

**Audit Recommendations Closed During
Fourth Quarter, Fiscal Year 2021-22**

ATTACHMENT C

Audit Issue Date	Report Number	Division/ Department/ Agency	Audit Name	Recommendation	Internal Audit Status Comments
9/28/21	22-501	Finance and Administration Division	Investments: Compliance Controls and Reporting January to June 2021	Internal Audit recommends that Treasury staff consistently document resolution of all issues flagged in the Clearwater compliance module, as required by the procedure manual. Additionally, Treasury staff should consider customizing the Clearwater compliance module to more accurately monitor against OCTA Policy.	Staff investigated and documented issues flagged in the Clearwater compliance module during the succeeding audit period of July through December 2021.