

**Comprehensive Transportation Funding Programs
March 2021 Semi-Annual Review Adjustment Request Descriptions**

Delays

Local agencies may request up to an additional 24 months to obligate funds. During the March 2021 semi-annual review cycle, the following delay requests were submitted.

The City of Dana Point (Dana Point) is requesting a 24-month delay for the initial marketing, capital (CAP), and operations and maintenance (O&M) phases of the Dana Point Trolley Continuity Program Project (20-DNPT-CBT-3959). This grant consists of the planned extension of Dana Point's 2014 Project V grant. However, with the 2014 Project V grant being extended due to the impacts of the coronavirus (COVID-19) pandemic, implementation of this 2020 follow-on grant also now needs to be delayed so that its commencement date is in alignment with the conclusion of the 2014 Project V grant.

The City of Huntington Beach (Huntington Beach) is requesting a 24-month delay for both the primary implementation (IMP) and O&M phases of the Bolsa Chica Street Traffic Signal Synchronization Program Project (Chapman Avenue to Warner Avenue) (20-HBCH-TSP-3973) due to unforeseen staffing impacts and a partnering jurisdiction's financial concerns primarily resulting from the pandemic.

Huntington Beach is also requesting a 24-month delay for the initial marketing and O&M phases of the Huntington Beach Southeast Rideshare Pilot Program (20-HBCH-CBT-3960) due to unanticipated impacts resulting from the pandemic.

The City of La Habra is requesting a 24-month delay for the initial marketing and O&M phases of the La Habra Community Special Event Shuttle (20-LHAB-CBT-3961) due to unanticipated impacts resulting from the pandemic.

The City of Newport Beach is requesting a 24-month delay for the Planning Study (PLAN) phase of the Balboa Island/Corona Del Mar Microtransit Feasibility Study (20-NBCH-CBT-3956), due to unanticipated impacts resulting from COVID-19 and concerns regarding the ability to collect viable public input during the pandemic.

The City of Orange (Orange) is requesting a 12-month delay for the construction (CON) phase of the Orange Safe Transit Stop Improvements Project (19-ORNG-STS-3933) due to unanticipated impacts resulting from COVID-19 especially related to issuing procurements.

Orange is also requesting a 24-month delay for the PLAN phase of the Orange Community Circulator Transit Planning Study (20-ORNG-CBT-3957), due to unanticipated impacts resulting from the COVID-19 and concerns regarding the ability to collect viable public input during the pandemic.

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The City of Santa Ana (Santa Ana) is requesting a 24-month delay for the CON phase of the Santa Ana Transit Stop Improvements – 2020 Project (20-SNTA-STS-3978) due to the desire to align project expenditures with its fiscal year (FY) 2021-22 budget.

Santa Ana is requesting a 24-month delay for the CON phase of the Bristol Street improvements Phase 3A - Civic Center Drive to Washington Avenue (20-SNTA-ACE-3968) due to utility undergrounding coordination issues with the utility stakeholder.

Santa Ana is requesting a 24-month delay for the CON phase of the Bristol Street improvements Phase 4 - Warner Avenue to St. Andrew Place (20-SNTA-ACE-3969) due to utility undergrounding coordination issues with the utility stakeholder and outstanding to right-of-way (ROW) issues pending finalization.

The City of Yorba Linda is requesting a 24-month delay for the engineering (ENG) phase of the Yorba Linda Boulevard Widening Project (20-YLND-ACE-3971) due to the complexity of the project, additional time is requested to refine and finalize the scope of work requirements.

Comprehensive Transportation Funding Programs (CTFP) Timely-Use of Funds Extensions

Once obligated, CTFP funds expire 36 months from the contract award date. Local agencies may request an extension(s) of up to 24 months. During this semi-annual review cycle, the following CTFP timely-use of funds extensions requests were submitted.

The City of Costa Mesa (Costa Mesa) is requesting a 24-month timely-use of funds extension for the IMP phase of the Fairview Road Signal Synchronization Project (SSP) (16-CMSA-TSP-3790) from April 2021 to April 2023 due to unforeseen impacts (and delays) to construction-related activities and utility stakeholder coordination efforts resulting from the pandemic.

Costa Mesa is requesting a 24-month timely-use of funds extension for both the IMP and O&M phases of the Bear Street SSP (17-CMSA-TSP-3873) from April 2021 to April 2023, for the IMP phase and from June 2022 to June 2024, for the O&M phase due to unforeseen impacts (and delays) to construction-related activities, signal timing implementation, and completion of travel time after study efforts resulting from the pandemic.

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The City of Fullerton (Fullerton) is requesting a 24-month timely-use of funds extension for both the IMP and O&M phases of the Malvern Avenue/Chapman Avenue Corridor Regional Traffic Signal Synchronization Program (RTSSP) Project (15-FULL-TSP-3769) from July 2021 to July 2023, for the IMP phase and from June 2022 to June 2024, for the O&M phase. This request is due to unforeseen impacts (and delays) to signal timing implementation, completion of travel time after study efforts, and construction-related activities resulting from the pandemic.

Fullerton is also requesting a 24-month timely-use of funds extension for both the IMP and O&M phases of the Orangethorpe Avenue/Esperanza Road Corridor RTSSP Project (18-FULL-TSP-3896) from February 2022 to February 2024 for the IMP phase and from June 2023 to June 2025 for the O&M phase. This request is due to unforeseen impacts (and delays) to design review efforts, signal timing implementation, completion of travel time before and after studies, and intersection improvement construction activities resulting from the pandemic.

The City of Irvine (Irvine) is requesting a 24-month timely-use of funds extension for both the IMP and O&M phases of the MacArthur Boulevard Corridor RTSSP Project (19-IRVN-TSP-3937), from April 2023 to April 2025 for the IMP phase and date to be determined for the O&M phase, which will be established once a contract has been awarded but will not exceed five years. Irvine has until June 30, 2021, to award a contract for the O&M phase. This request is due to unforeseen impacts (and delays) to staffing and schedule coordination efforts during the design phase resulting from the pandemic.

The Orange County Transportation Authority (OCTA), as administrative lead, is requesting a 24-month timely-use of funds extension for the IMP phase of the Magnolia Avenue Traffic Signal Synchronization (TSS) (Commonwealth Avenue to Banning Avenue) Project (16-OCTA-TSP-3795) from May 2021 to May 2023. This request is due to unforeseen delays in equipment procurement and installation processes.

The City of San Clemente (San Clemente) is requesting a 24-month timely-use of funds extension for the O&M phase of the Camino Vera Cruz Project (17-SCLM-TSP-3877) from April 2021 to April 2023 due to unforeseen impacts (and delays) to signal timing implementation, fine-tuning, and monitoring resulting from the pandemic.

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Local Fair Share (LFS) Timely-Use of Funds Extensions

Once issued, LFS funds expire 36 months from the check issuance date. Local agencies may request an extension(s) of up to 24-months. During this semi-annual review cycle, the following timely-use of funds LFS extensions requests were submitted:

The City of Brea (Brea) is requesting a 24-month timely-use of funds extension for \$506,638. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A. Brea has indicated these funds will be directed towards traffic signal improvements and citywide street improvements.

- \$164,667, from June 2021 to June 2023
- \$156,160, from September 2021 to September 2023
- \$185,811, from November 2021 to November 2023

The City of La Habra (La Habra) is requesting a 24-month timely-use of funds extension for \$461,485. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A. La Habra has indicated these funds will be directed towards citywide street improvements and their pavement management report.

- \$150,019, from June 2021 to June 2023
- \$142,230, from September 2021 to September 2023
- \$169,236, from November 2021 to November 2023

The City of Yorba Linda (Yorba Linda) is requesting a 24-month timely-use of funds extension for \$536,892. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A. Yorba Linda has indicated these funds will be directed towards traffic signal improvements, street maintenance, and projects to reduce road congestion.

- \$174,213, from June 2021 to June 2023
- \$165,616, from September 2021 to September 2023
- \$197,063, from November 2021 to November 2023

Senior Mobility Program (SMP) Timely-Use of Funds Extensions

Once issued, SMP funds expire 36 months from the check issuance date. Local agencies may request an extension(s) of up to 24 months. During this semi-annual review cycle, the following timely-use of funds SMP extensions requests were submitted as a result of the impacts from the pandemic.

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Dana Point is requesting a 24-month timely-use of funds extension for \$26,617. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A.

- \$8,662, from June 2021 to June 2023
- \$8,199, from September 2021 to September 2023
- \$9,756, from November 2021 to November 2023

The City of Laguna Hills is requesting a 24-month timely-use of funds extension for \$18,949. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A.

- \$6,167, from June 2021 to June 2023
- \$5,837, from September 2021 to September 2023
- \$6,945, from November 2021 to November 2023

The City of Laguna Niguel is requesting a 24-month timely-use of funds extension for \$39,875. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A.

- \$12,977, from June 2021 to June 2023
- \$12,283, from September 2021 to September 2023
- \$14,615, from November 2021 to November 2023

The City of Mission Viejo (Mission Viejo) is requesting a 24-month timely-use of funds extension for \$68,059. The funds being considered for extension were disbursed in four separate installments and must be expended by the extension deadlines provided in Attachment A.

- \$5,542, from March 2021 to March 2023
- \$20,346, from June 2021 to June 2023
- \$19,257, from September 2021 to September 2023
- \$22,914, from November 2021 to November 2023

The City of Villa Park is requesting a 24-month timely-use of funds extension for \$5,942. The funds being considered for extension were disbursed in three separate installments and must be expended by the extension deadlines provided in Attachment A.

- \$1,934, from June 2021 to June 2023
- \$1,830, from September 2021 to September 2023
- \$2,178, from November 2021 to November 2023

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Scope Changes

Agencies may request minor scope changes for CTFP projects if they can assure that project benefits as committed to in the initial application can still be delivered. During this semi-annual review cycle, the following scope change requests were submitted.

Dana Point is requesting approval of a scope change in order to implement a temporary reduction in its Project V service as it reinitiates services post-pandemic. The reduction includes postponing the commencement of seven-day-a-week summer shuttle service from Memorial Day weekend to the end of June 2021 and then operating only through Labor Day weekend, instead of through the first week of October. This scope change also includes a temporary reduction in revenue vehicle hours on certain days of operation.

These modifications to the four Project V services are subject to additional changes as the City will continue to evaluate the program when service is reinitiated in summer 2021.

- Summer Weekend Trolley/Harbor Shuttle (14-DPNT-CBT-3742)
- Dana Point Pacific Coast Highway Trolley (16-DPNT-CBT-3823)
- Dana Point Trolley Continuity and Expansion and Weekend Service (18-DNPT-CBT-3911)
- Dana Point Trolley Continuity Program (20-DNPT-CBT-3959)

Mission Viejo is requesting a scope change to the CON phase of the Los Alisos Boulevard and Santa Margarita Parkway Project (17-MVJO-ICE-3864). The scope change includes eliminating the second left turn-only lane on the southbound (SB) lane on Los Alisos Boulevard (Los Alisos) and only constructing the second turn lane for northbound (NB) Los Alisos in order to preserve NB and SB right turn capacities and facilitate improved operations.

OCTA, as administrative lead for the Brookhurst Street Traffic Signal Synchronization (Commonwealth to Pacific Coast Highway) Project (16-OCTA-TSP-3794), is requesting a scope change to the IMP phase with several components, which include modifications to unit types, improvement locations, removal of project components which are no longer necessary, and adherence to California Department of Transportation (Caltrans) requirements. These modifications are due to unforeseen construction issues that emerged during the project development process and are requested in order to facilitate project completion processes and utilize project cost savings to enhance overall project benefits.

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OCTA, as administrative lead for the Magnolia Avenue TSS (Commonwealth Avenue to Banning Avenue) Project (16-OCTA-TSP-3795), is requesting a scope change to the IMP phase with several components, which include modifications to unit types, improvement locations, removal of project components that are no longer necessary, and adherence to Caltrans' requirements. These modifications are due to unforeseen construction issues that emerged during the project development process and are requested in order to facilitate project completion processes and utilize project cost savings to enhance overall project benefits.

OCTA, as administrative lead for the El Toro Road TSS Project (16-OCTA-TSP-3796) is requesting a scope change to the IMP phase of this project. The scope change includes removal of an Econolite Centrac's Advanced Traffic Management System. This system was procured with funding from another project. The remaining unused savings will support the O&M phase expenditures.

San Clemente is requesting approval of a scope change in order to implement modifications to its Project V service as it reinitiates services post-COVID-19. These modifications include starting weekend service approximately two weeks early for both the San Clemente Summer Trolley (16-SCLM-CBT-3840) and San Clemente Trolley Expansion (18-SCLM-CBT-3914) services. In addition, initiation of weekday services will be delayed by one month.

Transfers

The CTFP Guidelines allow jurisdictions to request to transfer up to 100 percent of savings of funds between subsequent phases or years within a project. Funds can only be transferred to a phase or year that has already been awarded competitive funds. Such requests must be made prior to the acceptance of a final report and submitted as part of the semi-annual review process. During this semi-annual review cycle, the following transfer requests were submitted either as a result of the impacts of COVID-19 or the need to transfer general project savings.

Due to COVID-19, Anaheim is requesting a transfer for the Anaheim Canyon Circulator (20-ANAH-CBT-3958). The transfer includes savings from FY 2020-21 and from all FYs moving forward from the initial marketing and O&M phases in amounts to be determined and are to be distributed to FY 2021-22 or the immediately subsequent FY on a go-forward basis.

The County of Orange is requesting a transfer for the Cow Camp Road ROW - Segment II Project (15-ORCO-ACE-3779). The request is to transfer general project savings in the amount of \$500,000 from the ENG phase to the CON phase Cow Camp Road Segments 2A & 2B Construction (17-ORCO-ACE-3868).

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Due to COVID-19, Dana Point is requesting a transfer for the Dana Point Trolley Continuity Program (20-DNPT-CBT-3959). The transfer includes savings from FY 2021-22 and from all FYs moving forward from the initial marketing, CAP, and O&M phases in amounts to be determined and are to be distributed to FY 2022-23 or the immediately subsequent year on a go-forward basis.

Due to COVID-19, Huntington Beach is requesting a transfer for the Huntington Beach Southeast Rideshare Pilot Program (20-HBCH-CBT-3960). The transfer includes savings from FY 2020-21 and from all FYs moving forward from the initial marketing and O&M phases in amounts to be determined and are to be distributed to FY 2021-22 or the immediately subsequent year on a go-forward basis.

Due to COVID-19, La Habra is requesting a transfer for the La Habra Community Special Event Shuttle (20-LHAB-CBT-3961). The transfer includes savings from FY 2020-21 and from all FYs moving forward from the initial marketing and O&M phases in amounts to be determined and are to be distributed to FY 2021-22 or the immediately subsequent year on a go-forward basis.

Due to COVID-19, Laguna Beach is requesting a transfer for the Off-Season Weekend Trolley Service (20-LBCH-CBT-3962). The transfer includes savings from FY 2020-21 and from all FYs moving forward from the O&M phase in an amount to be determined and is to be distributed to FY 2021-22 or the immediate subsequent year on a go-forward basis.

Cancellations

Local agencies may request to cancel projects at any time for any reason. Cancelled projects are eligible to reapply upon resolution of the issues that led to the original project cancellation. During this review cycle, the following cancellation request was received.

Irvine is requesting to cancel the ROW phase for the Jamboree/Barranca Intersection Improvement Project (14-IRVN-ICE-3716) due to an inability to complete the ROW process.

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OCTA-Initiated Requests

OCTA staff, on behalf of five local jurisdictions, is requesting delays and approval of a CTFP Guideline's exception for the five following Project X Tier I projects.

- Costa Mesa's Placentia Avenue Stormwater Quality Improvement Project (20-CMSA-ECP-3980)
- Laguna Wood's City-Maintained Catch Basins Full Capture Systems Retrofit Project (20-LWDS-ECP-3984)
- Newport Beach's Newport Bay Trash Interceptor Project (20-NBCH-ECP-3986)
- Orange's Debris Separating Baffle Box and Connector Pipe Screen Best Management Practice Installation - 2020 Project (20-ORNG-ECP-3987)
- Yorba Linda's Arterial Roadway Automatic Retractable Screens Retrofit Project (20-YLND-ECP-3990)

Due to COVID-19, OCTA awarded 2020 Project X Tier I projects later than usual. This, coupled with the pandemic's impacts on local jurisdiction's abilities to conduct routine business, has resulted in it taking longer to execute funding agreements and award contracts for these projects than was originally anticipated in the CTFP Guidelines, which specify that awarded funds need to be allocated within the FY they are programmed and that delays are not allowed. Given these COVID-19-related issues, staff is recommending Board of Directors' approval of these delay requests and an exception to the CTFP Guidelines no delay requirement.