



# Orange County Transportation Authority

## Environmental Cleanup Allocation Committee Agenda

### Thursday, February 12, 2026 at 10:30 a.m.

550 South Main Street, Orange, California

#### Call to Order

#### Special Calendar

1. **Chair and Vice Chair Elections**  
Marissa Espino

#### Action Items

2. **Approval of Minutes**  
Marissa Espino

##### ***Recommendation(s)***

Approve the minutes of the February 13 and August 14, 2025 meeting of the Environmental Cleanup Allocation Committee.

##### ***Attachments:***

[Minutes](#)

3. **Tier 1 Guidelines Revision and Call for Projects**  
Alison Army/Cynthia Morales

##### ***Recommendation(s)***

- A. Endorse the proposed revisions to the Comprehensive Transportation Funding Programs Guidelines for the Environmental Cleanup Program (Project X) Tier 1 program.
- B. Recommend Board of Directors approval to issue the 2026 Environmental Cleanup Program Tier 1 call for projects.

##### ***Attachments:***

[Staff Report](#)

[Attachment A](#)

[Attachment B](#)

#### Informational Items

4. **Tier 2 Program Performance Assessment**  
Alison Army

# ENVIRONMENTAL CLEANUP ALLOCATION COMMITTEE MEETING

## AGENDA

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### 5. City of Anaheim Stormwater Credit Program

#### *Overview*

Keith Linker, City of Anaheim, will provide an overview of the Stormwater Credit Program.

### Update Reports

### 6. Staff Liaison Update

Marissa Espino

### 7. Public Comments

### 8. Committee Member Comments

### 9. Adjournment

The next regularly scheduled meeting of this Committee will be held:

**10:30 a.m. on Thursday, May 14, 2026**

OCTA Headquarters  
550 South Main Street  
Orange, California

### Accommodations

Any person with a disability requiring accommodation to participate in this meeting should contact the Clerk of the Board's office at (714) 560-5676, no less than two business days prior to the meeting to make arrangements.

### Agenda Descriptions

Agenda descriptions are intended to provide a summary of items of business to be transacted or discussed. The Board/Committee may take any action that it deems to be appropriate on the agenda item and is not limited in any way by the notice of the recommended action.

### Public Availability of Agenda Materials

All documents relative to this agenda are available for viewing at [www.octa.net](http://www.octa.net) or at OCTA Headquarters, 600 S. Main Street, Orange, CA during normal business hours.

### Meeting Access and Public Comments on Agenda Items

Public comments can be made in-person at the meeting by completing speaker's card and submitting it to the Clerk of the Board prior to the item being called by the Chair. Public speakers will be recognized by the Chair and comments shall be limited to three minutes (unless otherwise directed by the Chair). Language translation can be provided upon request, if available.

### Written Comment

Written comments may be emailed to [Committees@octa.net](mailto:Committees@octa.net) no later than 5:00 p.m. the day prior to the meeting. Timely received written comments will be part of the public record and distributed to the Board/Committee.



## ***Minutes***

### ***Environmental Cleanup Allocation Committee***

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#### **Committee Members Present**

*Garry Brown, Chair*  
*Keith Linker, Vice Chair*  
*Alex Waite, City of Tustin*  
*Danny H. Kim, California State University, Fullerton*  
*Erica Ryan, San Diego RWQCB*  
*Grant Sharp, OC Public Works*  
*Lorrie Lausten, Trabuco Canyon Water District*  
*Matt Collings, Moulton Niguel Water District*  
*Michael Jones, Santa Ana RWQCB*  
*Thomas Wheeler, Lake Forest, 3rd District*

Orange County Transportation Authority  
550 South Main Street, Conference Room 07  
Orange, CA  
February 13, 2025

#### **Member(s) Absent**

*Jarad Hildenbrand, City of Laguna Hills*  
*Hector Salas, Caltrans District 12*  
*Peter Grant, City of Cypress*  
*Tyler Holst, Rancho Mission Viejo*

#### **1. Welcome**

Garry Brown called the Environmental Cleanup Allocation Committee (ECAC) meeting to order.

#### **2. Approval of September 12, 2024, Minutes**

A motion was made by Thomas Wheeler, seconded by Matt Collings to approve the September 12, 2024 ECAC meeting minutes. Motion passed unanimously.

#### **3. Tier 1 Guidelines Revisions and Call for Projects**

Alison Army, OCTA, presented the item.

##### Committee Member Comments:

There were no committee comments.

##### **Action Recommendations:**

- A. *Endorse the proposed revisions to the Comprehensive Transportation Funding Programs Guidelines for the Environmental Cleanup Program (Project X) Tier 1 program.*

*B. Recommend Board of Directors approval to issue the 2025 Environmental Cleanup Program Tier 1 call for projects.*

Both items were taken as one motion, with the motion to approve made by Keith Linker, Thomas Wheeler seconded. The motion was approved unanimously.

**4. Future ECP Calls for Projects Working Group Update**

Alison Army, OCTA, Charvalen Alacar, OCTA, and Dan Phu, OCTA, presented the item.

Committee Member Comments:

A committee member asked how going to two phases would affect payment structure. Charvalen Alacar responded it would require two payment submittals, one for design engineering and one for construction.

A committee member asked if the payments would be at the beginning of the phases, except for the last payment. Charvalen Alacar responded currently, a request for 75% initial payment is made and design engineering phase need to be complete before requesting the next payment. Dan Phu commented that under the Regional Capacity, Streets and Roads Program, they are separate, distinct. You get funding for a roadway project where there is a timeline for completion, and then you can request the construction funds. There is no guarantee you will get the funding, and it is a whole separate project and obligation.

A committee member asked if there were any cases where design engineering was funded but not the construction. Dan Phu responded it is a bit of a risk, but there are state funding pods that design-ready projects can go after. Tier 2 projects are a combination of Measure M and state funding. Projects not funded can reapply at the next cycle call.

A committee member commented that two-phase funding would be helpful due to future large projects in which design would take a lot of time.

A committee member asked, if there was going to be two phases, would there need to be, in the Tier 2 monies, a division in the budgeting, one for engineering and one for construction. Charvalen Alacar responded they don't split between phases. For the Capacity Program they do it by dollar amount; 60% is reserved for projects under five million dollars and projects over five million dollars get 40%.

A committee member asked if receiving money for design and completing the design does not obligate money for construction. Charvalen responded that it is correct.

A committee member commented that design engineering projects that were shovel ready and had not been funded through the program should be considered equal to those that were applying for construction funding. Alison Army responded they are, that is correct, and they will make it more consistent with Project O.

A committee member asked what the next steps are. Alison Army responded staff will hold another ad hoc meeting in the March-April timeframe and report back to the committee in July, along with the funding recommendations for Tier 1.

Committee members and OCTA staff shared comments about the interest of the water districts in submitting projects, how to reach out to them and the eligibility requirements.

#### **5. Master Purchase Agreement for Tier 1 Equipment and Installation Update**

Alison Army, OCTA presented the item.

##### Committee Member Comments:

A committee member commented that having the county negotiate the contract was highly beneficial to the cities rather than having each city negotiate.

#### **6. Public Comments**

There were no public comments.

#### **7. Committee Member Reports**

Committee members commented on the capital improvement program (CIP) progress at the county level for a stormwater capture program, readiness, and funding eligibility. Looking to develop a regional stormwater capital improvement program where large-scale projects are capturing runoff from multiple jurisdictions being potentially used to foster the design, planning, operation and opening opportunities for funding from sources such as OCTA Project X.

A committee member asked what the goals of the meetings to develop a county-wide stormwater CIP. A committee member responded that the goal is to develop a framework within a couple of years for presenting large, regional scale projects, that are close to shovel-ready, to be eligible for future funding opportunities such as Tier 2.

A committee member commented that each regional board has added a dedicated staff member in the stormwater and recycled water groups to further the Governor's policy on enhancing water supply. The staff is available to help any agencies with their water needs, programs, and funding opportunities.

A committee member asked if there has already been a model identified. A committee member responded that it is a topic for their next meeting, there are some out there.

#### **8. Next Meeting – July 10, 2025**

#### **9. Adjournment**

The meeting adjourned at 11:17 a.m.



## ***Minutes***

### ***Environmental Cleanup Allocation Committee***

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#### **Committee Members Present**

*Garry Brown, Chair*  
*Alex Waite, City of Tustin*  
*Danny H. Kim, California State University, Fullerton*  
*Grant Sharp, OC Public Works*  
*Lorrie Lausten, Trabuco Canyon Water District*

Orange County Transportation Authority  
550 South Main Street, Conference Room 07  
Orange, CA  
August 14, 2025

#### **Member(s) Absent**

*Keith Linker, Vice Chair*  
*Erica Ryan, San Diego RWQCB*  
*Gaurav Rajen (Raj), Santa Ana RWQCB*  
*Hector Salas, Caltrans District 12*  
*Matt Collings, Moulton Niguel Water District*  
*Peter Grant, City of Cypress*  
*Tyler Holst, Rancho Mission Viejo*

#### **1. Welcome**

Garry Brown called the Environmental Cleanup Allocation Committee (ECAC) meeting to order.

#### **2. Approval of February 13, 2025, Minutes**

Due to lack of quorum, the February 13, 2025 minutes will be placed on the next meeting agenda for approval.

#### **3. Tier 1 Guidelines Revisions and Call for Projects**

Alison Army, OCTA, presented the item.

##### Committee Member Comments:

There were no committee comments.

##### **Action Recommendations:**

- A. Concur with the application review committee's recommendation and recommend approval to the Board of Directors to allocate \$3,088,766 in Tier 1 Environmental Cleanup Program funding for 8 projects.*

The motion to approve was made by Lorrie Lausten, Alex Waite seconded. The motion was approved unanimously.

#### **4. Update on Future ECP Calls for Projects**

Alison Army, OCTA, and Garry Brown, ECAC Chair, presented the item.

##### Committee Member Comments:

Committee members and OCTA staff discussed the recommendations of a working group held in December of 2025 and of other ad hoc committees. Discussions included the funding program and what can be streamlined, is there a need for continuing Tier 1, what is working, what isn't, the current guidelines, proposed modifications and a comparison of other entities with similar programs. Alison Army commented the most important discussions were the focus of the Tier 2 funding guidelines and the disposition of the Tier 1 program in coordination with the Tier 2 program. Discussion continued among members and staff.

Alison Army commented the ad hoc recommended it would be beneficial to have three application options: applications for project development, the construction phase or a hybrid combination of the first two.

A committee member asked if you received a grant for the planning and design does that mean you automatically get the grant for the construction. Dan Phu responded they are trying to mirror the structure of Project O, and it does not mean that you would automatically get the funding for construction.

A committee member asked if there is a limit on funding for the project development phase. Dan Phu responded they would follow similar precedent of Project O, and recommendations would be made after the project phases were reviewed. There was an acknowledgement that this would continue to be analyzed and adjusted for future calls.

Alison Army commented that there would be a two to three year cycle for Tier 2, and the revenue stream would be looked at to determine the best split, and a three-year split is ideal administratively due to less updates to the guidelines and timing to other programs. Changes look to be initiated in 2027.

Alison Army commented the funding amounts would be determined after all applications were received and the splitting of the funding would be based on a percentage using Project O as the example.

A committee member asked if recommendations related to the cap for Tier 2 projects could be discussed due to rising costs. Alison Army responded there could be further discussions on this. Dan Phu responded it would be dependent on the cash flow and would be considered at the time. Discussion ensued on costing and allocation.

A committee member commented they were unsure about the hybrid option not being eligible for an extension, but, overall, they felt this would bring in more projects. Discussion continued and Dan Phu commented that they were following the Project O model.

A committee member asked how the proposed modifications to the funding plans get memorialized. Alison Army responded that they would show the proposed guidelines to the committee, and then it would be referred to the whole Board for approval.

#### **5. Master Purchase Agreement for Tier 1 Equipment and Installation Update**

Alison Army, OCTA presented the item.

Two master purchase agreements had been executed with the county for cities to utilize, they are United Storm Water and G2 for Tier 1 projects. It is a five-year contract available to any city for any type of Tier 1 equipment.

#### **6. Public Comments**

There were no public comments.

#### **7. Committee Member Reports**

A committee member commented that there had not been onsite visits to projects recently. Alison Army responded they would look into some site visits. Dan Phu responded they would also look into having project sponsors come in and do presentations.

A committee member provided an update on developing the framework on a regional stormwater capital improvement project.

#### **8. Next Meeting – February 2026.**

#### **9. Adjournment**





**February 12, 2026**

**To:** Environmental Cleanup Allocation Committee

**From:** Orange County Transportation Authority Staff

**Subject:** Measure M2 Environmental Cleanup Program (Project X) - Tier 1  
2026 Grant Program Call for Projects

### **Overview**

The Measure M2 Environmental Cleanup Program (Project X) provides funding for water quality improvement projects to address transportation-generated pollution. The updated Measure M2 Environmental Cleanup Program Tier 1 call for projects guidelines are submitted for review and endorsement.

### **Recommendations**

- A. Endorse the proposed revisions to the Comprehensive Transportation Funding Programs Guidelines for the Environmental Cleanup Program (Project X) Tier 1 program.
- B. Recommend Board of Directors approval to issue the 2026 Environmental Cleanup Program Tier 1 call for projects.

### **Background**

The Environmental Cleanup Program (ECP), referred to as Project X, allocates two percent of the annual Measure M2 (M2) revenues to improve overall water quality in Orange County. Funding is allocated on a countywide competitive basis to assist jurisdictions in controlling transportation-related pollution. These funds are intended to supplement, not supplant, existing transportation-related water quality programs. Funds are awarded to priority projects that improve water quality in streams, harbors, and other waterways that have a nexus to transportation-related pollution, consistent with the Orange County Transportation Authority's (OCTA) Ordinance No. 3. The Environmental Cleanup Allocation Committee (ECAC) is tasked to advise the OCTA Board of Directors (Board) on priorities and processes for the use of ECP funds.

In May 2010, the Board approved a two-tiered approach to fund the M2 ECP. The Tier 1 Grant Program consists of funding for equipment purchases and upgrades to existing storm drains and related best management practices. The Tier 2 Grant Program consists of funding for regional, potentially multijurisdictional, capital-intensive projects.

The previous Tier 1 call for projects (call) was finalized by the Board on October 13, 2025, with the approval of \$3,088,766 in ECP Tier 1 funds. The Board approved eight project applications based on the scoring criteria. To date, the Board has approved funding for 241 Tier 1 projects, totaling over \$43 million. It is estimated that nearly 92 million gallons of trash have been captured since inception of the ECP in 2011.

### ***Discussion***

At the conclusion of each call for projects and evaluation process, staff refines the program evaluation criteria. Consequently, the recommended Project X Tier 1 Comprehensive Transportation Funding Programs (CTFP) guidelines were revised in preparation for a 2026 call. A summary of the modifications is provided below.

#### **2026 Call Updates:**

- Updates ECP call application schedule with application submittal deadline for Thursday, May 7, 2026, at 5:00 p.m.
- Clarifies that landscaping directly tied to improving water quality is eligible.
- Specifies that street improvement activities are ineligible unless necessary to restore a Best Management Practice installation area to pre-construction conditions.

All other proposed modifications are considered relatively minor and would not result in significant changes to the CTFP guidelines. The proposed funding target for the call is approximately \$3.5 million in M2 Project X funds. For a more detailed summary of all proposed revisions, see Attachment A, which provides a table of proposed changes, as well as Attachment B, which provides a red-lined version of all proposed CTFP guidelines changes.

#### **Next Steps**

Upon ECAC endorsement, staff will seek Board approval of the revised Tier 1 CTFP Guidelines and authority to issue a 2026 call for the Tier 1 Grant Program in March 2026. Contingent on Board-approval, the call would be released on March 9, 2026, and applications would be due on May 7, 2026. OCTA will also

monitor and report on project status and delivery through the CTFP semi-annual review and M2 quarterly reporting processes.

***Summary***

Staff is recommending the ECAC's endorsement of the proposed revisions to the Tier 1 CTFP Guidelines and requests the ECAC's endorsement to request OCTA Board authorization to issue a 2026 ECP Tier 1 call.

***Attachments***

- A. List of Proposed Revisions to the 2026 CTFP Guidelines for Project X, Tier 1 Program
- B. Guidelines Excerpt, Comprehensive Transportation Funding Programs Guidelines, 2026 Call for Projects

<b>List of Proposed Revisions to the 2026 CTFP Guidelines for Project X, Tier 1 Program</b>			
<b>No.</b>	<b>Section</b>	<b>Page No.</b>	<b>Proposed Change</b>
1	Overview	11-2, 11-12, 11-16	Updated call contact to Mason Doshier, Transportation Funding Analyst, Associate, Measure M2 Local Programs.
2	Program Overview	11-3	Updated number of calls held.
3	Funding Estimates	11-5	Updated reference from 2025 to 2026.
4	2026 Tier 1 Call for Projects	11-6	<p>Updated 2025 call references to reflect a 2026 call and updated the application deadline for the 2026 Tier 1 call to <b>Thursday, May 7, 2026, at 5:00 p.m.</b></p> <p>Updated construction contracts project award deadline to December 31, 2027.</p> <p>Revised language explaining the application review process to ensure consistent descriptions across all programs described in the CTFP guidelines.</p> <p>Added a tentative schedule for a 2026 call as follows:</p> <ul style="list-style-type: none"> <li>• Board authorization to issue call: March 9, 2026</li> <li>• Application submittal deadline: May 7, 2026</li> <li>• ECAC Review: August 2026</li> <li>• Committee/Board approval: Fall 2026</li> </ul>
5	Ineligible Expenditures	11-14	Clarified that only landscaping directly related to improving water quality is eligible, distinguishing it from aesthetic or ornamental landscaping, which is ineligible.
6	Ineligible Expenditures	11-14	Added language to specify that general street improvement and maintenance work are ineligible, except surface repairs necessary to restore Project X-funded BMP sites.

**Acronyms**

BMP – Best Management Practice

Call – Call for projects

CTFP – Comprehensive Transportation Funding Programs

ECAC – Environmental Cleanup Allocation Committee

# GUIDELINES EXCERPT COMPREHENSIVE TRANSPORTATION FUNDING PROGRAMS GUIDELINES

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## **2026 CALL FOR PROJECTS**

Orange County Transportation Authority



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# Comprehensive Transportation Funding Programs



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## Chapter 11 – Environmental Cleanup Program (Project X)

### Overview

The ECP, herein referred to as Project X, provides M2 revenues to improve overall water quality in Orange County from transportation-generated pollution. Specifically, OCTA's Ordinance No. 3 (Ordinance), dated July 24, 2006, provides that 2 percent (2%) of gross M2 revenues be dedicated to protecting Orange County beaches and waterways from the conveyance of urban runoff associated with transportation-generated pollution. Project X ensures that funds will be used on a countywide competitive basis to meet federal Clean Water Act standards for controlling transportation-generated pollution by funding nationally recognized Best Management Practices (BMPs).

As required by the Ordinance, an Environmental Cleanup Allocation Committee (ECAC), representing a broad cross-section of the water quality community, was formed in October 2007 to provide guidance on program design and funding. The goal of Project X is to fund projects on a countywide, competitive basis. This will assist the County of Orange and Orange County cities in reducing transportation-related water quality pollution by meeting Clean Water Act standards for local waterways and beaches.

Proposed projects must demonstrate a direct nexus (connection) to a reduction of transportation-related pollution as developed and defined by the ECAC in conformity with the Ordinance. All proposing agencies must demonstrate an understanding of how their proposed projects meet the following transportation pollution nexus definition:

- Transportation-related activities can be a contributor of pollutants and/or impairments to receiving waters via aerial deposition, storm, and non-storm water discharges. Transportation-related activities are associated with the operation, construction, and maintenance of public roads, highways, and other ground transportation systems.
- The conveyance of transportation-related pollutants to surface and groundwater can occur from precipitation, runoff, and leachate entering or discharging from public roads, highways, and other ground transportation systems via drainage systems, such as catch basins, curbs, gutters, ditches, manmade channels, retention basins, or storm drains. The quality and quantity of these discharges vary considerably and are affected by hydrology, geology, land use, season, and sequence and discharge of hydrologic events.
- Pollutant sources can encompass right-of-way, properties, facilities, and activities related to motor vehicles, highway maintenance, construction site runoff, maintenance facility runoff, illegal dumping, spills, and landscaping care. Pollutant categories include but are not limited to metals (such as copper, lead, and zinc), organic chemicals and compounds (hydrocarbons), pesticides, sediment, nutrients (nitrogen and phosphorus), litter, oxygen demanding substances (decaying

## Comprehensive Transportation Funding Programs

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vegetation, animal waste, and other organic matter), groundwater dewatering discharges, and pathogenic material.

Project X funds are designed to supplement, not supplant, existing water quality programs. Proposed projects must improve and not replace existing pollution reduction efforts by an eligible party. Funds will be awarded to the most competitive projects with the highest benefit to water quality.

The intent of Project X is to provide funding for water quality projects that do not replace existing transportation water quality expenditures. In other words, if a project has components which would replace features already in place or which would fulfill project specific mitigation, those components would not be eligible for funding consideration. Some upgrades and expansions may be eligible with appropriate supporting justification.

Proposed projects, which support compliance with the 2015 adopted Trash Provisions, are eligible for Project X funding provided the funds do not replace established and programmed funds and the funds are not applied to any mandated project design features or required mitigation measures.

The eligibility of the project and its components will be determined during the evaluation process. Contact [Mason Doshier](#) at (714) 560-[5427](#), or [mdoshier@octa.net](mailto:mdoshier@octa.net) with questions.

## Tier 1 Grant Program

### Overview

The Tier 1 Grant Program is designed to mitigate the more visible forms of pollutants, such as litter and debris, which collect on roadways and in the catch basins (storm drains) prior to being deposited in waterways and the ocean. It consists of grant funding for Orange County local governments to purchase equipment and upgrades for existing catch basins and other related BMPs (i.e., “street-scale” low flow diversion projects). Examples include screens, filters, and inserts for catch basins, as well as other devices designed to remove the above-mentioned pollutants. To date, [15](#) Tier 1 calls for projects have been held. Through this process, many of the opportunities for street-scale BMPs have been fulfilled. Water quality projects, regardless of technology, are eligible for Tier 1 funding provided they have a verifiable benefit to water quality and fall within the maximum per project programming cap. The intent of this funding program is for project applicants to complete the work generally within one year from the letter agreement execution date.

### Tier 1 Project Types

Tier 1 projects funded in the past include the following types. A description of each project type is provided below:

- 1) Automatic Retractable Screen and other debris screens or inserts: screen or insert units prevent debris from entering the storm drain system.
- 2) Irrigation system retrofits with non-spraying heads to reduce runoff: these projects decrease runoff from highway medians by using more efficient irrigation systems and/or replacing existing landscape to reduce the amount of water used in irrigation.
- 3) Continuous Deflection Separator (CDS): CDS units screen, separate, and trap debris, sediment, oil, and grease from storm water runoff.
- 4) Linear Radial Gross Solid Removal Device (GSRD): GSRDs are certified full capture systems which efficiently remove large solids from runoff water flows.
- 5) Marina Trash Skimmer: these devices draw in floating debris, such as plastics, bottles, paper, oil sheen, and driftwood. The installation of marina trash skimmers will reduce the amount of trash and debris reaching the open ocean.
- 6) Bioswales and Bioretention systems: pollutants and sediments are captured and subsequently removed from stormwater runoff.
- 7) Trash Boom: a floating boom placed across a channel captures trash and debris that have reached flood channels from being further conveyed to downstream receiving waters.

## Pre-Application Process

In order to ensure the best use of M2 funds and assist eligible jurisdictions with the Tier 1 Grant Program, applicants may engage in a pre-application process with OCTA staff in project planning, cost estimate development, and determination of likely projected competitiveness. Specific meeting times will be established once the call is initiated. After the call for projects deadline and submittal of the grant application, applicants will not be able to change the content of the application or scope of the project.

## Eligible Applicants

Project X funds can be used to implement transportation-related water quality improvement projects to assist Orange County cities and the County of Orange to meet federal Clean Water Act standards for urban runoff and State Water Resources Control Board requirements for trash capture. Applicants eligible for Project X funds include the 34 Orange County cities plus the County of Orange. Eligible applicants must meet the transportation requirements discussed in the M2 Ordinance.

Third parties, such as water and wastewater public entities, environmental resource organizations, nonprofit 501(c) environmental institutions, and homeowners' associations cannot act as the lead agency for a proposed project; however, these agencies can coordinate with an eligible Orange County city and/or the County of Orange.

Two or more agencies may participate in a project. If a joint application among agencies and/or third-party entities is submitted, a preliminary agreement with joint or third-party entities must be provided as part of the application. In order to meet Ordinance requirements, an eligible applicant must be the lead agency for the funding application. If a project includes more than one jurisdiction and is being submitted as a joint application, one agency shall act as lead agency and must provide a resolution of support from all joint applicants.

Each eligible jurisdiction must meet the eligibility criteria as set forth in Chapter 1 of these guidelines.

## Project Programming

The Tier 1 Grant Program approach is designed to be consistent with Chapter 2 of the CTFP Guidelines regarding the provisions below:

- Program Consolidation
- Funding Projections
- Project Cost Escalation
- Programming Adjustments
- Programming Policies

- Schedule Change Requests
- Timely use of Funds
- Project Advancements
- Semi-Annual Review

Refer to Chapter 2 for explanations of the above provisions.

## **Funding Estimates**

Approximately \$3.5 million is available for the [2026](#) Tier 1 call for projects.

The maximum amount for the Tier 1 Grant Program is \$600,000 per project. The maximum amount that an eligible local agency can receive in this funding period is \$600,000.

## **Matching Funds**

For the Tier 1 Grant Program, a minimum local match of 20 percent (20%) of the eligible project cost is required. The matching funds shall be provided as a cash contribution.

Retroactive expenditures cannot be credited towards the matching fund threshold or project expenditures.

## **Overmatch**

For the Tier 1 Grant Program, administering agencies may “overmatch” Project X projects; that is, additional cash match may be provided for the project. Applicants will receive additional points in the evaluation process for matching with cash above the minimum requirement. Proposals that exceed the 20 percent (20%) minimum funding match will be given an additional one-half point for every five percent (5%) over the minimum cash match (up to five bonus points).

Additionally, administering agencies must commit to cover any future cost overruns if the project is underfunded. Any work not eligible for Project X reimbursement must be funded by other means by the project applicant and cannot count as match. These non-eligible items should not be included in the cost estimate breakdown in the application.

## **Reimbursements**

For the Tier 1 Grant Program, OCTA will release funds through two payments. The initial payment will constitute 75 percent (75%) of the CTFP grant share of the contract award or grant amount at contract award, whichever is less. OCTA will disburse the final payment, approximately 25 percent (25%) of eligible funds, after approval of the final report. Further information on reimbursements can be located within Chapter 9 of the CTFP Guidelines.

## **Scope Reductions/Modifications and Cost Savings**

Any proposed scope modifications, such as a change in BMP device quantities and/or the adjustment of device locations of an approved project must be submitted to OCTA staff for review and approval in advance of the change to ensure consistency with Tier 1 Grant

Program requirements. The proposed modifications must mitigate the same pollutants, affect the same waterways, and meet all other provisions as stipulated in these guidelines.

OCTA staff will review and provide notification to the local agency of either approval or rejection of the scope change or if the modification warrants Board approval. If the proposed scope modification is approved by OCTA, any cost savings will be proportionally shared between OCTA and the grantee; for example, a reduction in Project X funds must be applied proportionally to maintain the approved local match percentage. All cost savings will be returned to the Tier 1 Grant Program for reallocation for subsequent calls for projects.

## **2026 Tier 1 Call for Projects**

The Tier 1 call will be open for approximately 60 days. **2026** Tier 1 Call for Projects applications must be received by OCTA **no later than 5:00 p.m. on Thursday, May 7, 2026**. OCTA allocates funds on July 1 of each year. **Tier 1 projects are not eligible for delay requests**; please refer to Precept 17 for additional information. Funds will become available upon execution of a letter agreement. Projects that do not award construction contracts by December 31, **2027** will not be considered.

Applications will be reviewed by OCTA for consistency, accuracy and concurrence. Applications determined complete in accordance with the program requirements will be evaluated and scored by an advisory review panel. Project rankings and funding recommendations will be presented to the ECAC and subsequently forwarded to the OCTA Board of Directors for consideration and funding approval.

Local agencies awarded funding will be notified as to which projects have been funded. No additional funds will be allocated for any project once approved by the OCTA Board. Grantees are responsible for any costs exceeding the allocated amount. A tentative call schedule is detailed below:

Board authorization to issue call: March 9, 2026

Application submittal deadline: May 7, 2026

ECAC Review: August 2026

Committee/Board approval: Fall 2026

~~After Tier 1 applications are reviewed by OCTA, an advisory panel will review and rank projects. Following a review by the ECAC, a recommended priority list of projects will be forwarded to the OCTA Board for approval in fall 2026. Funds allocated for projects are final once approved by the OCTA Board. No additional funds will be allocated to the project. Grantees are responsible for any costs exceeding the allocated amount.~~

## **Tier 1 Selection Criteria**

OCTA will evaluate all proposals that meet the mandatory prerequisites based on competitive selection criteria (Exhibit 11-1) with the following categories:

- Project Need, Transportation Nexus, and Water Quality Benefits (15 points)



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- Cost/Benefit (16 points)
- Pollutant Reduction Benefits (12 points)
- Effectiveness Against More Visible Forms of Pollutants (10 points)
- Justification for Project Devices Considered and Proposed (5 points)
- Proposed Device Performance Efficiency and/or Effectiveness (6 points)
- Project Readiness (6 points)
- Secondary attributes\* (5 points)
- Methodology for Measuring Pollutant Reduction Before and After Implementation (10 points)
- Operations and Maintenance Plan (15 points)

*\*Note: Project elements which may qualify for points under the "secondary attributes" category do not need to be eligible expenditures. See Eligible Expenditures and Ineligible Expenditures sections for further information.*

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Each proposal can receive a maximum of 100 points, exclusive of five bonus points for cash overmatch. See Exhibit 11-1 for scoring categories and point distribution.

## Exhibit 11-1 (Tier 1 Scoring Criteria)

### Scoring Criteria

### Points Possible

1. Describe the need for the selected BMP(s), including nexus to transportation pollutants, and detail the benefits to water quality the BMP(s) will achieve. (up to 15 Points) **15**
2. Cost/Benefit (Up to 16 points): Based on information provided by the applicant, a cost/benefit calculation will be conducted to compare the total project cost to the area of priority land uses treated by the proposed BMP(s). Applicant is required to provide<sup>1</sup>: **16**
  - Types(s) of BMP(s) proposed
  - Number of each BMP type
  - Total drainage area(s) contributing to each BMP type
  - Percent of drainage area(s) that is/are considered priority land uses (i.e., high density residential, industrial, commercial, mixed urban, public transportation stations)

The applicant must also provide geospatial information (through ArcGIS and/or Google Earth) that identifies the drainage area(s) and BMP location(s) for the project.
3. Pollutant Reduction Benefits: Based on treatment capacity and BMP type, project benefit will be calculated using the scoring equation:  $(A \times 3) + (B \times 3) + (C \times 6) =$  (up to 12 points)<sup>1</sup> **12**

Line	Factor	Points Available
A	Fractional percent of 1 year, 1-hour event flowrate discharging from priority land uses to the BMP(s)	0 to 1
B	Fractional percent of 85th percentile, 24-hr design event that is treated by a low-impact development (LID) or treatment control BMP <sup>2</sup>	0 to 1.5
C	BMP Multiplier: <ul style="list-style-type: none"> <li>▪ 1/3 point for high capacity systems</li> <li>▪ 2/3 point for filters/biofilters</li> <li>▪ 1 point for zero-discharge BMPs</li> </ul>	0 to 1

<sup>1</sup>Applicants are not expected to calculate the score for question 2 and question 3. OCTA's technical consultant will provide the analysis for these questions based on the application materials provided by the applicant.

<sup>2</sup>Examples include high-capacity systems (i.e., hydrodynamic separators), filters/biofilters, or zero-discharge BMPs (i.e., retention/infiltration).

4. How effective will the proposed project be in dealing with the more visible forms of pollutants, such as a litter and debris? (up to 10 points) **10**
5. What other BMP types were considered for this project? Why was the proposed BMP chosen? (5 points) **5**
6. Provide information on proposed BMP performance efficiency and/or effectiveness, including pollutant capture, storage capacity, flow capacity, etc. (up to 6 points) **6**
7. Project Readiness: The project schedule will be reviewed by the evaluation committee to determine when the proposed BMP will be operational following OCTA Board of Directors approval. (up to 6 points): **6**

Less than 4 Months	(6 points)	8 - 12 months	(2 points)
4 - 8 months	(4 points)	More than 12 months	(1 point)

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8. Secondary Attributes: Will the proposed project provide any benefits beyond water quality improvement (i.e., water use efficiency, public awareness, flooding control, recreation, habitat, sustainability)? (up to 5 points)	5
9. What is the methodology for measuring pollutant reduction before and after the BMP is implemented? How frequently will monitoring and performance assessment occur? (up to 10 points)	10
10. Provide an O&M plan for the lifespan of the proposed project. Include schedule of inspections, cleaning, removal and disposal of pollutants, repairs, etc. (up to 15 points)	15
	<hr/>
	100
11. <b>BONUS:</b> Are local matching funds in excess of the 20% minimum cash being proposed? If yes, at what percentage? (.5 point for each 5% cash overmatch, up to 5 points)	5
	<hr/>
	105

## Application Process

The following information, which is to be completed within the Tier 1 Grant Application Form, available electronically from OCTA, is required to evaluate and select projects. A checklist is included in the Tier 1 Grant Application Form to assist eligible agencies in assembling project proposals. The following project information will be necessary as part of the application process:

- Project Title
- Lead Agency Information
- Proposed Schedule
- Project Management
- Description and Scope of Proposed Project
- Integrated Regional Water Management Plan (IRWMP) identification (if applicable)
- Project Readiness
- Performance Metrics
- Detailed Project Estimate
- Minimum 20% Local Match (cash match only)
- Joint-Application (if applicable)

In addition to the completed Tier 1 Grant Application, the following documentation is required as part of the application process:

- Project design or concept drawings, including preliminary design calculations, of proposed BMPs.
- Precise maps to show tributary drainage area and proposed location(s) for BMP installation including geospatial information (through ArcGIS and/or Google Earth)
- Digital project site photos
- Preliminary agreements with joint and/or third-party entities if part of the funding application (if applicable)
- A city council resolution specific to each proposed project and funding commitment must be approved by the local jurisdiction's governing body prior to the Board approval of grant funds. A sample resolution is included as Exhibit 11-2. **Local agencies, at a minimum, must include items a-l.** The mechanism selected shall serve as a formal request for CTFP funds and states that matching funds will be provided by the agency. A final resolution authorizing a request for funding consideration with a commitment of local match funding must be provided with the project application. **If a *draft copy of the resolution is provided, the local agency must also provide the date the resolution will be finalized by the local agency's governing body.*** For a project to be considered for funding the City Council approved resolution must be provided at least four (4) weeks **PRIOR** to the programming recommendations being presented to OCTA's Board.

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One electronic copy of the complete application form and supporting documentation materials must be submitted to OCTA by the application deadline. Electronic application materials should be submitted via email as an attachment, or via a link to an online storage device site, such as DropBox or OneDrive. Submittal via USB drive is also allowed. CD/DVD files will not be accepted. There is no maximum length for proposals.

Application materials are to be submitted by the call for projects deadline to the following OCTA staff email or via digital media device by mail or in person:

[Mason Doshier](#)

Orange County Transportation Authority

[mdoshier@octa.net](mailto:mdoshier@octa.net)

By mail:

[Mason Doshier](#)

Orange County Transportation Authority

P.O. Box 14184

Orange, CA 92863-1584

Tel: (714) 560-[5427](#)

In person:

Orange County Transportation Authority

600 South Main Street

Orange, CA 92863-1584

Note: when submitting via email, please note that certain attachments may be subject to file size or file type restrictions, which may prevent emails from being successfully sent to OCTA. OCTA staff will provide a confirmation email that the application was successfully received by the deadline.

Applications are considered final once the electronic application has been submitted. OCTA will document the submittal date and time and download the files for storage and application review. Any applications that do not contain all required information and documentation will be disqualified. Revisions may be allowed if changes are made prior to the application deadline.

## Exhibit 11-2 (Tier 1 Sample Resolution)

RESOLUTION NO. \_\_\_\_\_

A RESOLUTION OF THE CITY COUNCIL/BOARD OF THE CITY/COUNTY OF \_\_\_\_\_

AUTHORIZING AN APPLICATION FOR FUNDS FOR THE ENVIRONMENTAL CLEANUP, TIER 1 GRANT PROGRAM  
UNDER ORANGE COUNTY LOCAL TRANSPORTATION ORDINANCE NO. 3 FOR  
(PROJECT NAME).

- (a) WHEREAS, Orange County Local Transportation Ordinance No. 3, dated July 24, 2006, and is known and cited as the Renewed Measure M Transportation Ordinance and Investment Plan makes funds available through the Environmental Cleanup Program to help protect Orange County beaches and waterways from transportation-generated pollution (urban runoff) and improve overall water quality; and
  - (b) WHEREAS, the Environmental Cleanup, Tier 1 Grant Program consists of funding purchases and installation to catch basins with Best Management Practices, such as screens, filters, inserts, and other "street-scale" low flow diversion projects; and
  - (c) WHEREAS, OCTA has established the procedures and criteria for reviewing proposals; and
  - (d) WHEREAS, (ADMINISTERING AGENCY) possesses authority to nominate water quality improvement projects that have a transportation pollution nexus to finance and construct the proposed project; and
  - (e) WHEREAS, by formal action the (GOVERNING BODY) authorizes the nomination of (PROJECT NAME), including all understanding and assurances contained therein, and authorizes the person identified as the official representative of the (ADMINISTERING AGENCY) to act in connection with the nomination and to provide such additional information as may be required; and
  - (f) WHEREAS, the (ADMINISTERING AGENCY) will maintain and operate the equipment acquired and installed; and
  - (g) WHEREAS, the (ADMINISTERING AGENCY) will give OCTA's representatives access to and the right to examine all records, books, papers or documents related to the funded Tier 1 Grant Project; and
  - (h) WHEREAS, the (ADMINISTERING AGENCY) will cause work on the project to be commenced within a reasonable time after receipt of notification from OCTA and that the project will be carried to completion with reasonable diligence; and
  - (i) WHEREAS, the (ADMINISTERING AGENCY) will comply where applicable with provisions of the California Environmental Quality Act, the National Environmental Policy Act, the American with Disabilities Act, and any other federal, state, and/or local laws, rules and/or regulations; and
  - (j) WHEREAS, the (ADMINISTERING AGENCY) must include all projects funded by Net Revenues in the seven-year Capital Improvement Program as part of the Renewed Measure M Ordinance eligibility requirement; and
  - (k) WHEREAS, the (ADMINISTERING AGENCY) authorizes a formal amendment to the seven-year Capital Improvement Program to add projects approved for funding upon approval from the Orange County Transportation Authority Board of Directors; and
  - (l) WHEREAS, the City/County of \_\_\_\_\_ will provide a minimum of 20% in matching funds for the (PROJECT NAME) as required by the Orange County Comprehensive Transportation Funding Programs Guidelines.
- NOW, THEREFORE, BE IT RESOLVED that the City/County of \_\_\_\_\_ hereby authorizes (NAME OF AGENCY REPRESENTATIVE) as the official representative of the (ADMINISTERING AGENCY) to accept funds for the Environmental Cleanup, Tier 1 Grant Program for (PROJECT NAME).
- BE IT FURTHER RESOLVED that the City/County of \_\_\_\_\_ agrees to fund its share of the project costs and any additional costs over the identified programmed amount.

## Eligible Expenditures

- Tier 1 projects must meet the transportation nexus as outlined previously in this chapter.
- Project X funds must be for capital improvements.
- For Tier 1, construction support cannot exceed 20 percent (20%) of the M2 grant, subject to match requirements.
- Project X funds can only be used for facilities that are in public ownership for public use; however, water quality improvements on private property, which are connected to municipal separate storm sewer systems, are eligible if part of a right of way agreement (For example, a homeowner association can coordinate through an eligible agency for funding if the proposed project is connected to a public facility). Administering agencies shall provide supporting documentation to justify improvements on private property.
- Reducing volume of surface flows is an integral factor of improving water quality, therefore, projects that have water-saving features (i.e., drip systems) are eligible for funding consideration.

## Ineligible Expenditures

- O&M costs are not eligible expenditures. O&M costs cannot be utilized as a source of matching funds.
- Project X funds are not to be used for planning but can be used for final design, subject to the restrictions above.
- Expenditures prior to the grantee executed letter agreement date cannot be considered eligible for funding or match.
- Landscaping and vegetation not directly related to improving water quality (i.e., ornamental shrubs, trees). Landscaping installation and replacement are not eligible for funding consideration.
- Replacement of equipment funded with Project X funds that is still within its anticipated useful life (based on manufacturer's specifications).
- Capital equipment purchases related to regular on-going street maintenance efforts, including, but not limited to: trash receptacles, vacuum trucks and/or equipment, street sweepers, signage, etc.
- Street improvement and maintenance activities (e.g., curb and gutter channelization, pavement rehabilitation/restoration, sidewalk reconstruction, or full catch-basin replacement/construction) are not eligible, unless surface repairs are required to restore a funded BMP installation area to pre-construction conditions and generally do not expand beyond the BMP disturbance area.

## Reporting and Reimbursement

A final report must be filed within 180 days of the project being completed with information as shown in Form 10-16. See Chapter 9 for the process and requirements



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regarding reimbursements and reporting for the Tier 1 Grant Program.

Additionally, an exception to Precept #40: Agencies may appeal to the ECAC and the OCTA Board on any issues that the agency and OCTA cannot resolve, as such are the reviewing and approving bodies, respectively, for this program.

## Technical and/or Field Review

Once an agency submits a final report for a project, OCTA shall review the report for compliance with the CTFP guidelines and may conduct a field review. OCTA will use the project cost estimate forms submitted with the application and revised where appropriate, project accounting records and the final report as the primary items to conduct the review. Agencies must maintain separate records for projects (i.e., expenditures, interest) to ensure compliance. Only CTFP eligible items listed on a project's cost estimate form will be reimbursed. See Chapter 10 for independent audit requirements beyond the technical and/or field review.

## Additional Information

Questions regarding these procedures and criteria should be directed to:

By mail:

[Mason Doshier](#)

Orange County Transportation Authority

P.O. Box 14184

Orange, CA 92863-1584

Tel: (714) 560-[5427](#)

In person:

Orange County Transportation Authority

600 South Main Street

Orange, CA 92863-1584

Via email:

[mdoshier@octa.net](mailto:mdoshier@octa.net)