transportation for construction capital and construction management support services for the Interstate 5 widening project between Oso Parkway and Alicia Parkway.

### **Recommendation**

Authorize the Chief Executive Officer to negotiate and execute Cooperative Agreement No. C-8-1426 between the Orange County Transportation Authority and California Department of Transportation, in the amount of \$147,584,000, comprised of a construction capital share of \$124,020,000, and a construction management services share of \$23,564,000, for the Interstate 5 widening project between Oso Parkway and Alicia Parkway.

- 22. 2018 Project V Community-Based Transit/Circulators Program Guidelines and Call for Projects**  
Jodie McCann/Kia Mortazavi

### **Overview**

Measure M2 establishes a competitive program through Project V to fund local transit services such as shuttles, trolleys, and circulators that complement regional transit services. Based on interest from local agencies, a competitive Call for Projects is recommended, and updated guidelines are presented for review and approval.

### **Recommendations**

- A. Approve the 2018 Project V Community-Based Transit/Circulators Program Guidelines.
- B. Authorize staff to issue the 2018 Project V Community-Based Transit/Circulators Call for Projects in the amount of \$12 million.
- C. Authorize changes to the guidelines to allow consideration of 2018 Project V applications for off-peak Orange County Transportation Authority-led services, on a case-by-case basis.



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**BOARD AGENDA****Orange County Service Authority For Freeway Emergencies  
Consent Calendar Matters**

- 23. Approval to Release Request for Proposals for Freeway Service Patrol Services**  
Patrick Sampson/Beth McCormick

**Overview**

Staff is requesting Board of Directors' approval to release a request for proposals for Freeway Service Patrol services for four service areas, consisting of 14 peak-hour beats, four midday beats, one weekend beat, and one construction support beat.

**Recommendations**

- A. Approve the proposed evaluation criteria and weightings for Request for Proposals 7-2155 for Freeway Service Patrol services.
- B. Approve the release of Request for Proposals 7-2155 for Freeway Service Patrol services for four service areas.

**Regular Calendar****Orange County Transportation Authority Regular Calendar Matters**

- 24. Transit Master Plan - Draft Final Plan and Action Plan**  
Gary Hewitt/Kia Mortazavi

**Overview**

The Transit Master Plan, also known as the OC Transit Vision, is a 20-year integrated bus, rail, and paratransit plan for enhancing and expanding public transit service in Orange County. This plan identifies future potential transit corridor studies and recommended changes to existing transit service. The draft final plan and action plan have been developed for Board of Directors consideration.



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**BOARD AGENDA****24. (Continued)****Recommendations**

- A. Direct staff to consider OC Transit Vision Action Plan in the upcoming Long-Range Transportation Plan process, and return to the Board of Directors with an update as part of the draft 2018 Long-Range Transportation Plan.
- B. Direct staff to study transit improvements on the State College Boulevard and Main Street corridors as part of the OC Transit Vision Short-Term Action Plan.

**25. 2018 Long-Range Transportation Plan Update**

Greg Nord/Kia Mortazavi

**Overview**

The Long-Range Transportation Plan develops Orange County's program of projects for the multi-county Regional Transportation Plan, prepared by the Southern California Association of Governments. The plan also serves as a policy framework for future transportation investments in Orange County. Scenarios are being developed and evaluated to measure how transportation investments and policies may influence future travel conditions. A summary of initial model results for the proposed financially constrained project list is presented for discussion below.

**Recommendation**

Receive and file as an information item.



## **BOARD AGENDA**

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### **Discussion Items**

**26. Update on the Interstate 5 Widening Project from State Route 73 to El Toro Road**

Niall Barrett/James G. Beil

Staff will provide a project update.

**27. Public Comments**

At this time, members of the public may address the Board of Directors regarding any items within the subject matter jurisdiction of the Board of Directors, but no action may be taken on off-agenda items unless authorized by law. Comments shall be limited to three (3) minutes per speaker, unless different time limits are set by the Chairman subject to the approval of the Board of Directors.

**28. Chief Executive Officer's Report**

**29. Directors' Reports**

**30. Closed Session**

A Closed Session will be held as follows:

Pursuant to Government Code Section 65956.9(d)(1) – Existing Litigation – Conference with Legal Counsel – Orange County Transportation Authority v. Haydon Brothers, OCSC Case No. 30-2012-00605657.

**31. Adjournment**

The next regularly scheduled meeting of this Board will be held at **9:00 a.m. on Monday, February 26, 2018**, at the Orange County Transportation Authority Headquarters, 550 South Main Street, Board Room - Conference Room 07-08, Orange, California.