



AGENDA

Finance and Administration Committee Meeting

Committee Members

Michael Hennessey, Chairman
Steve Jones, Vice Chairman
Katrina Foley
Brian Goodell
Patrick Harper
Gene Hernandez
Joe Muller

Orange County Transportation Authority
Headquarters
Conference Room 07
550 South Main Street
Orange, California

Wednesday, August 11, 2021 at 10:30 a.m.

Any person with a disability who requires a modification or accommodation in order to participate in this meeting should contact the Orange County Transportation Authority (OCTA) Clerk of the Board, telephone (714) 560-5676, no less than two (2) business days prior to this meeting to enable OCTA to make reasonable arrangements to assure accessibility to this meeting.

Agenda descriptions are intended to give members of the public a general summary of items of business to be transacted or discussed. The posting of the recommended actions does not indicate what action will be taken. The Committee may take any action which it deems to be appropriate on the agenda item and is not limited in any way by the notice of the recommended action.

All documents relative to the items referenced in this agenda are available for public inspection at www.octa.net or through the Clerk of the Board's office at the OCTA Headquarters, 600 South Main Street, Orange, California.

Guidance for Public Access to the Board of Directors/Committee Meeting

On March 12, 2020 and March 18, 2020, Governor Gavin Newsom enacted Executive Orders N-25-20 and N-29-20 authorizing a local legislative body to hold public meetings via teleconferencing and make public meetings accessible telephonically or electronically to all members of the public to promote social distancing due to the state and local State of Emergency resulting from the threat of Novel Coronavirus (COVID-19).

In accordance with Executive Order N-29-20, and in order to ensure the safety of the OCTA Board of Directors (Board) and staff and for the purposes of limiting the risk of COVID-19, in-person public participation at public meetings of the OCTA will not be allowed during the time period covered by the above-referenced Executive Orders.

Instead, members of the public can listen to AUDIO live streaming of the Board and Committee meetings by clicking the below link:

<http://www.octa.net/About-OCTA/Who-We-Are/Board-of-Directors/Live-and-Archived-Audio/>



Guidance for Public Access to the Board of Directors/Committee Meeting (Continued)

Public comments may be submitted for the upcoming Board and Committee meetings by emailing them to ClerkOffice@octa.net.

If you wish to comment on a specific agenda Item, please identify the Item number in your email. All public comments that are timely received will be part of the public record and distributed to the Board. Public comments will be made available to the public upon request.

In order to ensure that staff has the ability to provide comments to the Board Members in a timely manner, please submit your public comments **90 minutes prior to the start time of the Board and Committee meeting date.**

Call to Order

Roll Call

Pledge of Allegiance

Director Muller

1. Public Comments

Special Calendar

2. Taxable Sales Forecast -California State University, Fullerton Sam Kaur/Andrew Oftelie

Orange County Transportation Authority contracts with several economic specialists to provide an annual 30-year taxable sales forecast for Measure M2. The latest forecasts were received in Spring 2021. Anil Puri, Provost Emeritus and Director of the Woods Center for Economic Analysis from California State University Fullerton, will provide an update on the annual forecast and economic outlook for Orange County to the Finance and Administration Committee.



Consent Calendar (Items 3 through 5)

All items on the Consent Calendar are to be approved in one motion unless a Committee Member or a member of the public requests separate action or discussion on a specific item.

3. Approval of Minutes

Approval of the minutes of the Finance and Administration Committee meeting of July 28, 2021.

4. Fiscal Year 2020-21 Fourth Quarter Grant Reimbursement Status Report
Sam Kaur/Andrew Oftelie

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the fourth quarter of fiscal year 2020-21, covering April through June 2021.

Recommendation

Receive and file as an information item.

5. 91 Express Lanes Update for the Period Ending - June 30, 2021
Kirk Avila

Overview

The Orange County Transportation Authority has owned and managed the operations of the 91 Express Lanes since January 2003. Since that time, traffic volumes and toll revenues have fluctuated with the changes in the economy, added capacity to the State Route 91 corridor, and the recent coronavirus pandemic. Over the last quarter, there were approximately 4.8 million trips taken on the 91 Express Lanes in Orange County. This report focuses on the operational and financial activities for the period ending June 30, 2021.

Recommendation

Receive and file as an information item.



Regular Calendar

6. Agreements for Health Insurance Services

Bea Maselli/Maggie McJilton

Overview

The Orange County Transportation Authority currently has agreements with various companies to provide medical, dental, vision, life, accidental death and dismemberment, disability, and supplemental life plans for administrative employees and employees represented by the Transportation Communications International Union and represented by Teamsters Local 952 Union. These agreements expire on December 31, 2021. Staff is presenting recommendations for medical, dental, vision, life, accidental death and dismemberment, disability, and supplemental life insurance, as well as leave administration for the calendar year 2022.

Recommendations

- A. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3649 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Kaiser Permanente Health Plan, Inc., on a cost per employee basis, for prepaid medical services through December 31, 2022. The annual 2022 Kaiser Permanente Health Plan, Inc. premium cost will vary in accordance with actual enrollment.
- B. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3650 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for prepaid medical services through December 31, 2022. The annual 2022 Anthem Blue Cross health maintenance organization premium costs will vary in accordance with actual enrollment.
- C. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3651 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for preferred provider organization medical services through December 31, 2022. The annual 2022 Anthem Blue Cross preferred provider organization premium costs will vary in accordance with actual enrollment.



6. (Continued)

- D. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3652 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for a consumer driven health plan through December 31, 2022. The annual 2022 Anthem Blue Cross consumer driven health plan premium costs and health savings account expenses will vary in accordance with actual enrollment.
- E. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-1-3670 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Delta Dental, on a cost per employee basis, for preferred provider organization dental services through December 31, 2022. The annual 2022 Delta Dental preferred provider organization premium costs will vary in accordance with actual enrollment.
- F. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 11 to Agreement No. C-1-2995 between the Orange County Transportation Authority and Delta Dental, on a cost per employee basis, for health maintenance organization dental services through December 31, 2022. The annual 2022 Delta Dental health maintenance organization premium costs will vary in accordance with actual enrollment.
- G. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-1-3672 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Delta Dental, on a cost per employee basis, for health maintenance organization dental services through December 31, 2022. The annual 2022 Delta Dental health maintenance organization premium costs will vary in accordance with actual enrollment.
- H. Authorize Chief Executive Officer to negotiate and execute Agreement No. C-1-3671 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Vision Service Plan, on a cost per employee basis, for vision services through December 31, 2022. The annual 2022 vision services premium costs will vary in accordance with actual enrollment.



6. (Continued)

- I. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 5 to Purchase Order No. C-7-1897 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA for life and accidental death and dismemberment insurance through December 31, 2022. The annual 2022 life and accidental death and dismemberment premium costs will vary in accordance with actual volume in the plan.
- J. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1898 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA to provide supplemental life insurance to employees at their own expense through December 31, 2022.
- K. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1899 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA for short-term and long-term disability insurance through December 31, 2022. The annual 2022 short-term and long-term disability premium costs will vary in accordance with actual volume in the plan.
- L. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1900 between Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA with Compsych to provide employee leave administration through December 31, 2022.

Discussion Items

- 7. Transportation Infrastructure Finance and Innovation Act Loan Interest Rate Reset Update**
Sean Murdock/Andrew Oftelie

Staff will provide an update on the Transportation Infrastructure Finance and Innovation Act Loan Interest Rate Reset.



8. Chief Executive Officer's Report

9. Committee Members' Reports

10. Closed Session

There are no Closed Session items scheduled.

11. Adjournment

The next regularly scheduled meeting of this Committee will be held at **10:30 a.m. on Wednesday, September 8, 2021**, at the Orange County Transportation Authority Headquarters, Conference Room 07, 550 South Main Street, Orange, California.

Economic Outlook

Orange County Transportation Agency

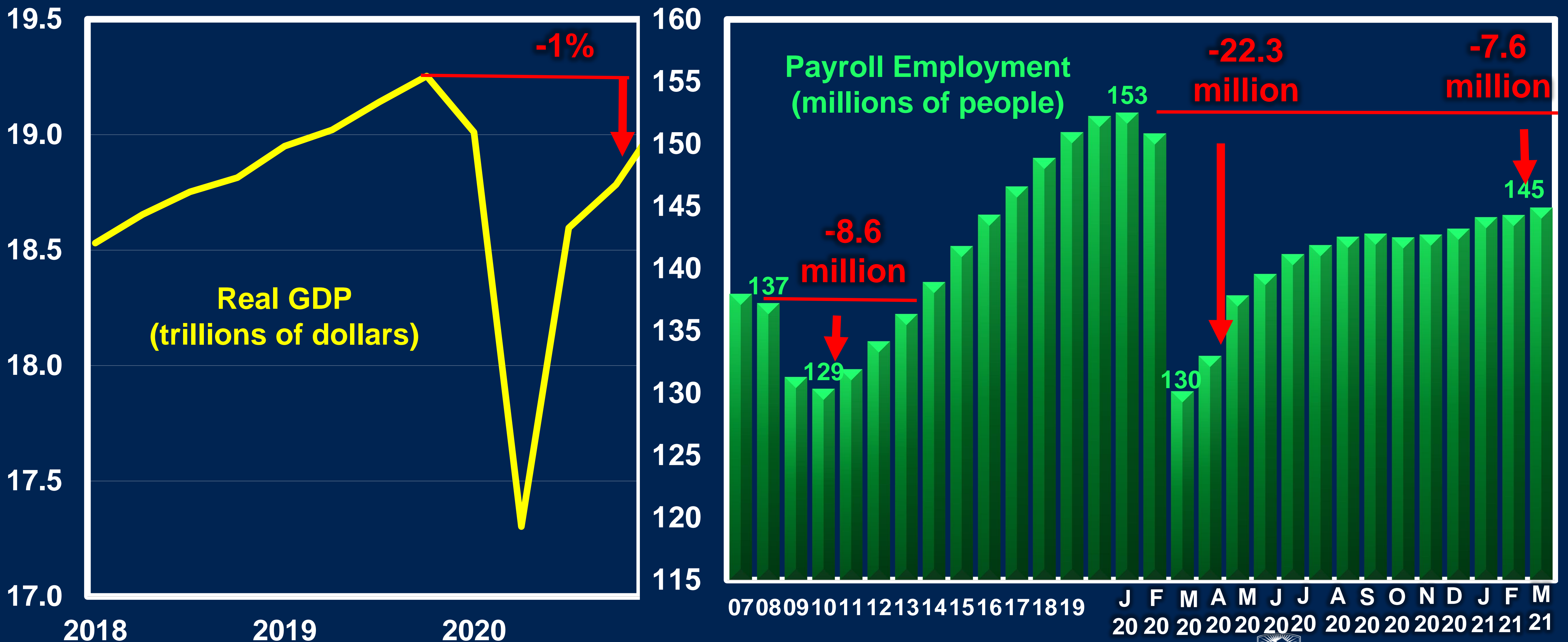
July 2021

Anil Puri, Ph.D.

California State University, Fullerton

The Shortest Recession in History

Recovery Began in May/June 2020 and Has Continued Since

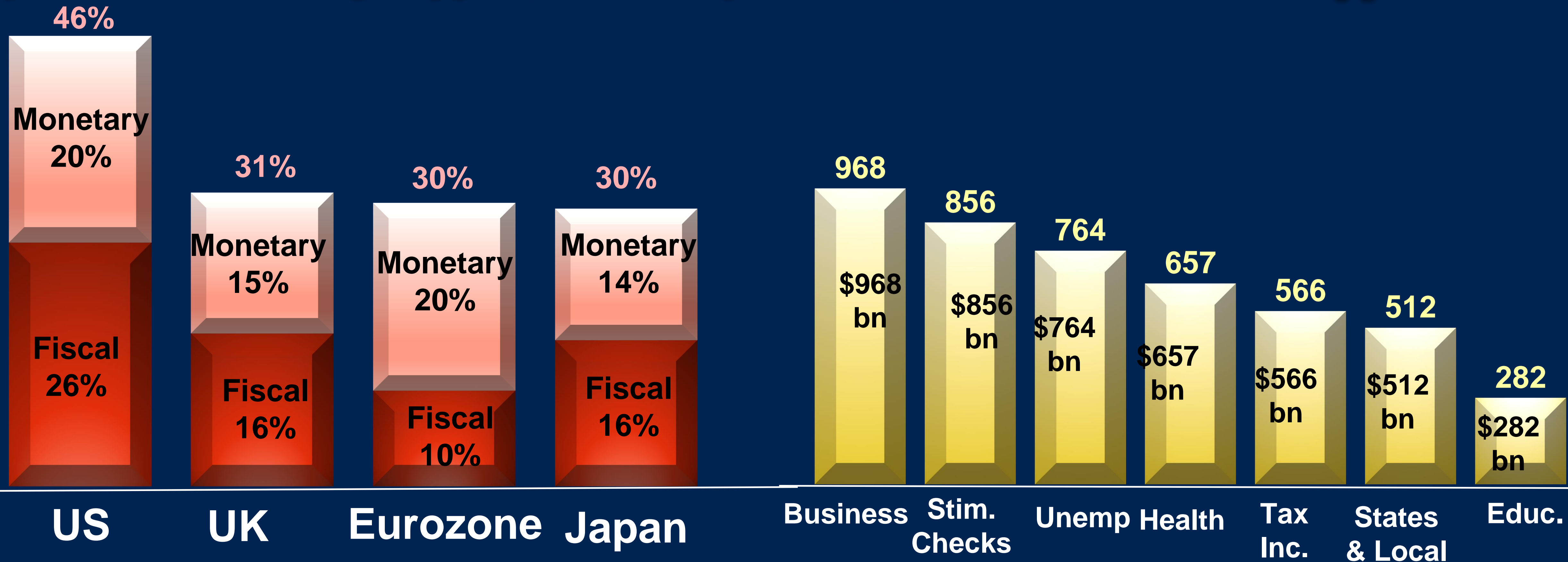


The Era of Big Government Spending is Back

Lavish Government Support Everywhere, Especially in the US

America First: \$10 trillion
(fiscal and monetary support, % of GDP)

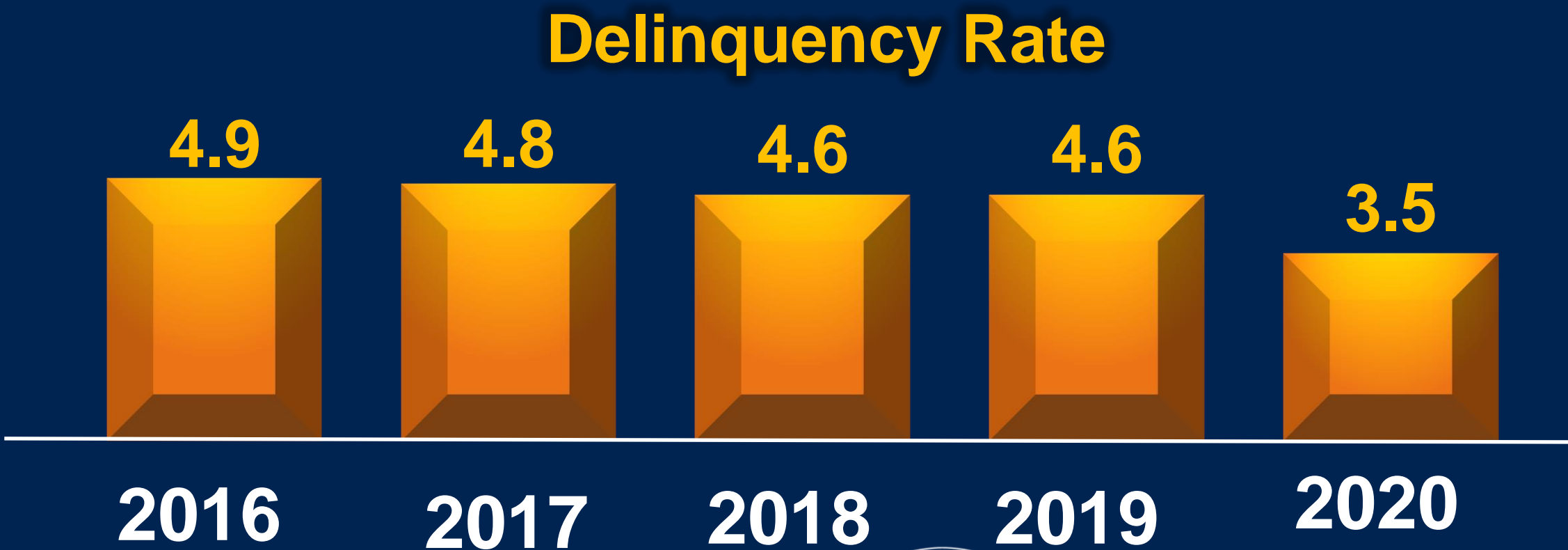
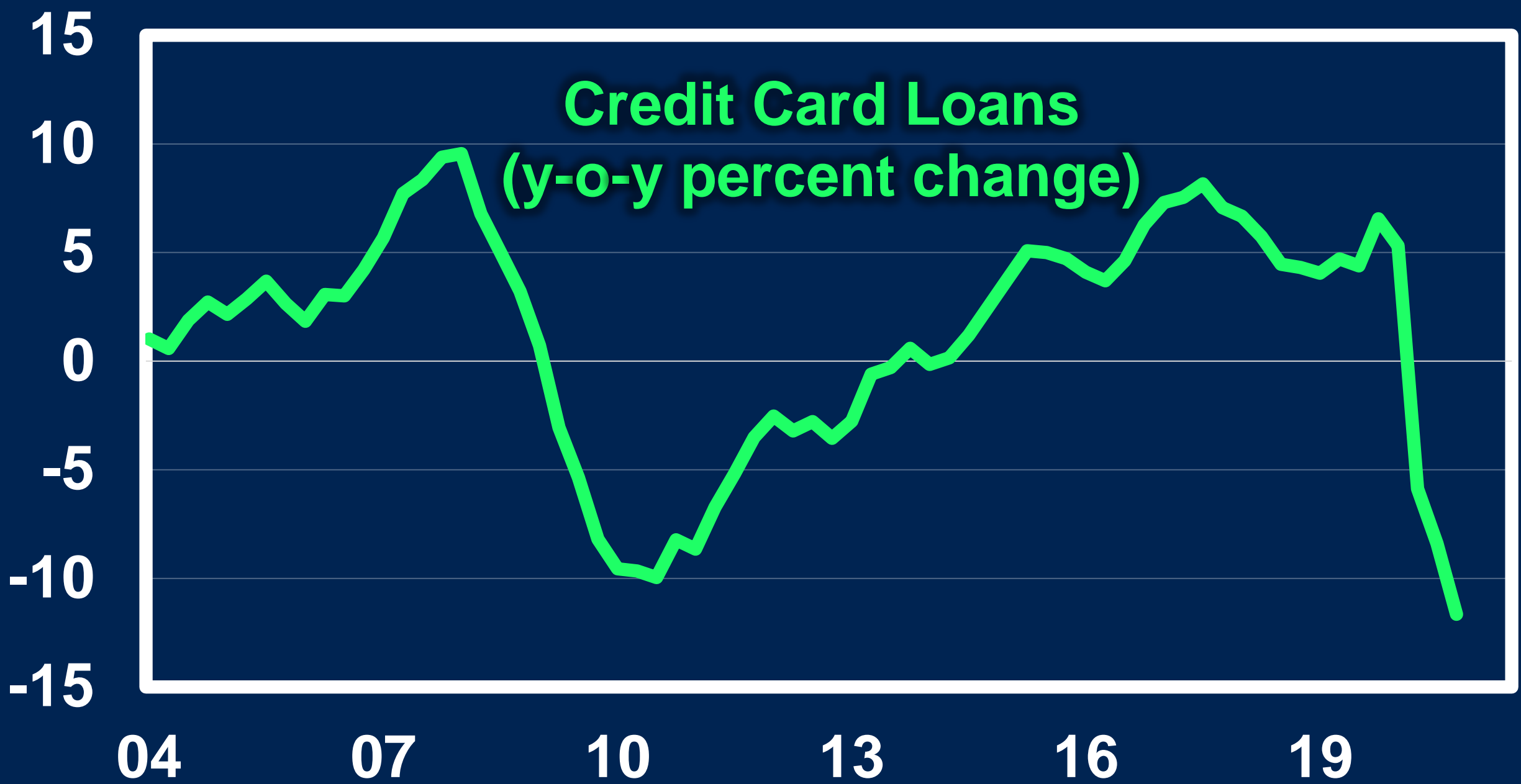
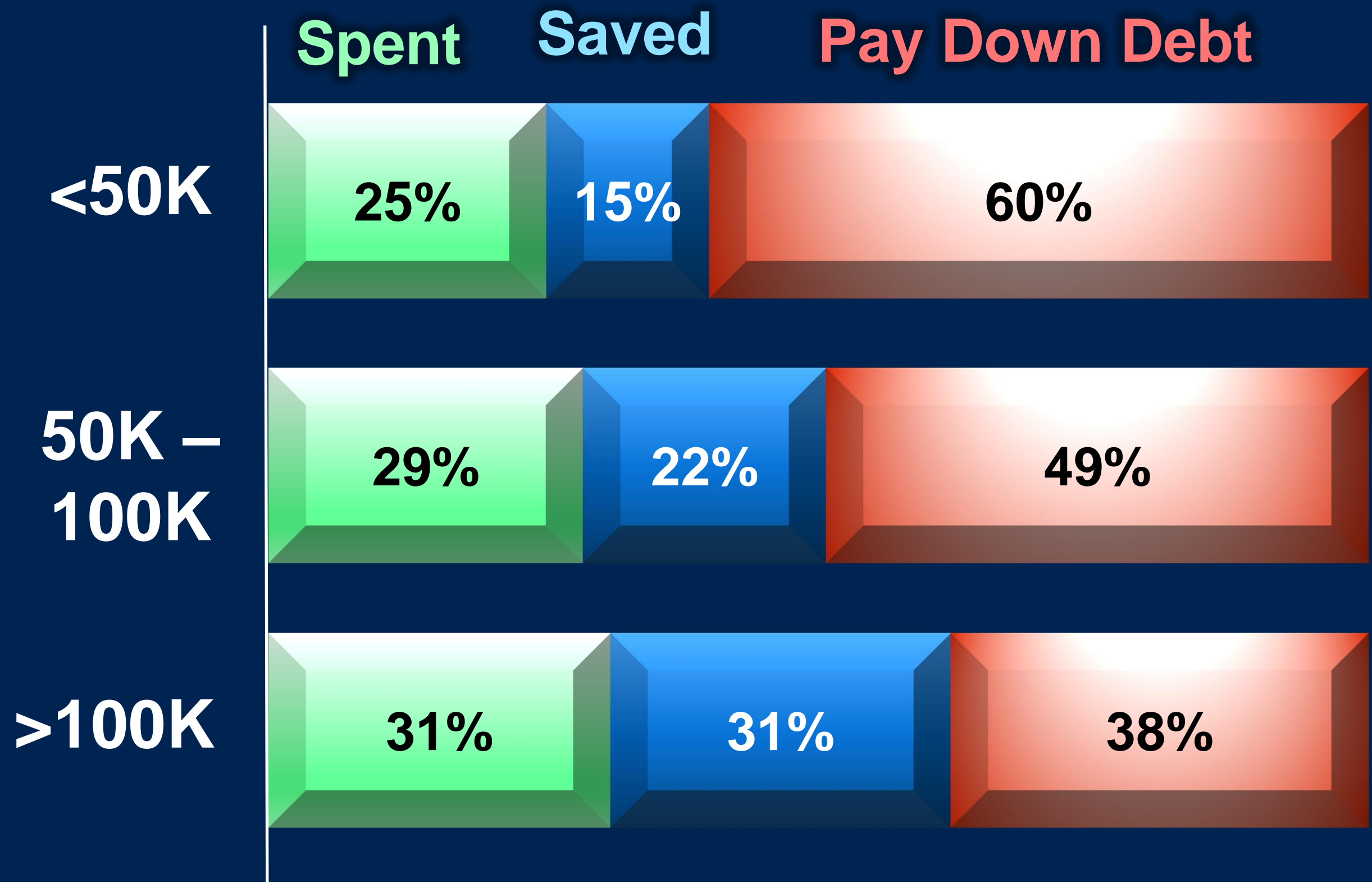
\$6 Trillion in Fiscal Support



Pristine Consumer Balance Sheets

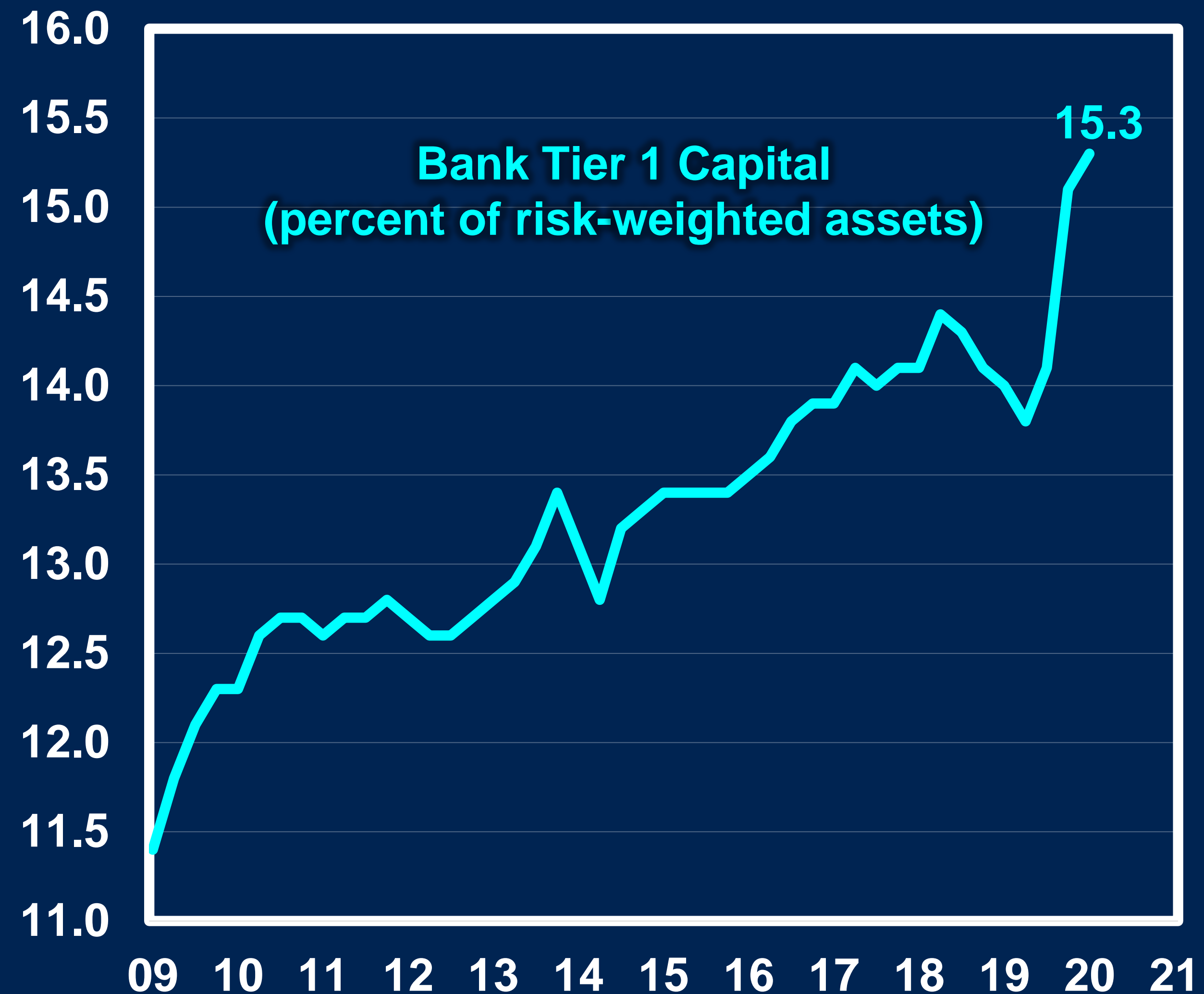
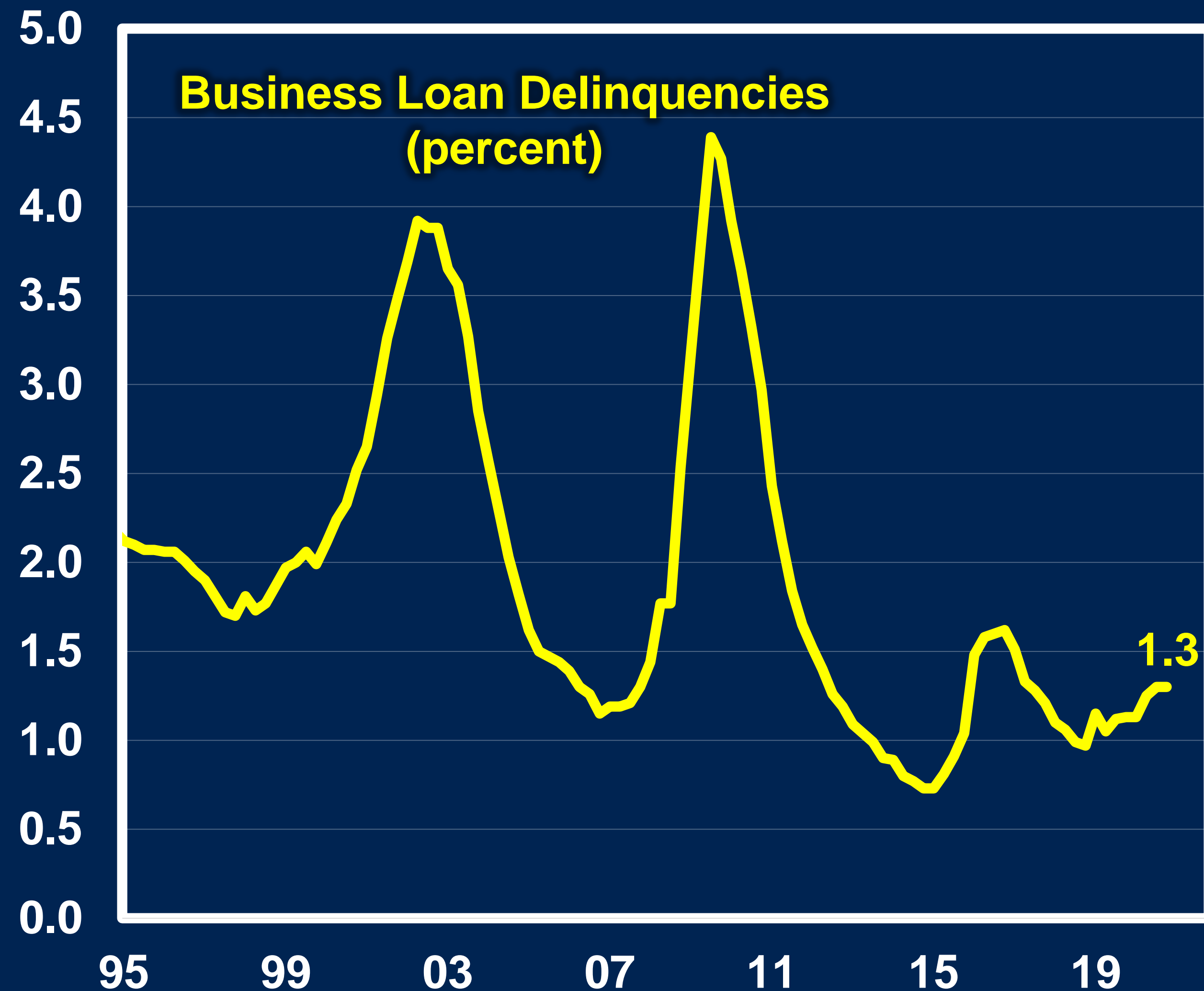
Most Used Stimulus to Pay Down Debt

How Stimulus Was Used



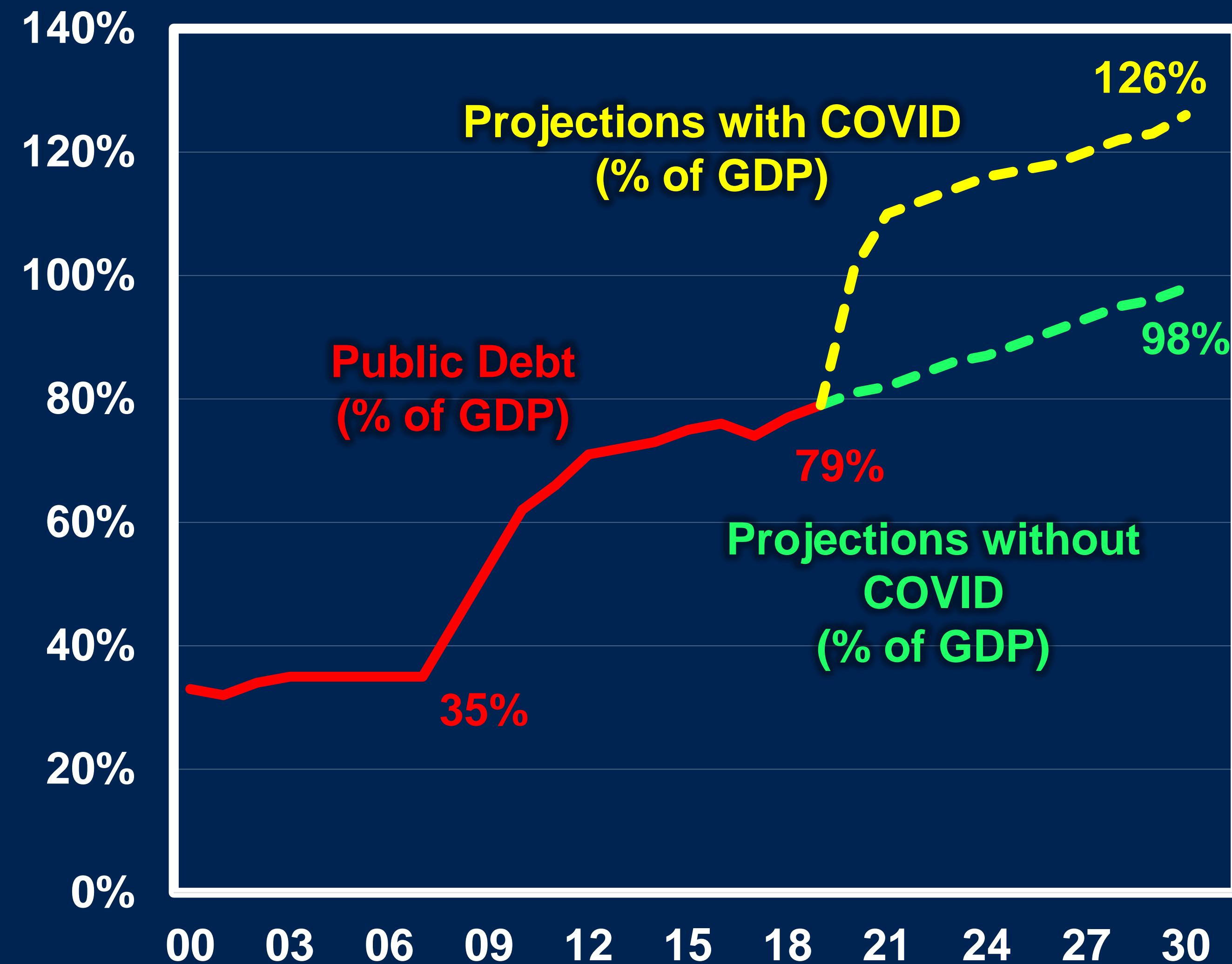
Healthy Business and Banking Sector

Business Delinquencies Remain Low; Banks are Well Capitalized



The Unbearable Lightness of Debt and Inflation

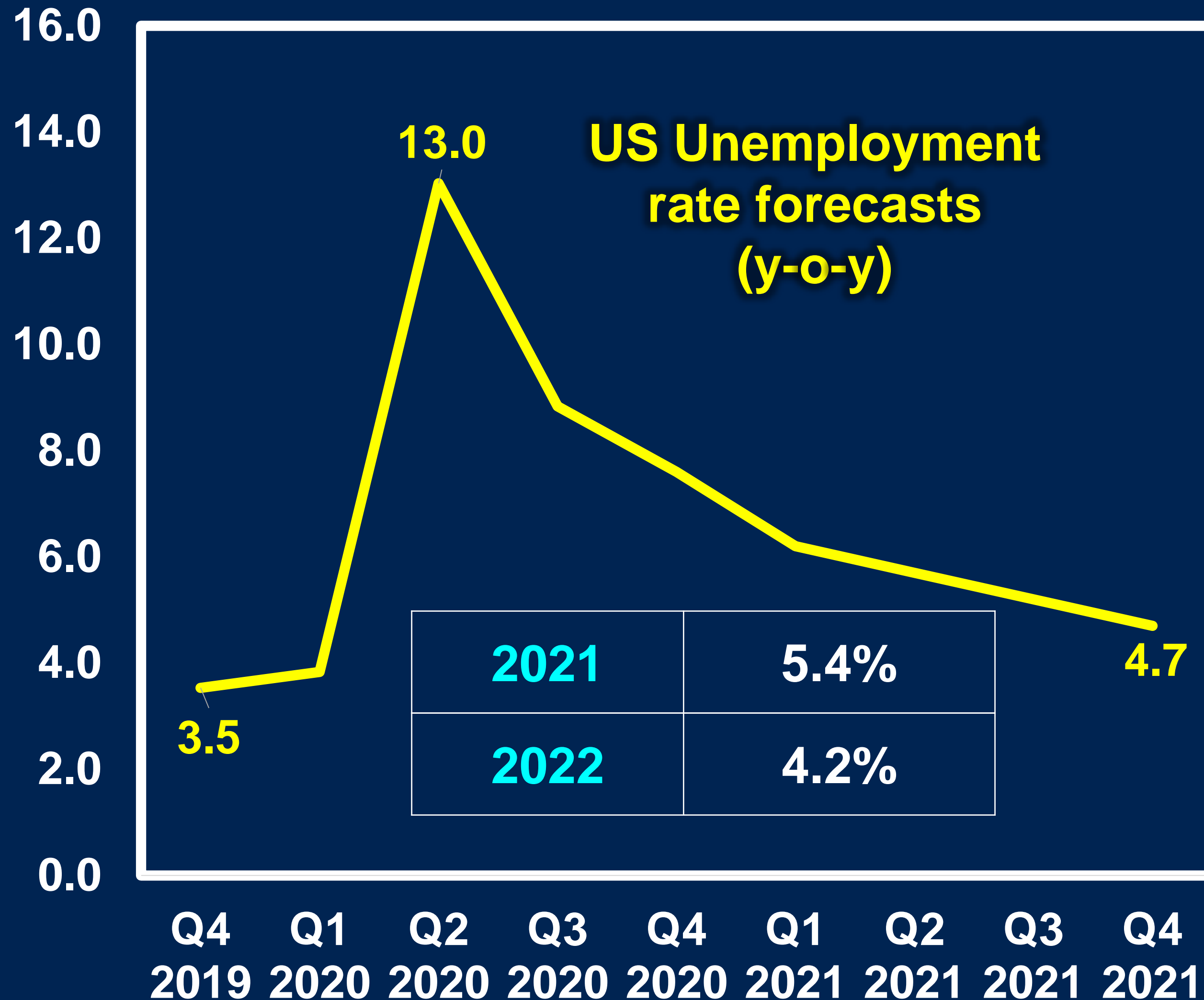
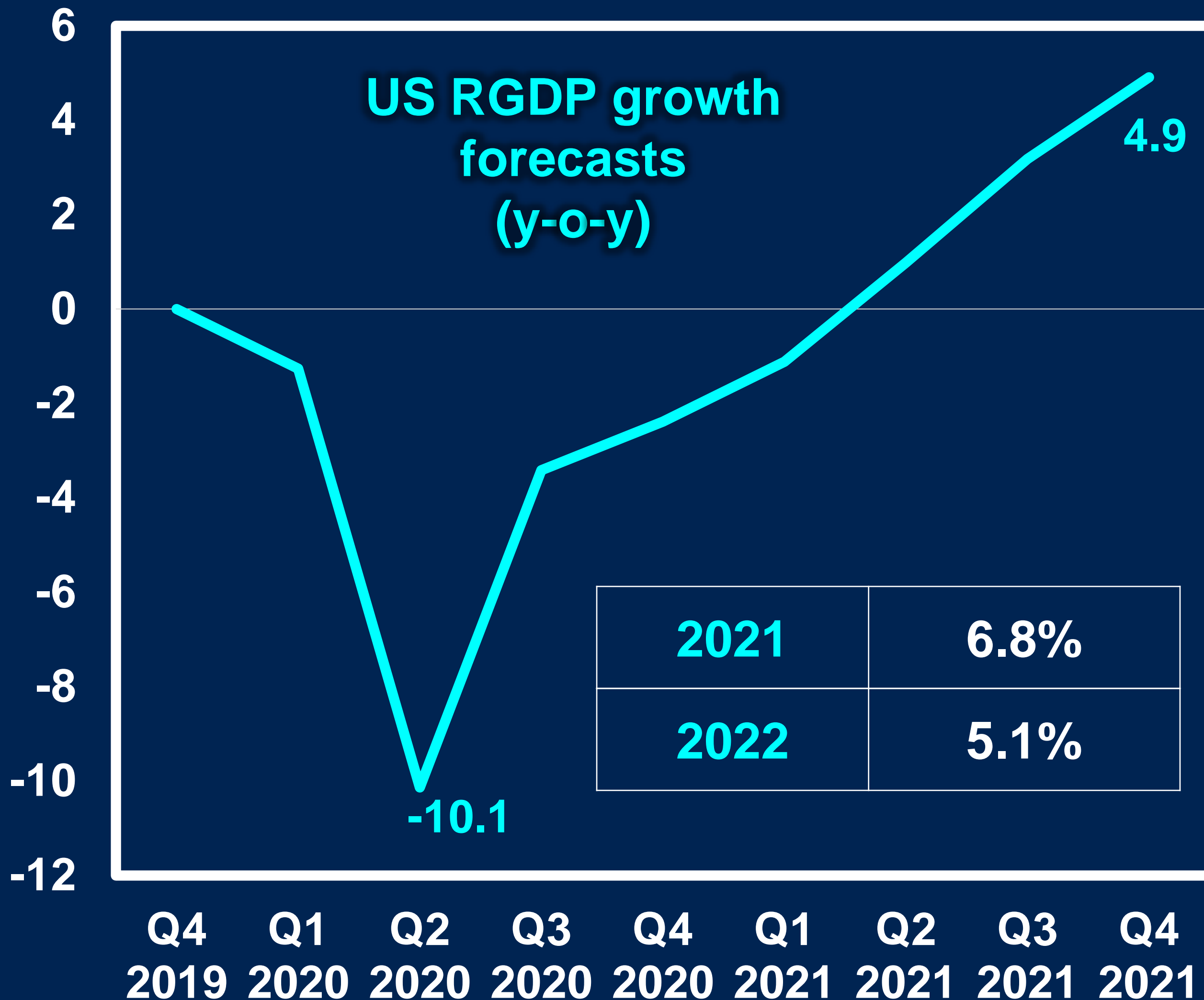
A Fiscal Crisis and Inflation Brewing...Not Now...But Down the Line



Fed	On Hold Until End-23
Markets	Hike End 2022
CSUF	Hike Q3 2022

The New Soaring 20s

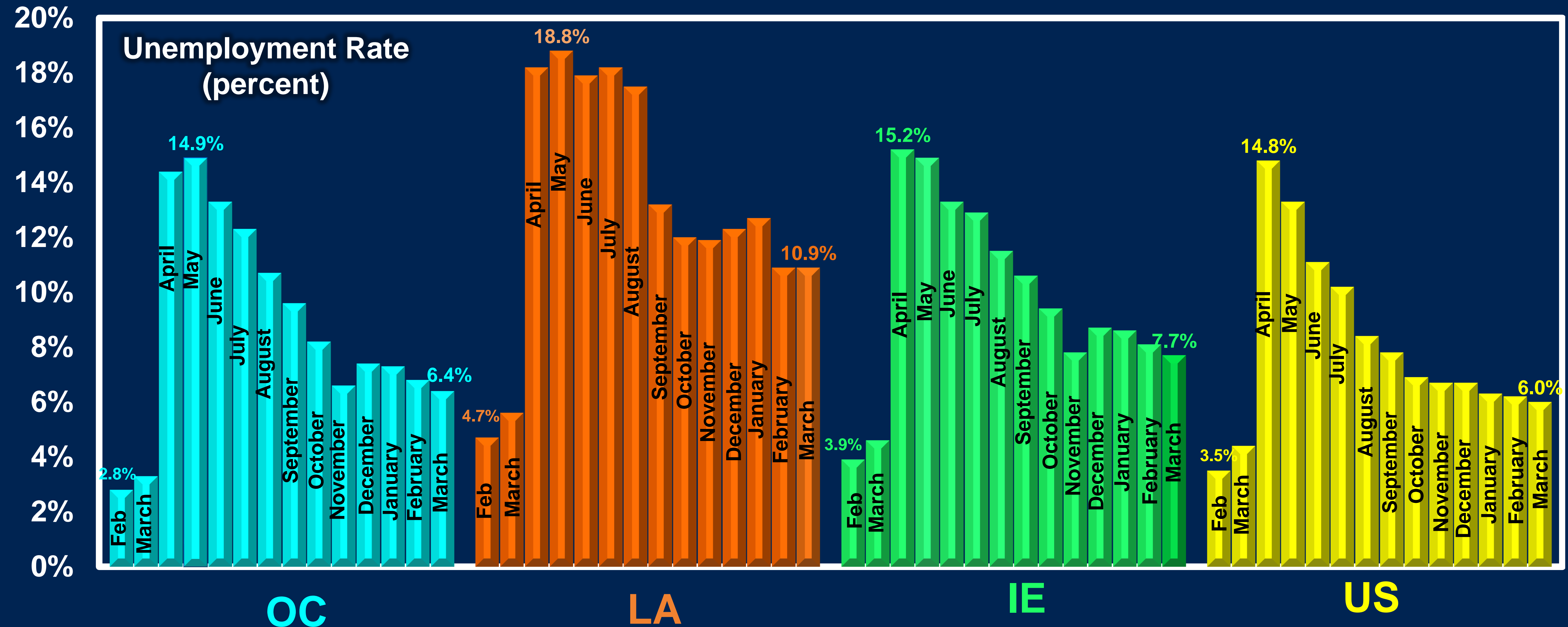
A Juiced Up Recovery Last Seen One Generation Ago



Historic High Unemployment...Has Declined

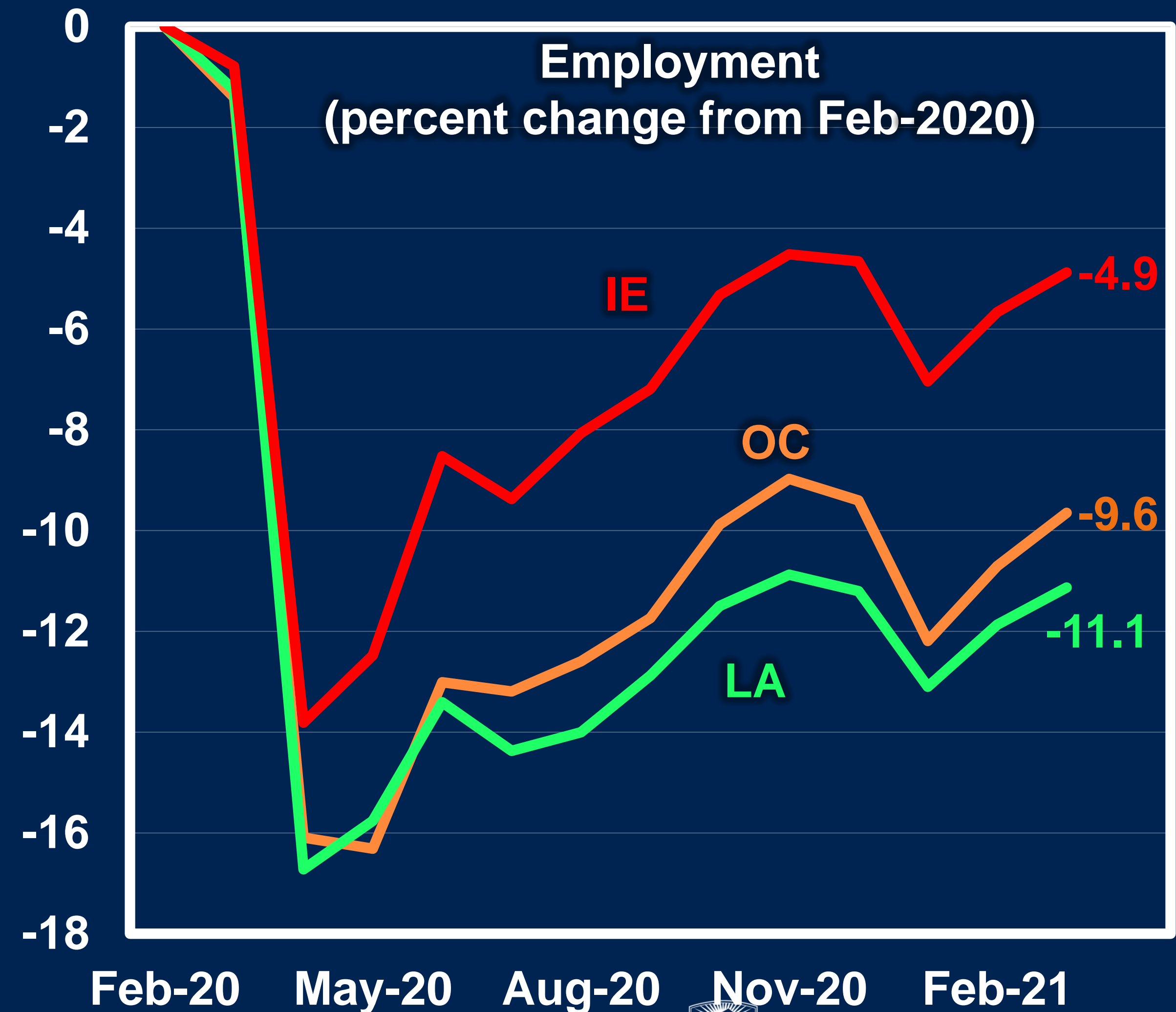
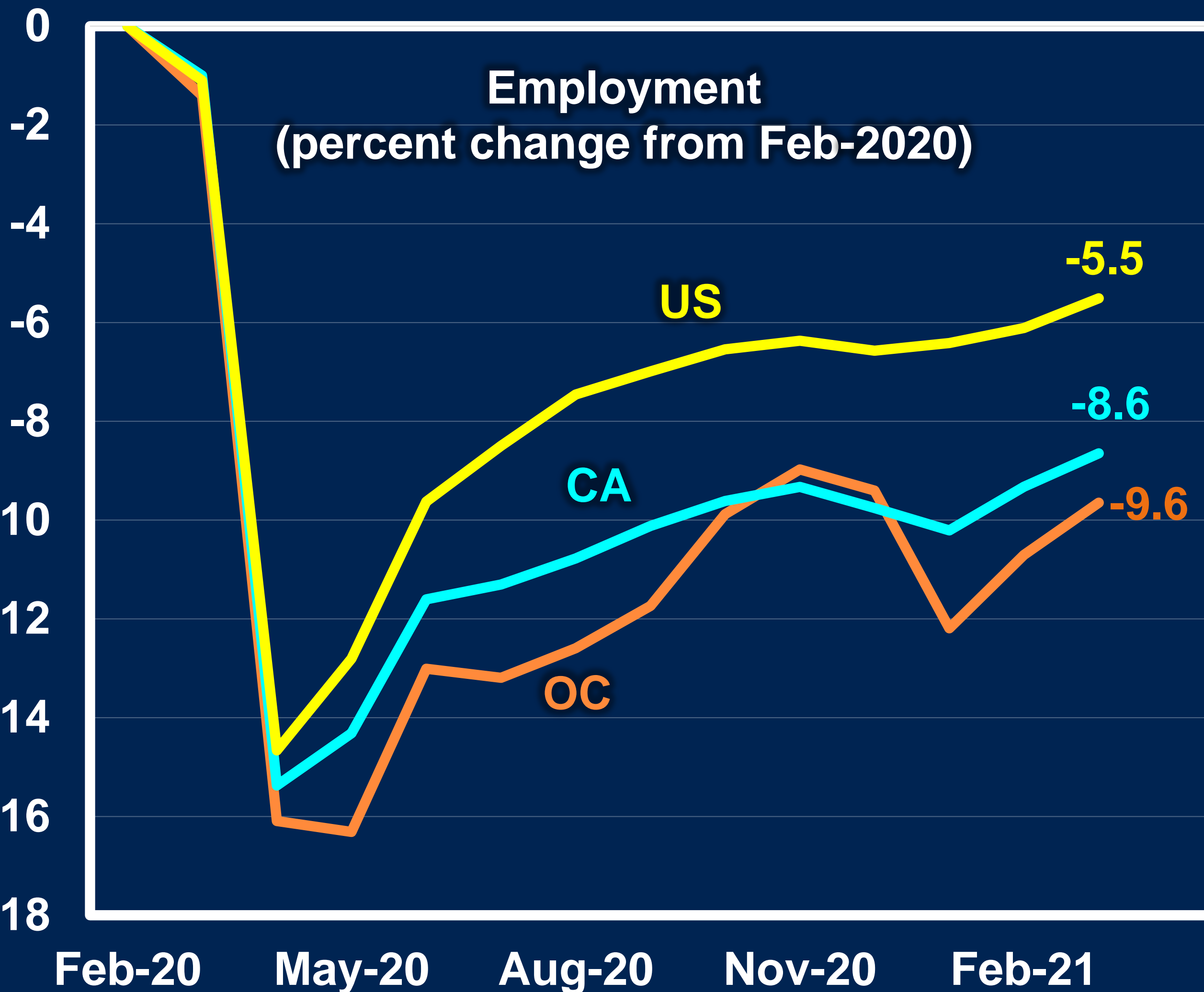
...But Still Remains Elevated for all SoCal Counties

(unemployment rate, percent)



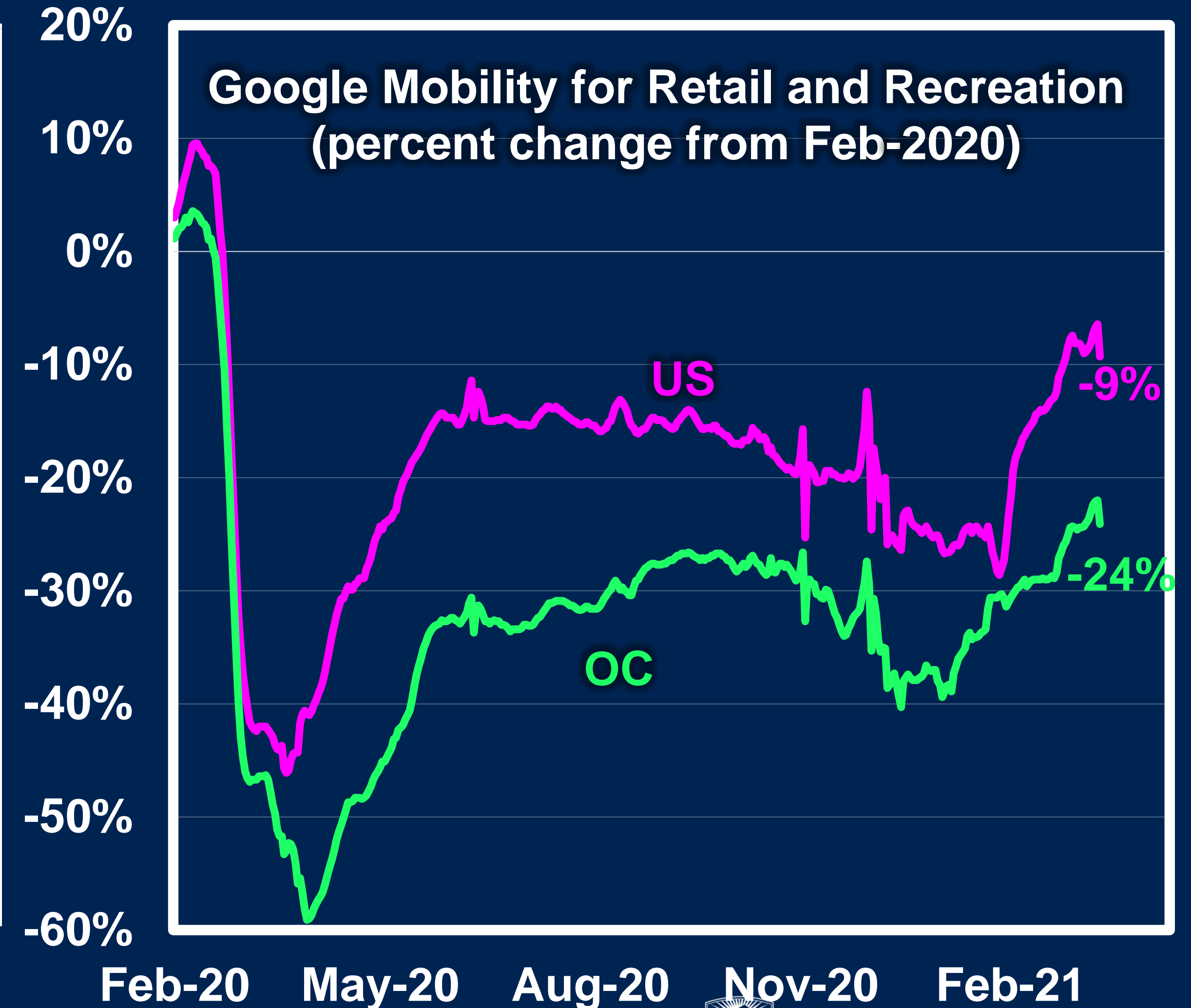
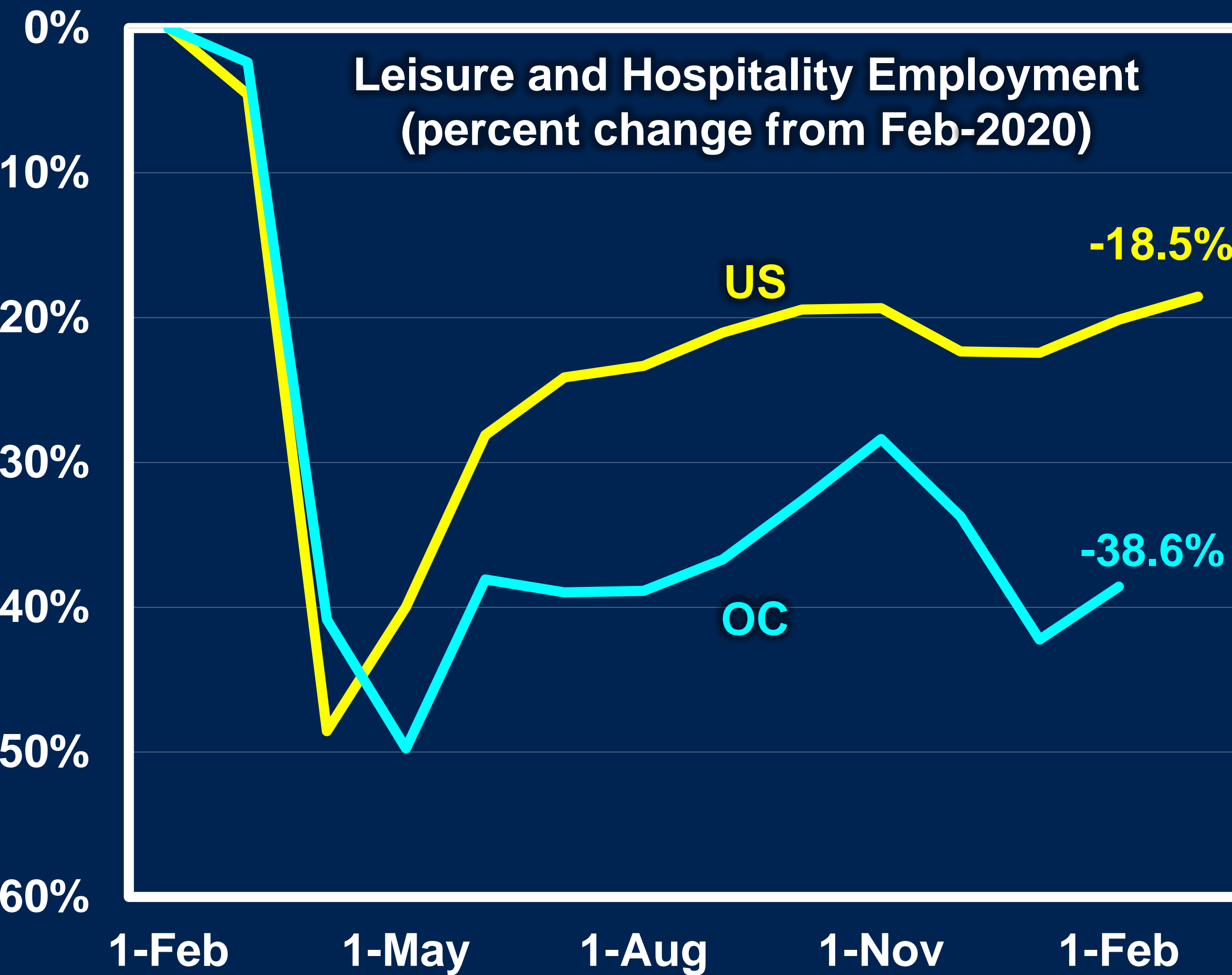
Lagging Behind...

Labor Market Recession and Recovery Much Worse for OC and LA



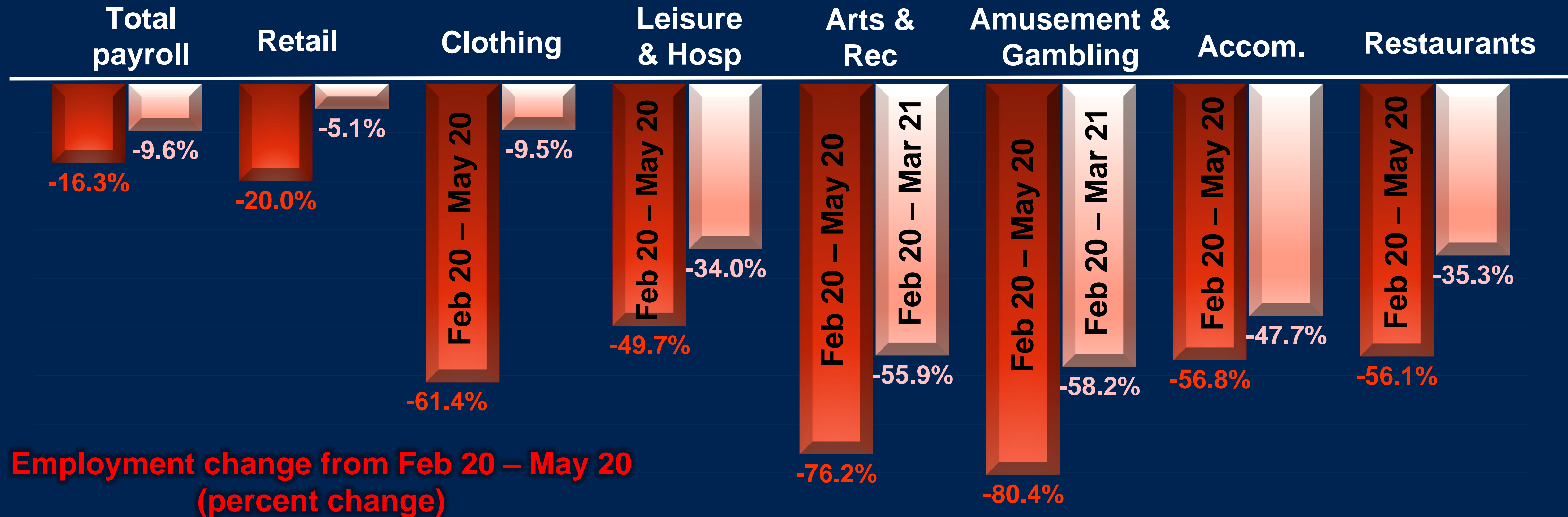
The Hit to Leisure and Hospitality Hurts

OC Underperforming Because of its Reliance on Leisure and Hospitality



The Worst Hit Sectors:

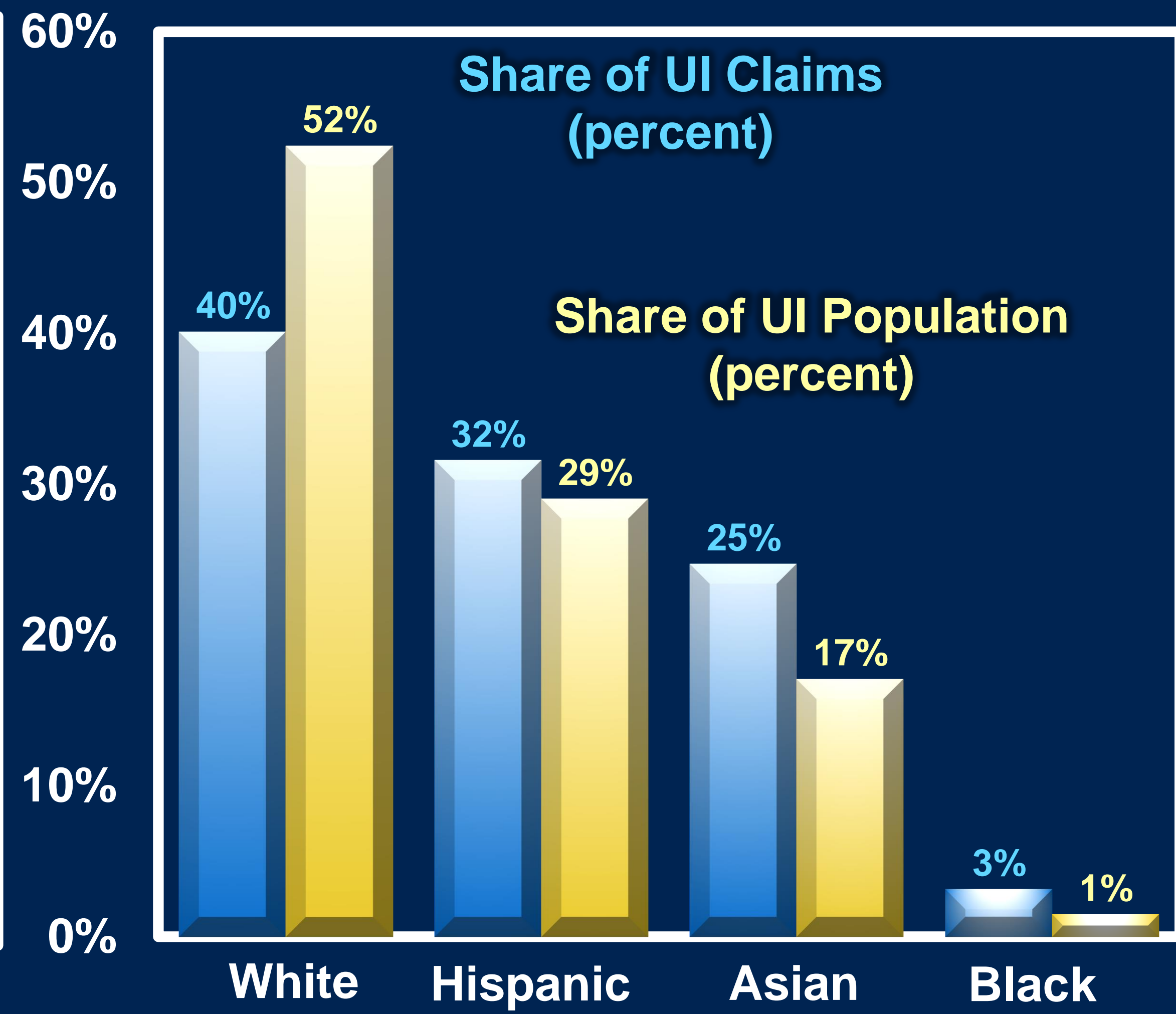
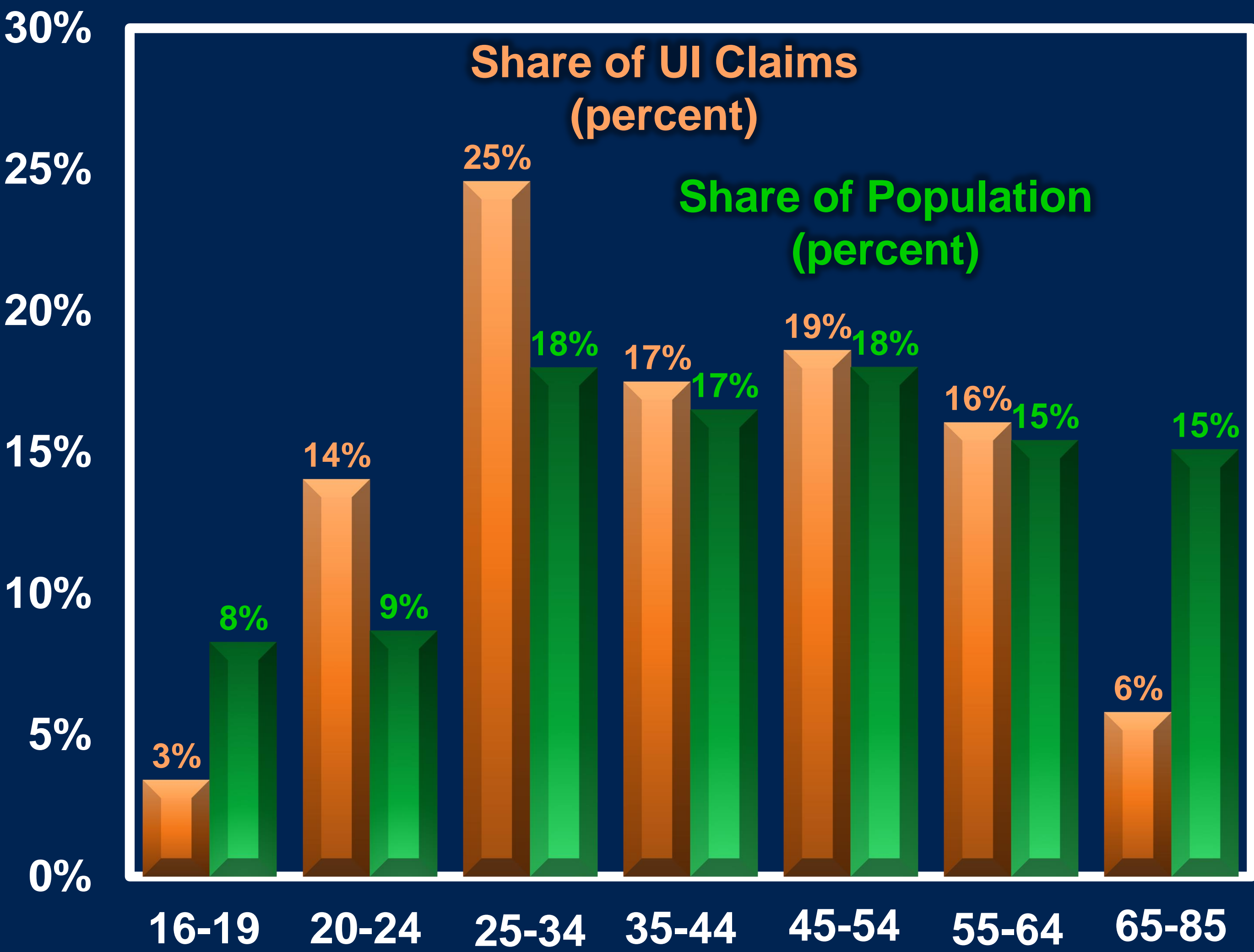
Leisure, Arts, Accommodations Have Barely Recovered
(nonfarm payrolls, percent change from February 2020)



Employment change from Feb 20 – March 21
(percent change)

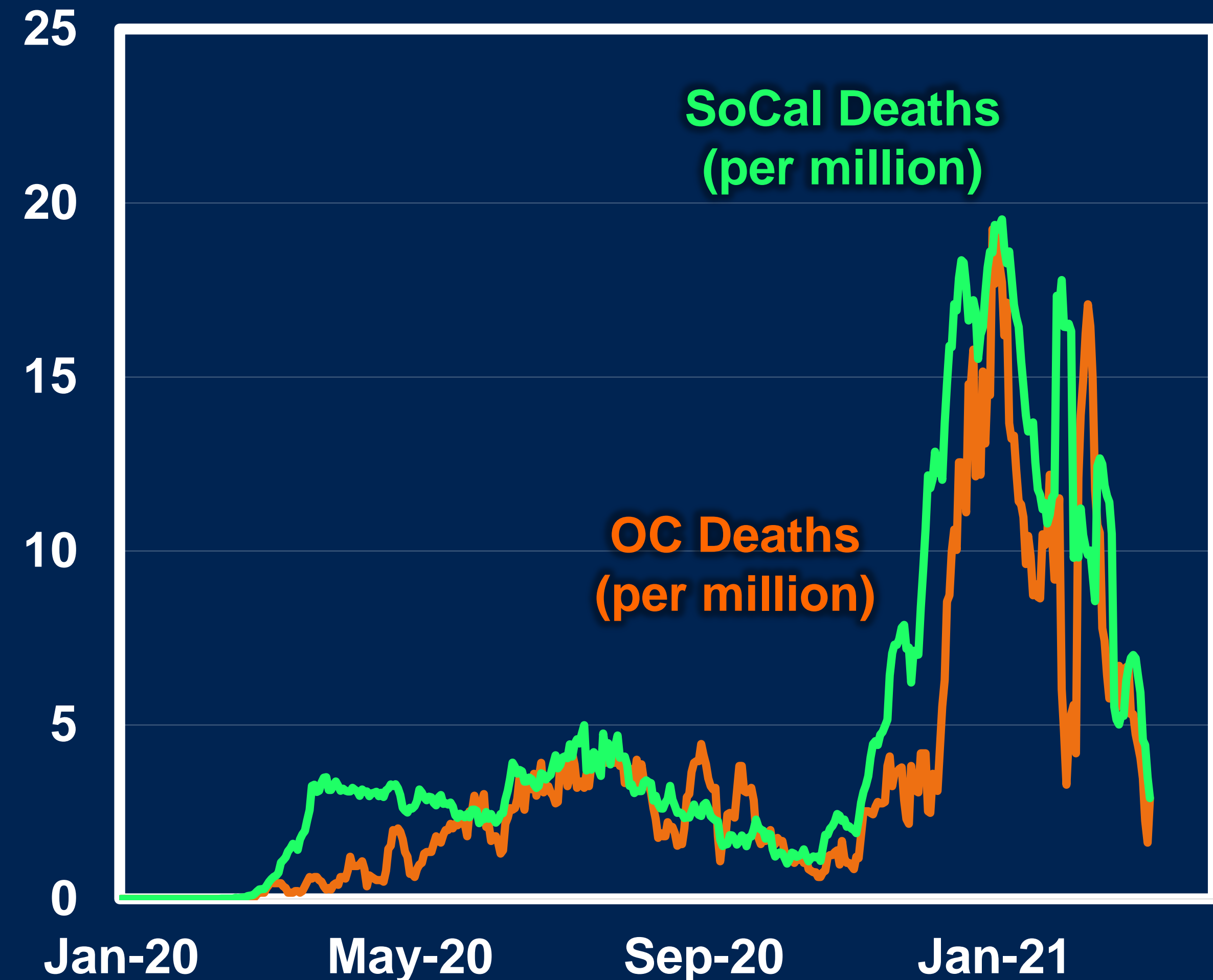
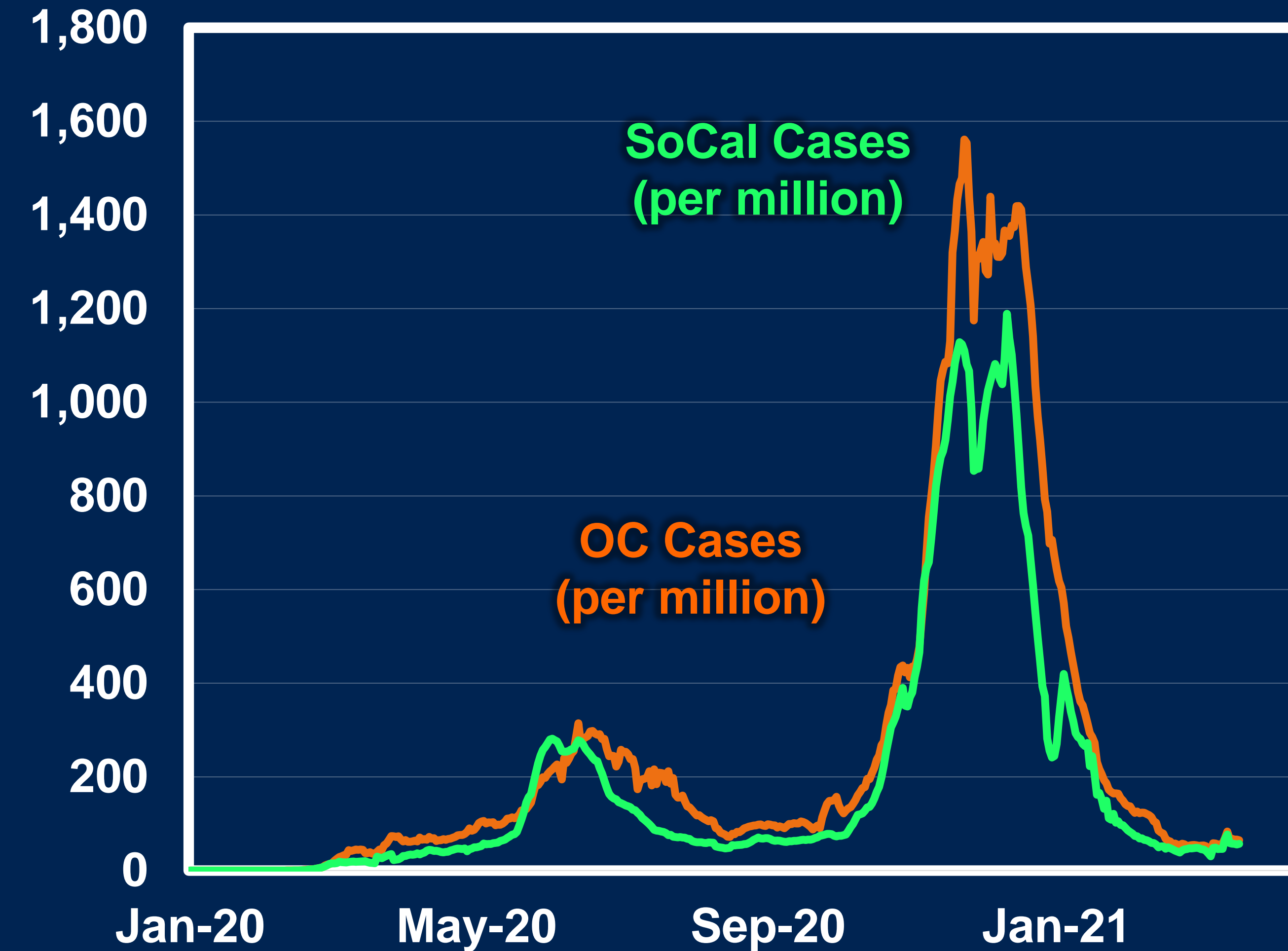
A Lopsided Affair: The Pain is not Evenly Distributed

Employment Losses Higher for the Young and Minorities
(share of unemployment claims and share of population, percent)



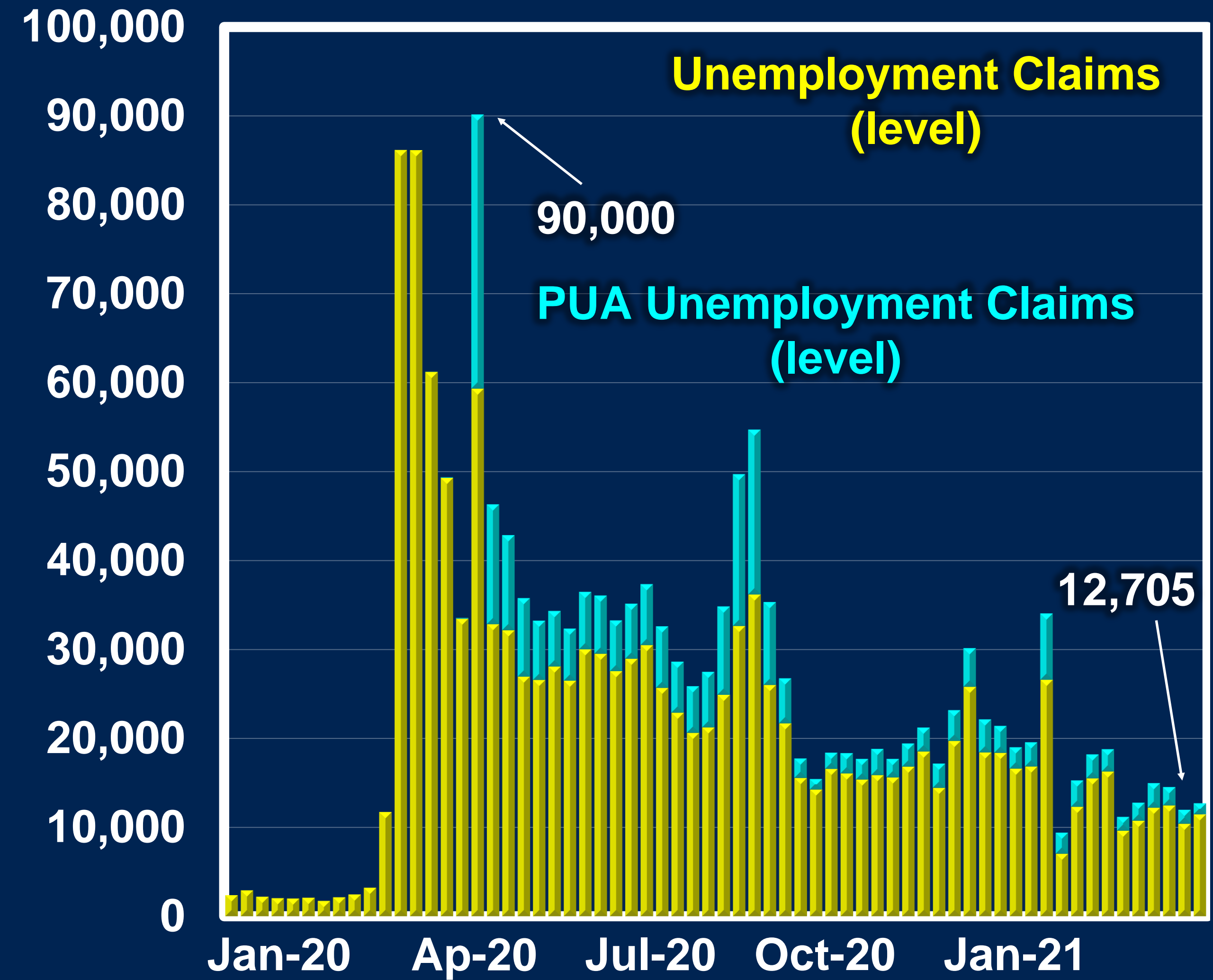
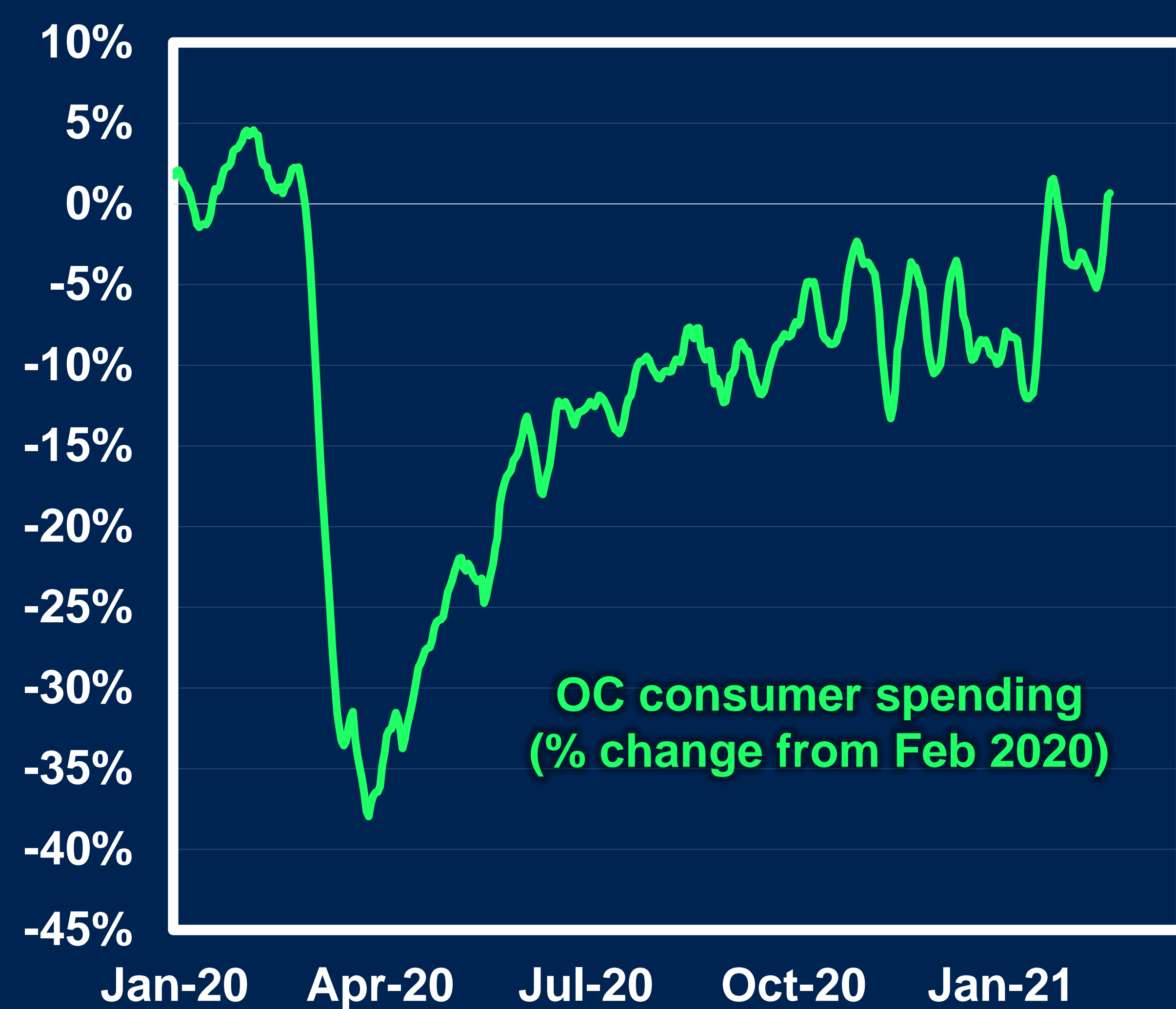
A COVID-Ravaged Winter has Ended...

...Leading to a Much Improved Spring
(infections and fatalities per million residents)



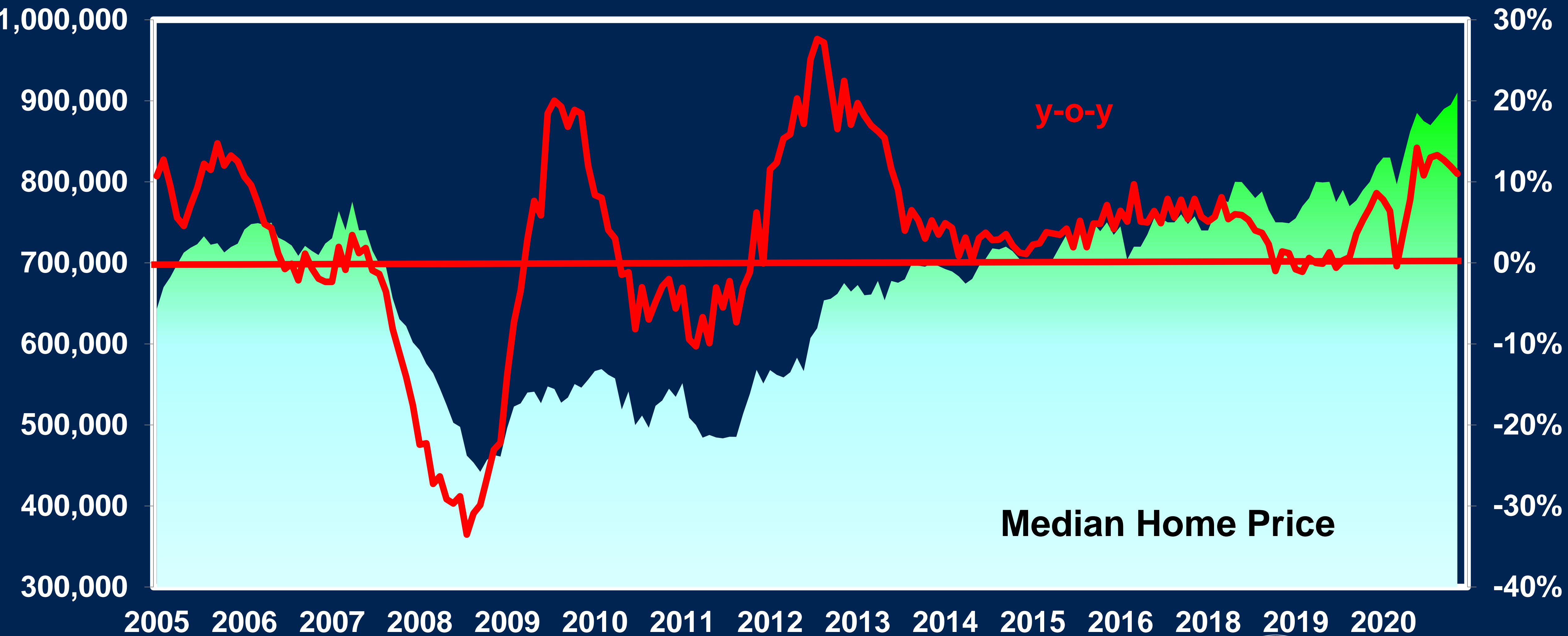
Full Steam Ahead

Vaccines, Reopening and a Resilient Economy



A Stellar Housing Market

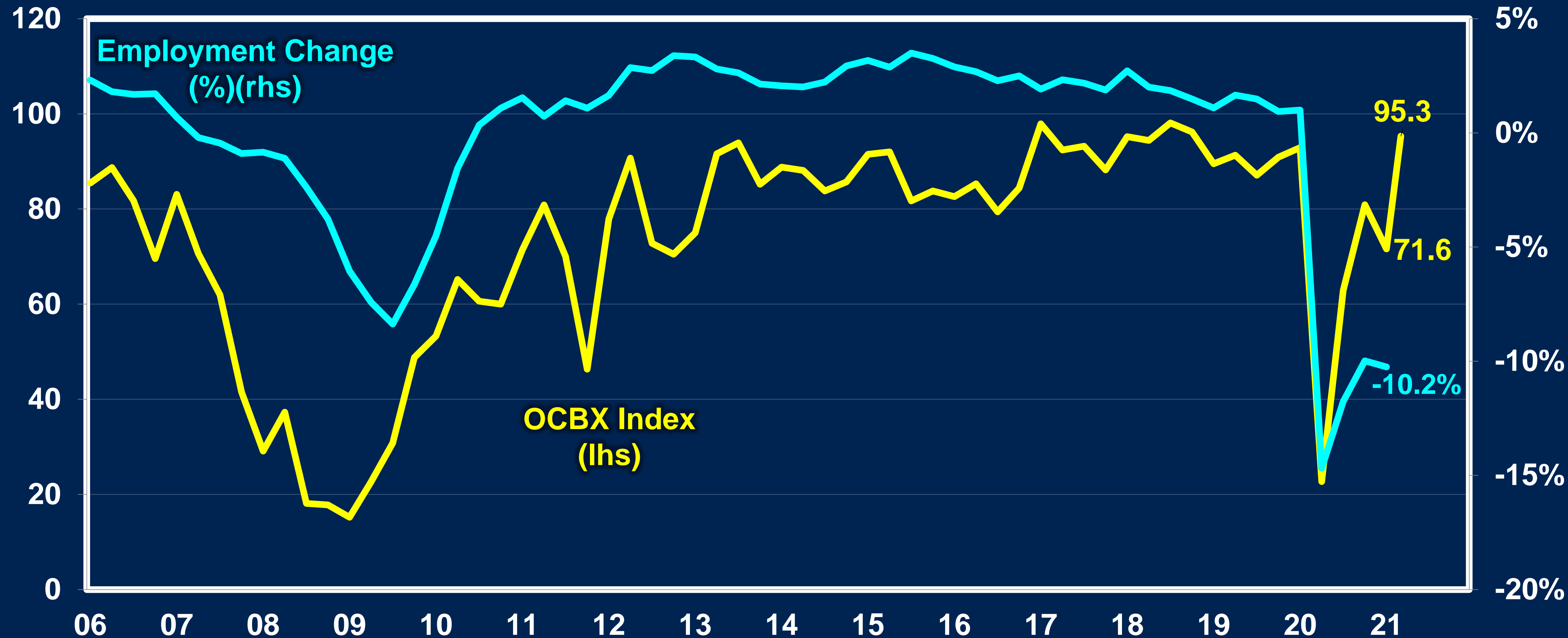
OC Home Prices on a Tear: Highest Home Prices Ever Recorded
(Corelogic median home price, level and y-o-y percent change)



Sharp Rebound: OCBX Index Defying Gravity

OC Businesses Very Optimistic About Outlook

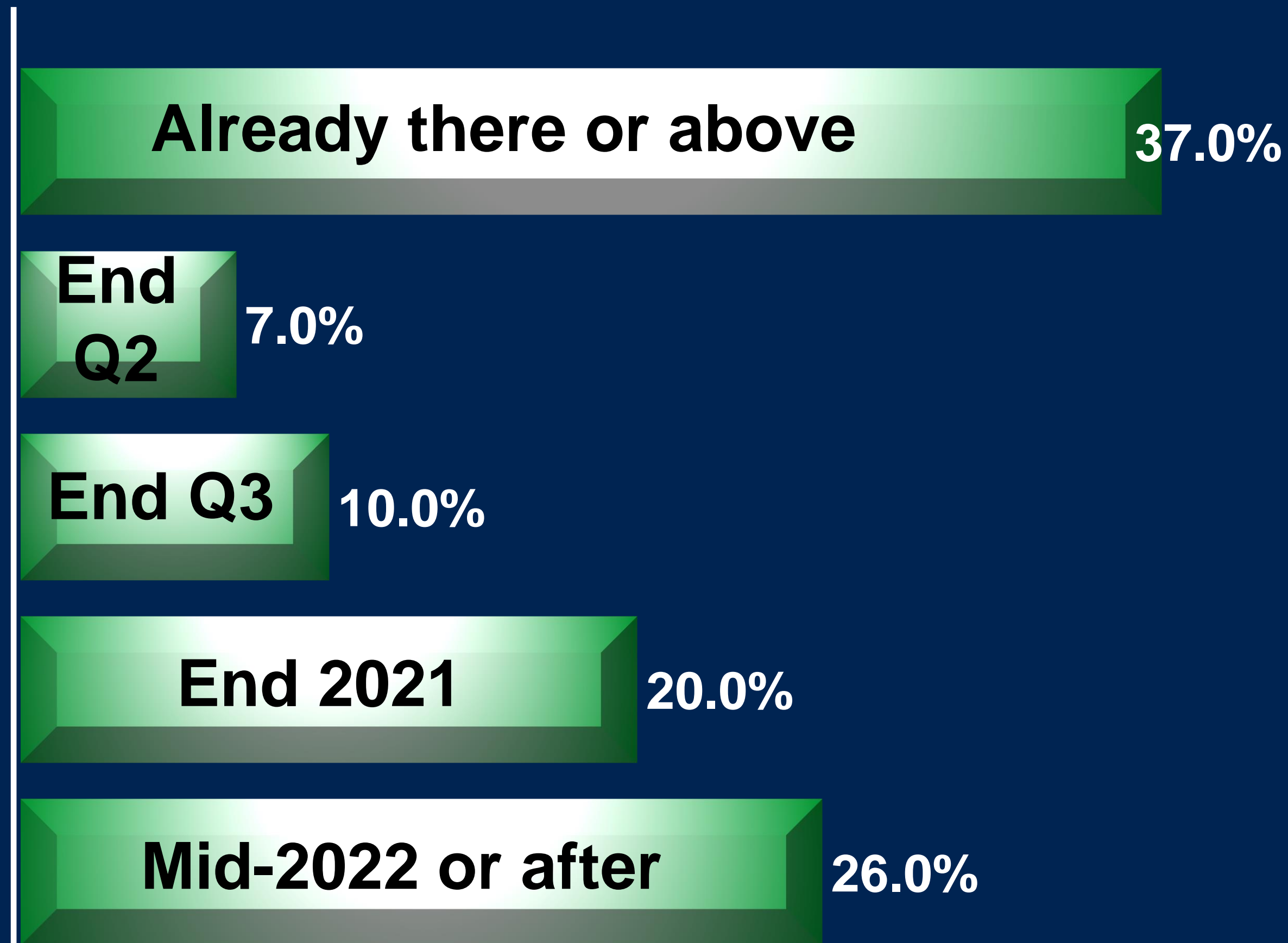
(WCEAF OCBX Index, level)



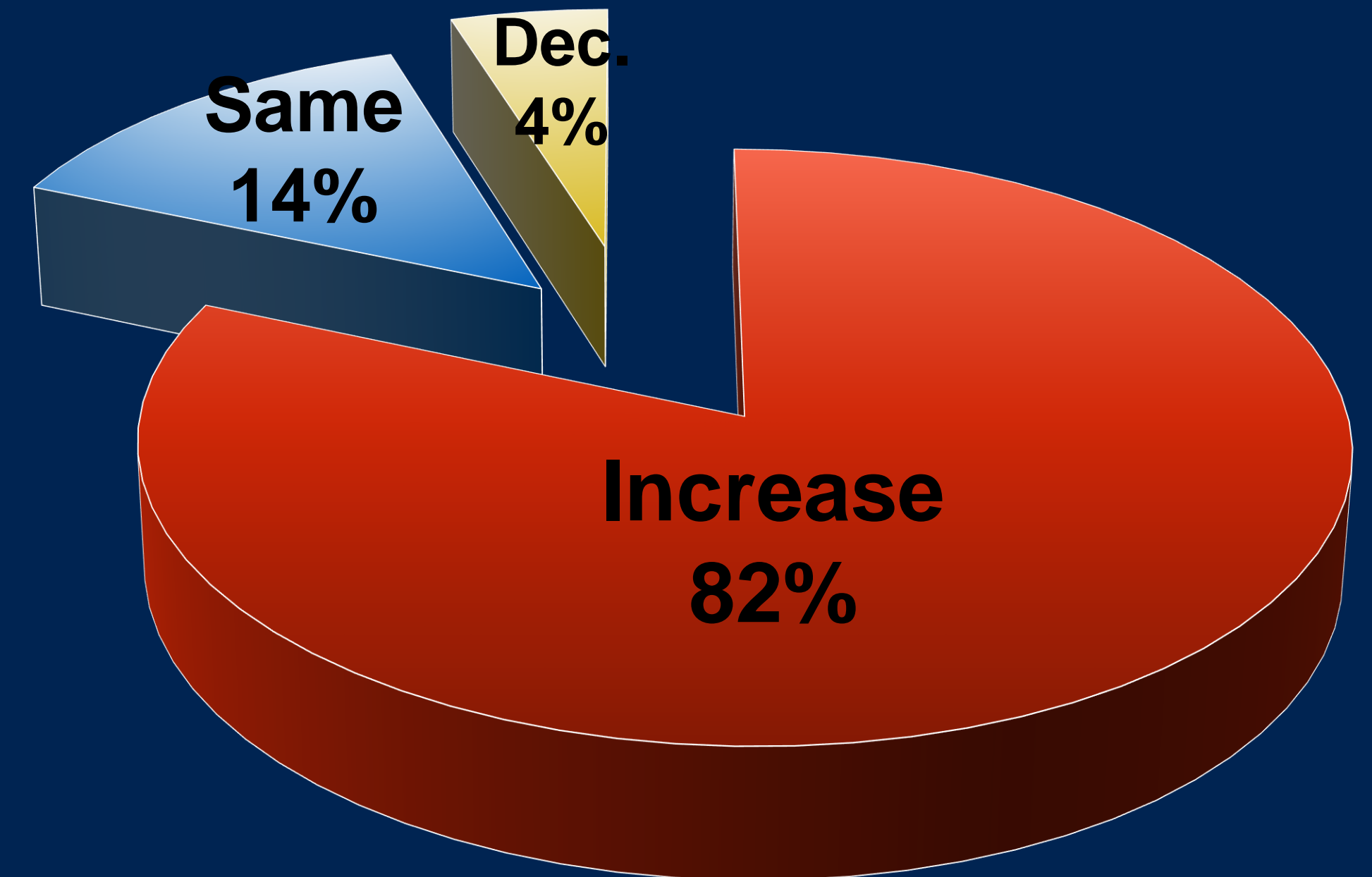
OC Businesses Ready to Go!

Most Businesses Expect to Regain Pre-Pandemic levels by end-2021

When Will you Reach Pre-Pandemic Levels? (percent of respondents)



Overall Regional Activity (percent of respondents)



What a Difference a Year Makes!

Business Outlook Has Improved Dramatically from Q2 2020 to Now

(Orange County Business Executives Survey, percent of respondents)



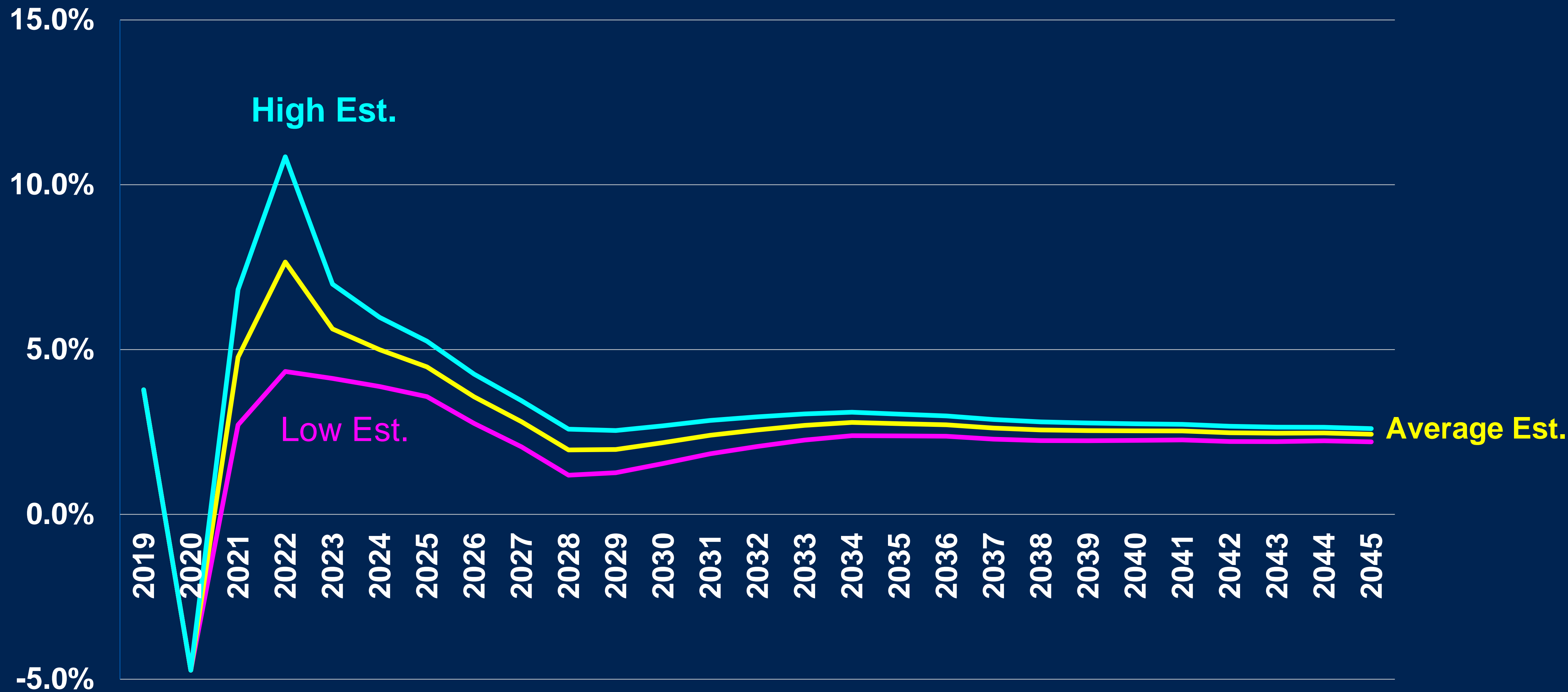
OC Taxable Sales

Fiscal Years ('000 dollars)



OC Taxable Sales

Fiscal Years (percent change)





MINUTES

Finance and Administration Committee Meeting

Committee Members Present:

Michael Hennessey, Chairman

Committee Members Present Via Teleconference:

Steve Jones, Vice Chairman

Brian Goodell

Patrick Harper

Gene Hernandez

Joe Muller

Staff Present

Jennifer L. Bergener, Deputy Chief Executive Officer

Sahara Meisenheimer, Clerk of the Board Specialist

Gina Ramirez, Clerk of the Board Specialist, Senior

Via Teleconference:

Darrell E. Johnson, Chief Executive Officer

Cassie Trapesonian, Assistant General Counsel

OCTA Staff Members

Committee Members Absent

Katrina Foley

Call to Order

The July 28, 2021, regular meeting of the Finance and Administration (F&A) Committee was called to order by Committee Chairman Hennessey at 10:32 a.m.

Roll Call

The Clerk of the Board Specialist conducted an attendance roll call and announced a quorum of the F&A Committee.

Pledge of Allegiance

Director Hernandez led in the Pledge of Allegiance.

1. Public Comments

Public Comments were received under Item 11.

Special Calendar

There were no Special Calendar matters.



Consent Calendar (Items 2 through 10)

2. Approval of Minutes - June 23, 2021

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to approve the minutes of the Finance and Administration Committee meeting of June 23, 2021.

3. Approval of Minutes - July 14, 2021

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to approve the minutes of the Finance and Administration Committee meeting of July 14, 2021.

4. Fiscal Year 2020-21 Internal Audit Plan, Fourth Quarter Update

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to receive and file the fourth quarter update to the Orange County Transportation Authority Internal Audit Department Fiscal Year 2020-21 Internal Audit Plan as an information item.

5. Orange County Transportation Authority Internal Audit Department Fiscal Year 2021-22 Internal Audit Plan

Director Harper pulled this item and inquired about the procurement process.

Janet Sutter, Executive Director of Internal Audit, responded that procurements are often reviewed in project audits. For example, the OC Streetcar project, staff will review the procurement for the construction manager and construction firm by ensuring it complies with the Orange County Transportation Authority's (OCTA) procurement policies and procedures. One won't see it within their own audit because OCTA is covering procurements in their project.

Darrell E. Johnson, Chief Executive Officer (CEO), added that OCTA was awarded the prestigious 2021 Achievement of Excellence in Procurement Award from the National Procurement Institute. This is the eleventh consecutive year that OCTA has received the award. It focuses on best practices in procuring public contracts. Every three years, the Federal Transit Administration does a triennial review where they closely review OCTA's procurement process.



5. (Continued)

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to:

- A. Approve the Orange County Transportation Authority Internal Audit Department Fiscal Year 2021-22 Internal Audit Plan.
- B. Direct the Executive Director of the Internal Audit Department to provide quarterly updates on the Orange County Transportation Authority Internal Audit Department Fiscal Year 2021-22 Internal Audit Plan.

6. Orange County Transportation Authority, Proposition 1B Bond Program, Project Number P2500-0008

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to direct staff to work, as requested with the California Department of Transportation on appropriate disposition of the observations and recommendations identified through the audit conducted by the California Department of Finance related to Proposition 1B projects.

7. Administrative Controls Related to Conflict of Interest Code and California Form 700 Statement of Economic Interests, Internal Audit Report No. 21-510

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to direct staff to implement three recommendations provided in Administrative Controls Related to Conflict of Interest Code and California Form 700 Statement of Economic Interests, Internal Audit Report No. 21-510.

8. Orange County Transportation Authority Investment and Debt Programs Report - June 2021

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to receive and file as an information item.

9. Fiscal Year 2020-21 Third Quarter Budget Status Report

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to receive and file as an information item.

10. Fourth Quarter Fiscal Year 2020-21 Procurement Status Report

A motion was made by Director Harper, seconded by Director Goodell, and following a roll call vote, declared passed 6-0, to receive and file as an information item.

Regular Calendar

11. Contractor Selection for the Back-Office System and Customer Service Center Operations for the 405 Express Lanes in Orange County

Kirk Avila, General Manager of the 91 Express Lanes, provided a PowerPoint presentation.

A public comment was received from Cofiroute USA, LLC via email on Tuesday, July 27, 2021. The comment was emailed to the Members of the F&A Committee prior to the meeting on Tuesday, July 27, 2021 at 2:07 p.m. The comment was read into the record by the COB Specialist and will be retained as part of the record for this Committee meeting.

A lengthy discussion ensued regarding:

- Director Hernandez complimented staff for their explanation of this item and stated that it must come down to newer technology versus standard technology. He also stated that he does not want OCTA to go with someone who uses older technology because then OCTA would have to submit a change order to cover the costs of newer technology.
- Overview of the functionality requirements that are included in the request for proposals (RFP).
- The cost differentials between the two firms came down to the customer service side and the additional quality control measures.
- Details regarding the development of the software: both firms use their own platform and cloud implementations. The functionality for all development in the toll systems is modular.
- There are 40 back offices approximately in the United States. They all have the same functionality, but the only real components that someone could plug-in are the recording or business intelligence modules.
- This will be a brand new implementation with no migrated data into the system for the 405 Express Lanes.
- Inquiry as to how Electronic Transaction Consultants, LLC (ETC) has performed and if there have been any deployment issues.
- There was one issue with ETC in Florida that led to some litigation and the court ruled in their favor.

11. (Continued)

- A summary of what WSP USA Services Inc. (WSP) provided in their proposal and during the interview and how the evaluation committee concluded that they were more customer-centric than Cofiroute USA, LLC (CUSA).
- WSP is working with Harris County Toll Road Authority and it has a lot of functionality that OCTA requires for the 405 Express Lanes.
- Director Muller requested information on whether or not WSP has implemented the new technology with an automated system and if they have had a history of doing that. Mr. Avila agreed that he would get that information to him.
- OCTA has had experience with WSP on the architectural and engineering side.
- Committee Chairman Hennessey commented on the following:
 - The history of CUSA with OCTA and how they have done an excellent job for many years.
 - The 91 Express Lanes has been widely regarded among rating agencies as the best toll road in the United States and CUSA deserves credit for that.
 - Overview of the procurement process and being in favor of having two firms to choose from.
 - Competition is good and makes OCTA more robust.
 - Committee Chairman Hennessey supports WSP and staff's recommendations.
 - Appreciates Director Muller's comments and the time he spent researching this item.
- Talking to a live person on the phone is excellent but there will be a wait time, which could cause frustration and complaints.

A motion was made by Director Hernandez, seconded by Committee Vice Chairman Jones, and following a roll call vote, declared passed 6-0, to:

- A. Approve the selection of WSP USA Services Inc., as the firm to provide the back-office system and customer service center operations services for the 405 Express Lanes in Orange County.
- B. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-0-2690 between the Orange County Transportation Authority and WSP USA Services Inc., in the amount of \$110,981,893, to provide the back-office system and customer service center operations services for the 405 Express Lanes in Orange County, for an initial term up to eight and a half years, with one three-year, and one two-year option terms.



Discussion Items

12. Chief Executive Officer's Report

Mr. Johnson, CEO, reported on the following:

- As mentioned earlier, OCTA was awarded the 2021 Achievement of Excellence in Procurement Award from the National Procurement Institute. Mr. Johnson acknowledged the hard work from the procurement staff.
- Earlier this week, Irvine Valley College asked to join the College Pass program starting this fall. When on-campus instruction resumes on August 23rd, more than 12,000 Irvine Valley College students will be able to use OC Bus system to get to school or wherever they need to go. With nearly all Orange County community colleges now participating in the College Pass Program, staff continues to work with the remaining schools to join the program in the future. And this ties in nicely with the Youth Ride Free program coming up.

13. Committee Members' Reports

Committee Chairman Hennessey acknowledged Director Goodell, who was a former Olympian and gold medalist.

Director Goodell commented on the athletes who are struggling emotionally and physically, and commended the hard work and dedication these athletes have committed to in their training and competing.

14. Closed Session

There were no Closed Session items scheduled.



15. Adjournment

The meeting adjourned at 11:30 a.m.

The next regularly scheduled meeting of this Committee will be held at **10:30 a.m. on Wednesday, August 11, 2021**, at the Orange County Transportation Authority Headquarters, Conference Room 07, 550 South Main Street, Orange, California.

ATTEST

Michael Hennessey
Committee Chairman

Sahara Meisenheimer
Clerk of the Board Specialist



August 11, 2021

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Fiscal Year 2020-21 Fourth Quarter Grant Reimbursement Status Report

Overview

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the fourth quarter of fiscal year 2020-21, covering April through June 2021.

Recommendation

Receive and file as an information item.

Discussion

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with the capital programming policies approved by the OCTA Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one competitive grant during the Fourth Quarter, which is summarized below.

OCTA executed a grant agreement with the Federal Transit Administration (FTA) to secure \$1.2 million in FTA Section 5339 funds. The funds will be used to ensure OCTA's bus facilities maintain a state of good repair. Expenditures include heating, ventilation, and air conditioner replacements, and rehabilitation of bus wash and steam cleaning areas to maintain the bus fleet.

Current Grant Agreements:

OCTA's FTA formula grant agreements total 12 and FTA discretionary grant agreements total five.

The 12 FTA formula grant agreements have a total federal amount of \$412 million. A total of \$132.3 million has been reimbursed, leaving a balance of approximately \$279.7 million. The balance of these FTA formula grant agreements will primarily fund the OC Streetcar Project, fixed-route bus procurements, and rail rehabilitation projects.

The FTA discretionary grant agreements have a total federal amount of \$164.1 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$56.6 million has been reimbursed, leaving a balance of \$107.5 million. The balance of these FTA discretionary grant agreements will also primarily fund the OC Streetcar Project in addition to slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$576.2 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$188.9 million, leaving a balance of \$387.3 million. These grant agreements are summarized in Attachment A.

OCTA has 27 active state and Federal Highway Administration formula grant agreements that total \$80.6 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$39.5 million, leaving a balance of \$41.1 million, and summarized in Attachment B.

In addition, OCTA has 12 active state discretionary grant agreements totaling approximately \$34.5 million. A total of \$15.7 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$18.8 million. These discretionary grant agreements are summarized in Attachment C.

This report activity is as of June 30, 2021, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

Grant Agreements Pending Close-Out:

There are three grant agreements totaling \$11.9 million that are pending close-out in the fourth quarter of fiscal year (FY) 2020-21. All invoices for these grant agreements have been submitted to their external funding agency for reimbursement. OCTA is pending approval of close-out documentation. These grant agreements are summarized in Attachment D.

Closed Grant Agreements:

There are seven grant agreements totaling \$180.1 million that were closed out in the fourth quarter of FY 2020-21. These grant agreements are summarized in Attachment E.

Summary

This report provides an update of the grant agreement-funded activities for the fourth quarter of FY 2020-21, April through June 2021. Staff recommends this report be received and filed as an information item.

Attachments

- A. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, State and Federal Highway Administration Formula Grant Agreements
- C. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, State Discretionary Grant Agreements
- D. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Grant Agreements Pending Close-out
- E. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Closed Grant Agreements

Prepared by:



Sam Kaur
Department Manager,
Revenue Administration
714-560-5889

Approved by:



Andrew Oftelie
Chief Financial Officer,
Finance and Administration
714-560-5649

Fourth Quarter Grant Reimbursement Status Report

April through June 2021

Federal Transit Administration (FTA) Formula and Discretionary Grant Agreements						
	FEDERAL FISCAL YEAR	GRANT NUMBER	FEDERAL AMOUNT	FEDERAL AMOUNT REIMBURSED	REMAINING BALANCE	ANTICIPATED CLOSE-OUT
FTA Section 5307 - Coronavirus Aid, Relief and Economic Security (CARES) Act						
	1	2020	CA-2020-146	\$ 160,419,003	\$ 31,368,200	\$ 129,050,803
	FTA Section 5307 CARES Grant Subtotal			\$ 160,419,003	\$ 31,368,200	\$ 129,050,803
FTA Section 5307 - Urbanized Area Formula Grant Program						
	2	2013	CA-90-2027	\$ 53,878,508	\$ 53,554,939	\$ 323,569
	FTA Section 5307 Grant Subtotal			\$ 53,878,508	\$ 53,554,939	\$ 323,569
FTA Section 5307 - Federal Funds flexed from the Federal Highway Administration (FHWA):						
	3	2010	CA-95-X131	\$ 2,102,650	\$ 1,870,690	\$ 231,960
	4	2014	CA-95-X286	6,621,000	5,248,022	1,372,978
	5	2017	CA-2017-072	31,567,405	23,704,007	7,863,398
	6	2019	CA-2020-050	76,843,769	3,928,915	72,914,854
	7	2020	CA-2021-010	42,599,378	0	42,599,378
	FTA Section 5307 Flexed Grant Subtotal			\$ 159,734,202	\$ 34,751,634	\$ 124,982,568
FTA Section 5316 - Jobs Access and Reverse Commute (JARC) Grant Program						
	8	2009	CA-37-X113	\$ 13,962,491	\$ 12,512,504	\$ 1,449,987
	FTA Section 5316 Grant Subtotal			\$ 13,962,491	\$ 12,512,504	\$ 1,449,987
FTA Section 5337 - State of Good Repair Grant Program						
	9	2020	CA-2020-269	\$ 3,470,454	0	\$ 3,470,454
	FTA Section 5337 Grant Subtotal			\$ 3,470,454	0	\$ 3,470,454
FTA Section 5339 - Buses and Bus Facilities Grant Program						
	10	2019	CA-2020-041	\$ 13,605,987	\$ 0	\$ 13,605,987
	11	2020	CA-2020-276	6,794,700	0	6,794,700
	FTA Section 5339 Grant Subtotal			\$ 20,400,687	\$ 0	\$ 20,400,687
FTA Section 5304 - Sustainable Communities						
	12	2020	Freeway Bus Rapid Transit Concept Study	\$ 210,602	\$ 148,740	\$ 61,862
	FTA Section 5304 Grant Subtotal			\$ 210,602	\$ 148,740	\$ 61,862
Federal Transit Administration Formula Grants Total				\$ 412,075,947	\$ 132,336,017	\$ 279,739,930

April through June 2021

Funding Source Notes:

FTA Section 5307 - Urbanized Area Formula Grant Program: Funds are used to fund preventive maintenance, capital cost of contracting, and to purchase revenue vehicles, facility modifications, and bus-related equipment.

FTA Section 5337 - State of Good Repair Program: A formula-based State of Good Repair program dedicated to repairing and upgrading the nation's rail transit systems along with high-intensity motor bus systems that use high-occupancy lanes.

FTA Section 5309 - Discretionary Capital Grant Program: Grants provide for projects that improve efficiency and coordination of transportation systems.

FTA Section 5304 - Sustainable Communities: A formula-based grant to provide a safe, sustainable, integrated and efficient transportation system.

Fourth Quarter Grant Reimbursement Status Report

April through June 2021

State and Federal Highway Administration Formula Grant Agreements						
	FISCAL YEAR (FY)	PROJECT	GRANT AMOUNT	AMOUNT REIMBURSED	REMAINING BALANCE	ANTICIPATED CLOSE-OUT
CMAQ - Federal Highway Administration Grant Program - Congestion Mitigation & Air Quality						
1	2015	Interstate-5, State Route-55 to State Route-57 (PS&E)	\$ 2,800,000	\$ 2,352,003	\$ 447,997	June 2022
CMAQ Subtotal			\$ 2,800,000	\$ 2,352,003	\$ 447,997	
RSTP - Federal Highway Administration Grant Program - Regional Surface Transportation Program						
2	2015	State Route-91, State Route-57 to State Route-55 (PA/ED)	\$ 7,000,000	\$ 6,366,118	\$ 633,882	June 2022
3	2016	State Route-57, Orangewood to Katella Ave (PA/ED)	2,500,000	1,928,825	571,175	August 2021
RSTP Subtotal			\$ 9,500,000	\$ 8,294,943	\$ 1,205,057	
STBG - Federal Highway Administration Grant Program - State Transportation Block Grant						
4	2016	Interstate-405, State Route-73 to Interstate-605 (CON)	\$ 1,000	\$ 0	\$ 1,000	June 2022
5	2018	Interstate-5, State Route-55 to State Route-57 (CON)	1,340,000	129,522	1,210,478	June 2022
6	2018	State Route-55, Interstate-405 to Interstate-5 (PS&E)	18,100,000	18,064,887	35,113	June 2023
7	2019	Interstate-5, Orange/San Diego County Line to Avenida Pico (PA/ED)	5,500,000	87,855	5,412,145	June 2022
STBG Subtotal			\$ 24,941,000	\$ 18,282,265	\$ 6,658,735	
Low Carbon Transit Operations Program (LCTOP) - California Department of Transportation (CALTRANS)						
8	2018	Bravo! Route 529 Start-up & Operations	\$ 4,787,534	\$ 4,291,737	\$ 495,797	January 2022
9	2019	Battery Electric Buses, Bus Depot Upgrades & Charging Infrastructure	2,523,000	0	2,523,000	June 2023
10	2019	College Fare Program for Fullerton	381,523	0	381,523	August 2022
11	2019	College Fare Program for Golden West	215,279	98,952	116,327	August 2022
12	2019	College Fare Program for Santa Ana College	393,198	0	393,198	December 2021
13	2019	Travel Training	685,000	276,264	408,736	July 2022
14	2020	Battery Electric Buses, Bus Depot Upgrades & Charging Infrastructure	2,909,886	0	2,909,886	June 2023
15	2020	Bravo! Route 529 Start-up & Operations	1,470,913	0	1,470,913	January 2023
16	2020	College Fare Program - Irvine Valley, Saddleback, Cypress and Coastline	749,243	0	749,243	December 2023
17	2020	Discounted Age-Based Fare Program	2,000,000	0	2,000,000	September 2024
18	2020	Metrolink Service Expansion	2,100,000	0	2,100,000	September 2023
LCTOP Subtotal			\$ 18,215,576	\$ 4,666,953	\$ 13,548,623	
State Proposition 116: Clean Air and Transportation Improvement Act Bond Funds						
19	2019	Laguna Niguel to San Juan Capistrano Passing Siding (CON)	\$ 4,733,344	\$ 4,733,344	\$ 0	June 2023
PROP 116 Subtotal			\$ 4,733,344	\$ 4,733,344	\$ 0	
State Transportation Improvement Plan (STIP) Programming, Planning & Monitoring (PPM)						
20	2019	PPM Program	\$ 1,481,000	\$ 781,978	\$ 699,022	December 2021
21	2021	PPM Program	1,000,000	0	1,000,000	December 2023
STIP PPM Subtotal			\$ 2,481,000	\$ 781,978	\$ 1,699,022	

Fourth Quarter Grant Reimbursement Status Report

April through June 2021

State and Federal Highway Administration Formula Grant Agreements						
	FISCAL YEAR (FY)	PROJECT	GRANT AMOUNT	AMOUNT REIMBURSED	REMAINING BALANCE	ANTICIPATED CLOSE-OUT
Senate Bill 1: State of Good Repair (SB1 SGR)						
22	2019	iShuttle Replacement Buses	\$ 5,580,604	\$ 0	\$ 5,580,604	December 2021
23	2020	El Dorado Cutaway Bus Replacement	2,070,281	0	2,070,281	September 2022
24	2020	iShuttle Replacement Buses	3,062,751	0	3,062,751	December 2021
25	2020	Replacement of Emergency Standby Generators	800,000	410,410	389,591	October 2021
26	2021	Facilities Modifications, Upgrades and Replacement	5,852,278	0	5,852,278	August 2022
27	2021	Replacement of Emergency Standby Generators	574,200	0	574,200	October 2021
SB1 SGR Subtotal			\$ 17,940,114	\$ 410,410	\$ 17,529,705	
Total State and Federal Highway Administration Formula Grant Agreements			\$ 80,611,034	\$ 39,521,895	\$ 41,089,139	

Project Phases:

CON- Construction, Construction Management, Construction Engineering or Force Account expenses.
 PA/ED- Project Approval and Environmental Design.
 PS&E- Agency Preliminary Engineering.
 PSR- Development Support and Project Study Report.
 ROW- Right of Way Services, Engineering, Administration, Acquisition, Utility Relocation or Relocation Assistance.

Fourth Quarter Grant Reimbursement Status Report
April through June 2021

State Discretionary Grant Agreements					
FISCAL YEAR	PROJECT	GRANT AMOUNT	AMOUNT REIMBURSED	REMAINING BALANCE	ANTICIPATED CLOSE-OUT
Hazard Mitigation Grant Program - FEMA - CalOES					
1 2020	Hazard Mitigation Plan	\$ 93,750	\$ 0	\$ 93,750	September 2022
HMGP Subtotal		\$ 93,750	\$ 0	\$ 93,750	
Active Transportation Program (ATP)					
2 2020	Safe Travels Education Program (STEP) Campaign	\$ 500,000	\$ 120,479	\$ 379,521	July 2022
ATP Subtotal		\$ 500,000	\$ 120,479	\$ 379,521	
Air Quality Management District (AQMD) Grant Program and Mobile Source Air Pollution Reduction Review Committee (MSRC)					
3 2019	La Habra Union Pacific Rail Bikeway	\$ 91,760	\$ 0	\$ 91,760	November 2023
4 2019	OC Fair Express	468,298	0	468,298	October 2021
5 2020	College Fare Program for Fullerton	212,000	165,236	46,764	March 2022
MSRC Subtotal		\$ 772,058	\$ 165,236	\$ 606,822	
Transit and Intercity Rail Capital Program (TIRCP)					
6 2016	OC Streetcar (CON)	\$ 25,586,000	\$ 12,705,055	\$ 12,880,945	May 2022
CAP & TRADE TIRCP Subtotal		\$ 25,586,000	\$ 12,705,055	\$ 12,880,945	
Transit Security Administration (TSA) National Explosives Detection Canine Team Program (NEDCTP) - Department of Homeland Security					
7 2020	Transportation Security Administration Canine	\$ 568,125	\$ 184,164	\$ 383,961	December 2021
TSA Canine Subtotal		\$ 568,125	\$ 184,164	\$ 383,961	
Senate Bill 1: Local Partnership Program (SB1 LPP)					
8 2018	Garden Grove Boulevard Signal Synchronization (CON)	\$ 1,353,000	\$ 796,976	\$ 556,024	December 2023
9 2018	Katella Avenue Signal Synchronization (CON)	2,449,000	98,074	2,350,926	December 2023
10 2018	Los Alisos Boulevard Signal Synchronization (CON)	1,117,000	657,922	459,078	December 2023
11 2018	Main Street Signal Synchronization (CON)	1,926,000	968,562	957,438	December 2023
SB1 LPP Subtotal		\$ 6,845,000	\$ 2,521,534	\$ 4,323,466	

Fourth Quarter Grant Reimbursement Status Report
April through June 2021

State Discretionary Grant Agreements									
Senate Bill 1: Planning Grants (SB1 PL)									
12	2021	OC Bike Connectors Gap Closure Feasibility Study	\$	160,000	\$	0	\$	160,000	April 2023
SB1 PL Subtotal			\$	160,000	\$	0	\$	160,000	
Total State Discretionary Grant Agreements			\$	34,524,933	\$	15,696,467	\$	18,828,466	

Project Phases:	
CON-	Construction, Construction Management, Construction Engineering or Force Account expenses.
PA/ED-	Project Approval and Environmental Design.
PS&E-	Agency Preliminary Engineering.
PSR-	Development Support and Project Study Report.
ROW-	Right of Way Services, Engineering, Administration, Acquisition, Utility Relocation or Relocation Assistance.

Fourth Quarter Grant Reimbursement Status Report
April through June 2021

Grant Agreements Pending Close-out						
	FISCAL YEAR (FY)	FUNDING SOURCE	PROJECT	GRANT AMOUNT	AMOUNT REIMBURSED	REMAINING BALANCE
1	2017	LCTOP	Bus Bicycle Racks	\$ 766,345	\$ 766,345	\$ 0
2	2018	PROP 1B IRI	Laguna Niguel to San Juan Capistrano Passing Siding (CON)	2,000,000	2,000,000	0
3	2015	RSTP	Interstate-5 Segment 1, State Route-73 to Oso Pkwy (PS&E)	9,101,000	9,101,000	0
Total Grant Agreements Pending Close-out				\$ 11,867,345	\$ 11,867,345	\$ 0

Funding Source Notes

LCTOP - Low Carbon Transit Operations Program - California Department of Transportation.
PROP 1B IRI - State Proposition 1B Intercity Rail Improvement.
RSTP - Federal Highway Administration Regional Surface Transportation Program Grant Program.

Project Phases:

CON- Construction, Construction Management, Construction Engineering or Force Account expenses.
PA/ED- Project Approval and Environmental Design.
PS&E- Agency Preliminary Engineering.
PSR- Development Support and Project Study Report.
ROW- Right of Way Services, Engineering, Administration, Acquisition, Utility Relocation or Relocation Assistance.

Fourth Quarter Grant Reimbursement Status Report
April through June 2021

Closed Grant Agreements						
	FISCAL YEAR (FY)	FUNDING SOURCE	PROJECT	GRANT AMOUNT	AMOUNT REIMBURSED	REMAINING BALANCE
1	2015	CMAQ	Interstate-5, Orange/San Diego County Line to Avenida Pico (PSR)	\$ 450,000	\$ 450,000	\$ 0
2	2012	FTA Section 5307	FTA CA-90-Y942	57,746,966	57,746,966	0
3	2015	FTA Section 5307	FTA CA-2016-032	55,400,721	55,400,721	0
4	2019	FTA Section 5337	FTA CA-2020-039	8,349,277	8,349,277	0
5	2015	PROP 1B PTMISEA	Raymond Ave Grade Separation Project (CON)	45,159,889	45,159,889	0
6	2013	RSTP	Interstate-5, Interstate-405 to State Route-55 (PA/ED)	8,000,000	7,915,878	84,122
7	2017	RSTP	State Route-55, Interstate-5 to State Route-91 (PA/ED)	5,000,000	4,645,589	354,411
Total Closed Grants				\$ 180,106,853	\$ 179,668,320	\$ 438,533

Funding Source Notes

CMAQ - Federal Highway Administration Congestion Mitigation & Air Quality Grant Program.
 FTA Section 5307 - Federal Transit Administration Urbanized Area Formula Grant Program.
 FTA Section 5337 - Federal Transit Administration State of Good Repair Grant Program.
 PROP 1B PTMISEA - State Proposition 1B: Public Transportation Modernization, Improvement, and Service Enhancement Account.
 RSTP - Federal Highway Administration Regional Surface Transportation Program Grant Program:
 Balance will be de-obligated and programmed to a future eligible RSTP project.

Project Phases:

CON- Construction, Construction Management, Construction Engineering or Force Account expenses.
 PA/ED- Project Approval and Environmental Design.
 PS&E- Agency Preliminary Engineering.
 PSR- Development Support and Project Study Report.
 ROW- Right of Way Services, Engineering, Administration, Acquisition, Utility Relocation or Relocation Assistance.



August 11, 2021

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: 91 Express Lanes Update for the Period Ending - June 30, 2021

Overview

The Orange County Transportation Authority has owned and managed the operations of the 91 Express Lanes since January 2003. Since that time, traffic volumes and toll revenues have fluctuated with the changes in the economy, added capacity to the State Route 91 corridor, and the recent coronavirus pandemic. Over the last quarter, there were approximately 4.8 million trips taken on the 91 Express Lanes in Orange County. This report focuses on the operational and financial activities for the period ending June 30, 2021.

Recommendation

Receive and file as an information item.

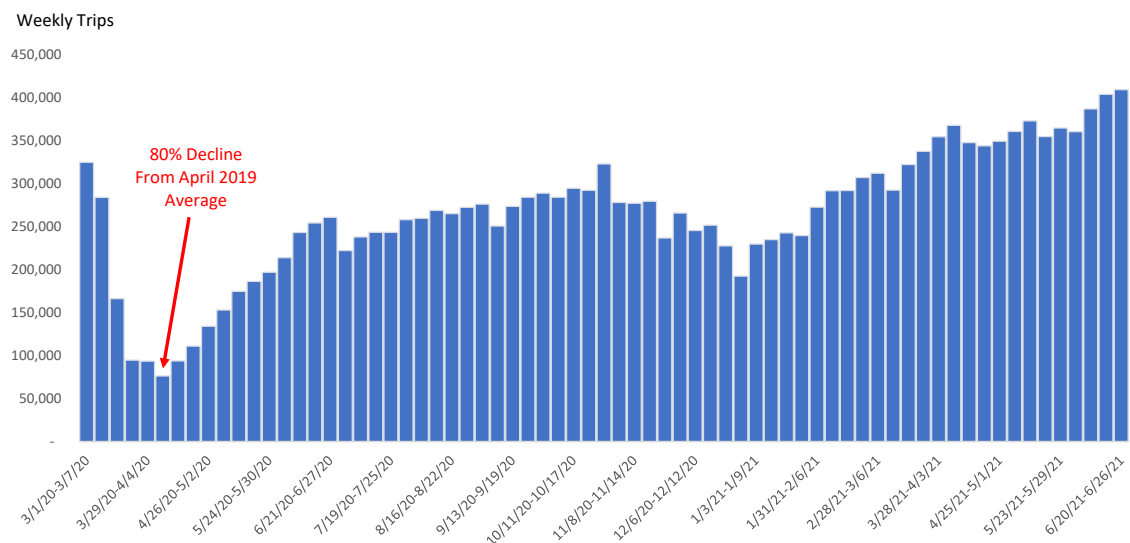
Background

Since January 2003, the Orange County Transportation Authority (OCTA) has owned the 91 Express Lanes (91 EL), which is a two-lane managed lane facility in each direction in the median of the State Route 91 (SR-91) freeway from State Route 55 (SR-55) to the Orange/Riverside County Line. During OCTA ownership, toll rates have been adjusted up and down, additional capacity has been added to the general purpose lanes in the SR-91 corridor through various improvement projects, the outstanding debt has been restructured, and an extension of the facility from the Orange/Riverside County Line to Interstate 15 was completed by the Riverside County Transportation Commission (RCTC).

In addition to these events, the 91 EL experienced impacts of the coronavirus (COVID-19) pandemic and State of California's stay-at-home order. Over the past year, state and local governments across the United States issued orders for residents to self-quarantine and refrain from non-essential travel to slow the spread of COVID-19. These efforts caused the economy to slow and resulted in decreases in traffic volumes and toll revenues.

Discussion

The graph below provides the weekly trips on the 91 EL since March 2020 through the end of June 2021. At the lowest point in April 2020, traffic volumes on the 91 EL dropped 80 percent from the average levels reached in 2019. Since then, traffic volumes have increased and reached a peak during the last week in June 2021. Since January 2021, traffic volumes have been increasing steadily through the first and second quarters of the calendar year. A graph of toll revenues would show a similar trend for the same period.



Traffic Volumes

Total traffic volume on the OCTA 91 EL for the month of June 2021 was 1,704,291. This represents a daily average of 56,810. This is a 62.9 percent increase in total traffic volume from the same period last year when traffic levels totaled 1,046,473. The lower traffic volumes in June 2020 were a direct result of the stay-at-home orders and therefore provide a lower amount for the base comparison to 2021. A better comparison is to look at the volumes in June 2019. The June 2021 traffic volumes are 15.3 percent higher than the volumes in June 2019.

In looking at the 12-month period ending June 2021, traffic volumes totaled 15.4 million which was a 2.5 percent increase over the same period the prior year. A comparison to 2019 shows that traffic volumes are still lower than the peaks reached during that fiscal year. For the fiscal year ending June 2021, traffic volumes were 12.5 percent lower than the 12-month period ending June 2019. Carpool percentage for the period ending June 2021 was 22.5 percent.

Gross Potential Toll Revenues (GPTR)

GPTR for the month of June 2021 was \$5,078,868, which represents an increase of 46.6 percent from the prior year's total of \$3,465,059. GPTR is equal to the toll rate times the number of vehicles traveling on the 91 EL (the amount does not consider violations or discounts). In comparing to the same period in June 2019, GPTR increased by 22.3 percent. For the previous 12 months, GPTR totaled \$49 million and increased by 5.3 percent over the same period in 2020 but declined by 5.6 percent compared to the 12-month period ending in June 2019.

Toll Adjustments

The Board of Directors (Board)-adopted Toll Policy requires staff to review traffic volumes on the Orange County segment of the 91 EL for potential toll adjustments on a quarterly basis. The most recent toll adjustment occurred on April 1, 2021, whereby six peak hours had toll rate decreases. As of the end of June 2021, toll rates ranged from a minimum of \$1.70 to a maximum of \$8.10. The next scheduled toll adjustment will occur on July 1, 2021.

Number of Accounts and Transponders

The number of active accounts totaled 154,469, and 588,045 transponders were assigned to those accounts as of June 30, 2021. Over the past 12 months, the number of accounts has increased by approximately 5,000 while the number of transponders in circulation has increased by 265,726. The large increase in transponders is due to the distribution of 6C transponders to customers. In 2019, a new transponder protocol was adopted by California. The new transponders are referred to as 6C transponders. The single setting 6C transponders are in the form of a sticker, whereby they are affixed to the vehicle's windshield. The previous transponder protocol was portable if customers choose to move it from vehicle to vehicle. The new 6C transponders are assigned to a specific vehicle and therefore not portable. OCTA and RCTC have been distributing these 6C transponders to 91 EL customers over the past year.

Outstanding Debt

As of June 30, 2021, the outstanding amount of the 91 EL tax-exempt 2013 Senior Lien Toll Road Revenue Refunding Bonds (Bonds) totaled \$85.3 million. The Bonds are rated "AA-" by Standard and Poor's, "A1" by Moody's, and "A+" by Fitch Ratings. The next scheduled debt service payment is on August 15, 2021.

Reserve Funds

The bond indenture for the 2013 Bonds requires three reserve funds: a major maintenance fund, an operating fund, and a debt service reserve fund. All three funds are fully funded with a total balance of \$25.3 million as of June 30, 2021.

In addition to the required debt reserve funds, the OCTA Board elected to establish two additional reserve funds. An internal capital projects fund has a balance of \$23.8 million and is used for large capital projects for the 91 EL such as pavement rehabilitation and back-office systems. The other reserve fund was established in 2017 for two future Measure M2 projects on the SR-91 corridor. The balance in that reserve fund is \$65.5 million. Lastly, OCTA has approximately \$100.7 million set aside in excess toll revenues for various other SR-91 corridor projects.

Recent Events

In the previous quarter, Cofiroute USA, LLC (CUSA) notified OCTA and RCTC of a delay to the implementation of the new back-office system (BOS) for the 91 EL for both Orange and Riverside counties as a result of the COVID-19 pandemic. CUSA is the current operator of the 91 EL for both OCTA and RCTC and is the firm selected for the design, development, and implementation of the new BOS, as well as the customer service center operation, once the current contract expires on June 30, 2021.

In April 2021, OCTA and RCTC (Agencies) provided notice to CUSA that the Agencies will be exercising the first of the six one-month extension period, which will commence July 1, 2021 through July 31, 2021. The Agencies intend to exercise the one-month options until the Agencies transition to the new contract. Agencies' staff are continuing to work with CUSA to meet the revised anticipated go-live date in October 2021.

The transition to 6C transponders and conversion account plans continues. It is anticipated that the distribution of transponders and conversion of accounts will be completed in July 2021.

Summary

An operational report for the 91 Express Lanes for the period ending June 30, 2021, is provided for Board of Directors' review. The report provides a summary of key operational and financial activities.

Attachment

- A. 91 Express Lanes Status Report, June 2021

Prepared by:

A handwritten signature in black ink, appearing to read "Kirk Avila", written in a cursive style.

Kirk Avila
General Manager,
Express Lanes Programs
(714) 560-5674



**Orange County Transportation Authority
Riverside County Transportation Commission**



Status Report
June 2021

As of June 30, 2021

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OPERATIONS OVERVIEW OCTA

TRAFFIC AND REVENUE STATISTICS FOR OCTA

Total traffic volume on the 91 Express Lanes for June 2021 was 1,704,291. This represents a daily average of 56,810 vehicles. This is a 62.9 percent increase in total traffic volume from the same period last year, which totaled 1,046,473, and was the fourth month of the State of California's stay-at-home order due to the coronavirus (COVID-19) pandemic. Potential toll revenue for June was \$5,078,868, which represents an increase of 46.6 percent from the prior year's total of \$3,465,059. Carpool percentage for June was 22.5 percent as compared to the previous year's rate of 23.3 percent. As compared to June 2019, traffic volume increased by 15.3 percent and revenue increased by 22.5 percent. Traffic volumes have returned to pre-COVID-19 levels.

Month-to-date traffic and revenue data is summarized in the table below. The following trip and revenue statistics tables represent all trips taken on the Orange County Transportation Authority (OCTA) 91 Express Lanes and associated potential revenue for the month of June 2021.

Current Month-to-Date (MTD) as of June 30, 2021

	Jun-21 MTD Actual	Jun-20 MTD Actual	Yr 21-to-Yr 20 % Variance	Jun-19 MTD Actual	Yr 21-to-Yr 19 % Variance
Trips					
Full Toll Lanes	1,320,950	802,501	64.6%	1,050,770	25.7%
3+ Lanes	383,341	243,972	57.1%	427,282	(10.3%)
Total Gross Trips	1,704,291	1,046,473	62.9%	1,478,052	15.3%
Revenue					
Full Toll Lanes	\$5,028,385	\$3,408,166	47.5%	\$4,074,814	23.4%
3+ Lanes	\$50,484	\$56,892	(11.3%)	\$77,348	(34.7%)
Total Gross Revenue	\$5,078,868	\$3,465,059	46.6%	\$4,152,162	22.3%
Average Revenue per Trip					
Average Full Toll Lanes	\$3.81	\$4.25	(10.4%)	\$3.88	(1.8%)
Average 3+ Lanes	\$0.13	\$0.23	(43.5%)	\$0.18	(27.8%)
Average Gross Revenue	\$2.98	\$3.31	(10.0%)	\$2.81	6.0%

The 2021 fiscal year-to-date traffic volume increased by 2.5 percent and potential toll revenue increased by 5.3 percent, when compared with the same period last year. Year-to-date average revenue per trip is \$3.19.

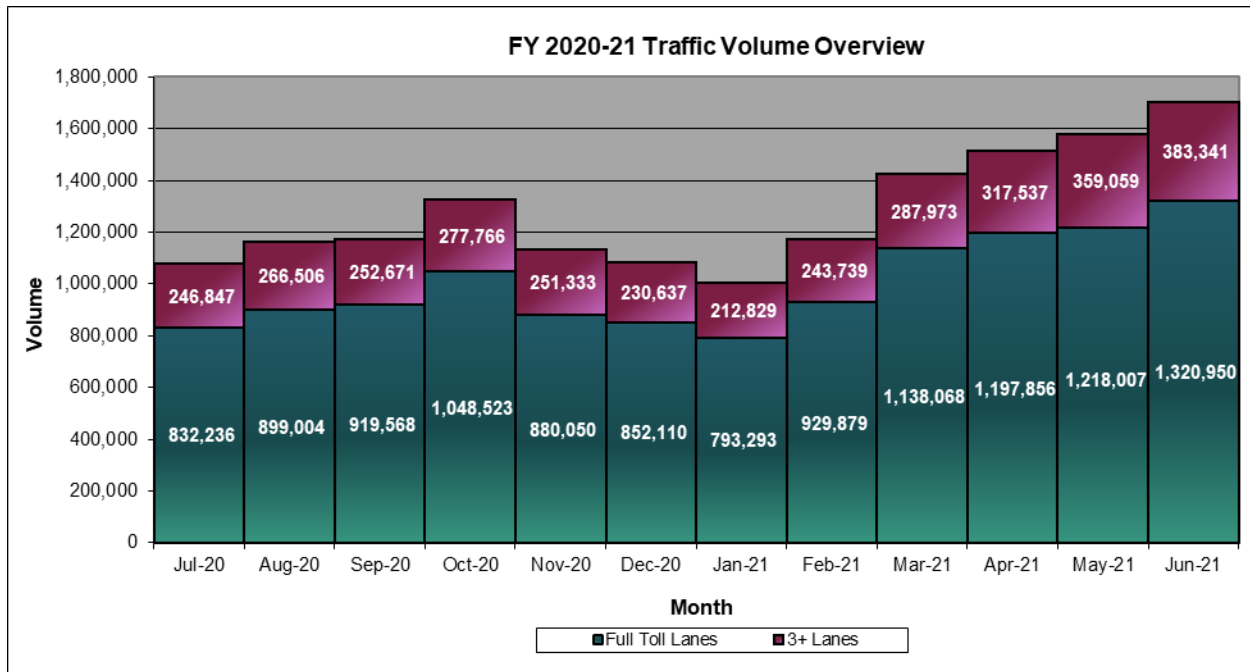
Fiscal year-to-date traffic and revenue data are summarized in the table below. The following trip and revenue statistics tables represent all trips taken on the OCTA 91 Express Lanes and associated potential revenue for the months of July 2020 through June 2021.

Fiscal Year (FY) 2020-21 Year-to-Date (YTD) as of June 30, 2021

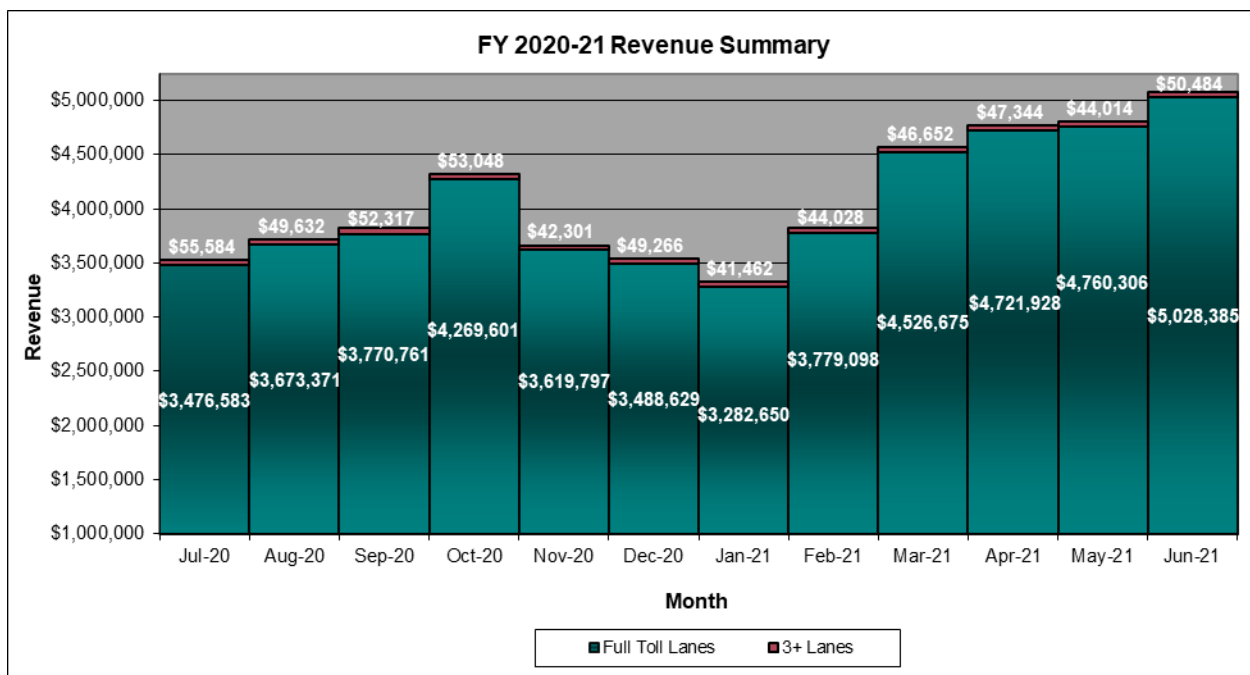
	FY 2020-21 YTD Actual	FY 2019-20 YTD Actual	Yr-to-Yr % Variance
Trips			
Full Toll Lanes	12,029,546	11,220,034	7.2%
3+ Lanes	3,330,239	3,770,568	(11.7%)
Total Gross Trips	15,359,785	14,990,602	2.5%
Revenue			
Full Toll Lanes	\$48,397,791	\$45,790,271	5.7%
3+ Lanes	\$576,132	\$719,350	(19.9%)
Total Gross Revenue	\$48,973,923	\$46,509,621	5.3%
Average Revenue per Trip			
Average Full Toll Lanes	\$4.02	\$4.08	(1.5%)
Average 3+ Lanes	\$0.17	\$0.19	(10.5%)
Average Gross Revenue	\$3.19	\$3.10	2.9%

OCTA Traffic and Revenue Summary

The chart below reflects the total trips breakdown between full toll trips and high-occupancy vehicle (HOV3+) trips for FY 2020-21 on a monthly basis.



The chart below reflects the gross potential revenue breakdown between full toll trips and HOV3+ trips for FY 2020-21 on a monthly basis.



OCTA EASTBOUND PEAK-HOUR VOLUMES

Peak-hour traffic in the eastbound direction reached or exceeded 90 percent of defined capacity 18 times during the month of June 2021. As demonstrated on the next chart, westbound peak-hour traffic volumes top out at 82 percent of defined capacity.

PM Time	Monday 05/31/21				Tuesday 06/01/21				Wednesday 06/02/21				Thursday 06/03/21				Friday 06/04/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
1400 - 1500					\$5.15	431	2,609	77%	\$5.15	448	3,056	90%	\$6.95	424	3,228	95%	\$8.10	508	2,842	84%
1500 - 1600					\$4.75	478	3,462	102%	\$7.25	471	2,794	82%	\$7.25	333	1,386	41%	\$7.65	596	3,035	89%
1600 - 1700					\$5.00	347	2,495	73%	\$6.50	343	2,758	81%	\$6.80	366	2,672	79%	\$7.45	405	2,861	84%
1700 - 1800					\$4.90	390	3,042	89%	\$5.40	368	2,854	84%	\$6.70	380	2,930	86%	\$6.55	449	2,796	82%
1800 - 1900					\$3.95	454	2,518	74%	\$3.95	510	2,840	84%	\$4.35	517	3,040	89%	\$6.55	590	2,661	78%
1900 - 2000					\$3.85	327	1,476	43%	\$3.85	369	1,621	48%	\$5.60	471	2,076	61%	\$6.05	566	2,127	63%

PM Time	Monday 06/07/21				Tuesday 06/08/21				Wednesday 06/09/21				Thursday 06/10/21				Friday 06/11/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
1400 - 1500	\$5.15	428	2,819	83%	\$5.15	423	2,722	80%	\$5.15	374	2,641	78%	\$6.95	451	3,183	94%	\$8.10	546	2,787	82%
1500 - 1600	\$5.50	504	3,169	93%	\$4.75	479	3,217	95%	\$7.25	519	2,737	81%	\$7.25	522	2,651	78%	\$7.65	577	2,967	87%
1600 - 1700	\$4.35	417	3,295	97%	\$5.00	348	2,557	75%	\$6.50	320	2,822	83%	\$6.80	367	2,883	85%	\$7.45	410	2,739	81%
1700 - 1800	\$4.80	304	2,360	69%	\$4.90	388	2,870	84%	\$5.40	426	2,998	88%	\$6.70	393	2,759	81%	\$6.55	522	2,882	85%
1800 - 1900	\$5.50	495	2,438	72%	\$3.95	486	2,968	87%	\$3.95	479	2,730	80%	\$4.35	513	2,884	85%	\$6.55	600	2,731	80%
1900 - 2000	\$3.85	327	1,257	37%	\$3.85	377	1,724	51%	\$3.85	420	1,695	50%	\$5.60	507	2,263	67%	\$6.05	517	2,035	60%

PM Time	Monday 06/14/21				Tuesday 06/15/21				Wednesday 06/16/21				Thursday 06/17/21				Friday 06/18/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
1400 - 1500	\$5.15	477	2,829	83%	\$5.15	459	2,789	82%	\$5.15	468	3,116	92%	\$6.95	465	3,213	95%	\$8.10	475	2,729	80%
1500 - 1600	\$5.50	496	2,954	87%	\$4.75	500	3,262	96%	\$7.25	501	2,751	81%	\$7.25	490	2,624	77%	\$7.65	557	2,853	84%
1600 - 1700	\$4.35	429	3,148	93%	\$5.00	351	2,467	73%	\$6.50	362	2,844	84%	\$6.80	407	2,884	85%	\$7.45	412	2,636	78%
1700 - 1800	\$4.80	338	2,605	77%	\$4.90	398	2,774	82%	\$5.40	418	2,882	85%	\$6.70	409	2,751	81%	\$6.55	471	2,861	84%
1800 - 1900	\$5.50	473	2,366	70%	\$3.95	573	2,862	84%	\$3.95	552	2,983	88%	\$4.35	559	2,962	87%	\$6.55	567	2,443	72%
1900 - 2000	\$3.85	381	1,441	42%	\$3.85	454	1,900	56%	\$3.85	485	2,000	59%	\$5.60	509	2,146	63%	\$6.05	586	2,092	62%

PM Time	Monday 06/21/21				Tuesday 06/22/21				Wednesday 06/23/21				Thursday 06/24/21				Friday 06/25/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
1400 - 1500	\$5.15	390	2,581	76%	\$5.15	465	2,791	82%	\$5.15	436	3,062	90%	\$6.95	500	3,248	96%	\$8.10	483	2,746	81%
1500 - 1600	\$5.50	432	2,526	74%	\$4.75	468	3,278	96%	\$7.25	511	2,823	83%	\$7.25	491	2,693	79%	\$7.65	578	3,064	90%
1600 - 1700	\$4.35	462	3,249	96%	\$5.00	392	2,531	74%	\$6.50	364	2,789	82%	\$6.80	349	2,682	79%	\$7.45	404	2,722	80%
1700 - 1800	\$4.80	371	2,633	77%	\$4.90	378	2,755	81%	\$5.40	430	2,889	85%	\$6.70	393	2,534	75%	\$6.55	467	2,716	80%
1800 - 1900	\$5.50	533	2,562	75%	\$3.95	561	3,026	89%	\$3.95	549	2,857	84%	\$4.35	531	2,906	85%	\$6.55	532	2,655	78%
1900 - 2000	\$3.85	382	1,596	47%	\$3.85	402	1,799	53%	\$3.85	483	2,312	68%	\$5.60	526	2,375	70%	\$6.05	498	2,007	59%

PM Time	Monday 06/28/21				Tuesday 06/29/21				Wednesday 06/30/21				Thursday 07/01/21				Friday 07/02/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
1400 - 1500	\$5.15	436	2,783	82%	\$5.15	425	2,740	81%	\$5.15	474	2,942	87%								
1500 - 1600	\$5.50	497	2,905	85%	\$4.75	498	3,436	101%	\$7.25	472	2,772	82%								
1600 - 1700	\$4.35	467	3,301	97%	\$5.00	379	2,537	75%	\$6.50	380	2,854	84%								
1700 - 1800	\$4.80	380	2,759	81%	\$4.90	422	3,038	89%	\$5.40	378	2,541	75%								
1800 - 1900	\$5.50	570	2,325	68%	\$3.95	552	2,896	85%	\$3.95	538	2,936	86%								
1900 - 2000	\$3.85	399	1,586	47%	\$3.85	412	1,820	54%	\$3.85	512	2,323	68%								

OCTA WESTBOUND PEAK-HOUR VOLUMES

AM Time	Monday 05/31/21				Tuesday 06/01/21				Wednesday 06/02/21				Thursday 06/03/21				Friday 06/04/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
0400 - 0500					\$3.05	433	1,338	39%	\$3.05	411	1,180	35%	\$3.05	412	1,239	36%	\$3.05	345	1,134	33%
0500 - 0600					\$4.95	551	2,549	75%	\$4.95	590	2,568	76%	\$4.95	561	2,520	74%	\$4.70	486	2,283	67%
0600 - 0700					\$5.15	426	2,656	78%	\$5.15	405	2,519	74%	\$5.15	415	2,549	75%	\$4.95	370	2,286	67%
0700 - 0800					\$5.65	453	2,572	76%	\$5.65	425	2,563	75%	\$5.65	452	2,536	75%	\$5.50	386	2,331	69%
0800 - 0900					\$5.15	326	2,503	74%	\$5.15	331	2,389	70%	\$5.15	316	2,351	69%	\$4.95	330	2,224	65%
0900 - 1000					\$4.10	291	2,307	68%	\$4.10	281	2,289	67%	\$4.10	266	2,186	64%	\$4.10	382	2,211	65%

AM Time	Monday 06/07/21				Tuesday 06/08/21				Wednesday 06/09/21				Thursday 06/10/21				Friday 06/11/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
0400 - 0500	\$3.05	421	1,312	39%	\$3.05	406	1,229	36%	\$3.05	421	1,311	39%	\$3.05	416	1,265	37%	\$3.05	335	1,100	32%
0500 - 0600	\$4.95	569	2,647	78%	\$4.95	614	2,629	77%	\$4.95	613	2,548	75%	\$4.95	584	2,544	75%	\$4.70	470	2,189	64%
0600 - 0700	\$5.15	407	2,561	75%	\$5.15	417	2,529	74%	\$5.15	435	2,614	77%	\$5.15	436	2,506	74%	\$4.95	385	2,312	68%
0700 - 0800	\$5.65	431	2,648	78%	\$5.65	413	2,448	72%	\$5.65	465	2,559	75%	\$5.65	427	2,629	77%	\$5.50	352	2,129	63%
0800 - 0900	\$5.15	301	2,215	65%	\$5.15	337	2,226	65%	\$5.15	341	2,422	71%	\$5.15	297	2,274	67%	\$4.95	370	2,218	65%
0900 - 1000	\$4.10	288	2,017	59%	\$4.10	299	2,240	66%	\$4.10	294	2,138	63%	\$4.10	395	2,250	66%	\$4.10	401	2,270	67%

AM Time	Monday 06/14/21				Tuesday 06/15/21				Wednesday 06/16/21				Thursday 06/17/21				Friday 06/18/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
0400 - 0500	\$3.05	403	1,302	38%	\$3.05	430	1,305	38%	\$3.05	431	1,324	39%	\$3.05	405	1,292	38%	\$3.05	354	1,082	32%
0500 - 0600	\$4.95	523	2,547	75%	\$4.95	558	2,500	74%	\$4.95	579	2,533	75%	\$4.95	564	2,501	74%	\$4.70	510	2,167	64%
0600 - 0700	\$5.15	404	2,528	74%	\$5.15	470	2,733	80%	\$5.15	443	2,642	78%	\$5.15	406	2,534	75%	\$4.95	416	2,271	67%
0700 - 0800	\$5.65	420	2,594	76%	\$5.65	426	2,642	78%	\$5.65	401	2,501	74%	\$5.65	399	2,530	74%	\$5.50	346	2,108	62%
0800 - 0900	\$5.15	310	2,287	67%	\$5.15	387	2,535	75%	\$5.15	296	2,168	64%	\$5.15	359	2,388	70%	\$4.95	362	2,179	64%
0900 - 1000	\$4.10	335	2,156	63%	\$4.10	370	2,418	71%	\$4.10	380	2,288	67%	\$4.10	378	2,288	67%	\$4.10	414	1,990	59%

AM Time	Monday 06/21/21				Tuesday 06/22/21				Wednesday 06/23/21				Thursday 06/24/21				Friday 06/25/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
0400 - 0500	\$3.05	402	1,272	37%	\$3.05	447	1,316	39%	\$3.05	430	1,332	39%	\$3.05	416	1,239	36%	\$3.05	348	1,097	32%
0500 - 0600	\$4.95	574	2,556	75%	\$4.95	585	2,541	75%	\$4.95	585	2,591	76%	\$4.95	598	2,537	75%	\$4.70	449	2,200	65%
0600 - 0700	\$5.15	436	2,511	74%	\$5.15	436	2,618	77%	\$5.15	397	2,520	74%	\$5.15	446	2,576	76%	\$4.95	393	2,320	68%
0700 - 0800	\$5.65	234	1,568	46%	\$5.65	412	2,541	75%	\$5.65	398	2,598	76%	\$5.65	389	2,471	73%	\$5.50	340	2,247	66%
0800 - 0900	\$5.15	310	2,094	62%	\$5.15	334	2,336	69%	\$5.15	364	2,472	73%	\$5.15	366	2,480	73%	\$4.95	352	2,242	66%
0900 - 1000	\$4.10	445	2,793	82%	\$4.10	357	2,470	73%	\$4.10	401	2,416	71%	\$4.10	354	2,390	70%	\$4.10	425	2,217	65%

AM Time	Monday 06/28/21				Tuesday 06/29/21				Wednesday 06/30/21				Thursday 07/01/21				Friday 07/02/21			
	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.	Price	HOV	Vol.	Cap.
0400 - 0500	\$3.05	431	1,291	38%	\$3.05	401	1,309	39%	\$3.05	427	1,283	38%								
0500 - 0600	\$4.95	546	2,474	73%	\$4.95	580	2,529	74%	\$4.95	564	2,542	75%								
0600 - 0700	\$5.15	368	2,465	73%	\$5.15	400	2,638	78%	\$5.15	427	2,551	75%								
0700 - 0800	\$5.65	366	2,513	74%	\$5.65	404	2,526	74%	\$5.65	443	2,567	76%								
0800 - 0900	\$5.15	351	2,184	64%	\$5.15	385	2,539	75%	\$5.15	412	2,595	76%								
0900 - 1000	\$4.10	388	2,197	65%	\$4.10	402	2,398	71%	\$4.10	423	2,424	71%								

OCTA OPERATIONAL HIGHLIGHTS

On-Road Operations

OCTA customer assistance specialists (CAS) responded to 125 calls during the month of June. Of those calls, 81 were to assist disabled vehicles and 32 calls to remove debris. The CAS provided assistance to 12 accidents in the 91 Express Lanes with none of those accidents originating in the State Route 91 general purpose lanes.

91 Express Lanes Back-Office System (BOS) Development Update

In February, Cofiroute USA, LLC (CUSA) notified OCTA and the Riverside County Transportation Commission (RCTC) of a delay to the implementation of the new BOS for the 91 Express Lanes for both Orange and Riverside counties as a result of the COVID-19 pandemic. CUSA is the current operator of the 91 Express Lanes for both OCTA and RCTC and is the firm selected for the design, development, and implementation of the new BOS, as well as the customer service center operations, once the current contract expires on June 30, 2021.

In April 2021, OCTA and RCTC (Agencies) provided notice to CUSA that the Agencies will be exercising the first of the six one-month extension period, which will commence July 1, 2021 through July 31, 2021. The Agencies intend to exercise the one-month options until the Agencies transition to the new contract. Staff from both agencies are continuing to work with CUSA to meet the revised anticipated go-live date in October 2021.

91 Express Lanes Toll Entrance Gantries Infrastructure Project Update

OCTA entered into an agreement with the California Department of Transportation (Caltrans) to provide construction and construction management services for the 91 Express Lanes Toll Entrance Gantries Infrastructure Project. This project entails constructing new toll gantries infrastructure at the three entrances of the OCTA 91 Express Lanes. Caltrans advertised and awarded the project in March 2021 and May 2021, respectively. In June, the contract was executed, and construction is anticipated to begin in August. Upon completion of the infrastructure project, Kapsch TrafficCom USA, Inc., the toll lanes system integrator for the 91 Express Lanes, will install new Electronic Toll and Traffic Management system equipment onto the new gantries.

FINANCIAL HIGHLIGHTS OCTA

91 Express Lanes Operating Statement

Description	YTD as of : 6/30/2021		YTD Variance	
	Actual ⁽¹⁾⁽⁶⁾	Budget ⁽¹⁾	Dollar \$	Percent (%)
Operating revenues:				
Toll Revenue	\$ 44,954,613.60	\$ 32,670,000.00	\$ 12,284,613.60	37.6
Fee Revenue	5,445,327.90	2,320,000.00	3,125,327.90	134.7
Total operating revenues	50,399,941.50	34,990,000.00	15,409,941.50	44.0
Operating expenses:				
Contracted Services	6,759,534.22	7,200,000.00	440,465.78	6.1
Administrative Fee	2,996,580.00	3,121,756.00	125,176.00	4.0
Other Professional Services	1,496,373.26	4,000,900.00	2,504,526.74	62.6
Credit Card Processing Fees	1,106,039.98	900,000.00	(206,039.98)	(22.9)
Toll Road Account Servicing	636,884.40	600,000.00	(36,884.40)	(6.1)
Other Insurance Expense	444,076.36	825,000.00	380,923.64	46.2
Toll Road Maintenance Supply Repairs	174,544.47	2,400,000.00	2,225,455.53	92.7
Patrol Services	805,514.88	1,060,000.00	254,485.12	24.0
Building Equipment Repairs and Maint	914,431.39	1,230,000.00	315,568.61	25.7
6C Transponders	-	250,000.00	250,000.00	100.0
Other Services ⁽⁵⁾	23,294.18	62,429.00	39,134.82	62.7
Utilities	44,604.65	115,000.00	70,395.35	61.2
Office Expense	14,745.52	98,000.00	83,254.48	85.0
Bad Debt Expense	149,461.04	-	(149,461.04)	N/A
Miscellaneous ⁽²⁾	46,053.91	161,670.00	115,616.09	71.5
Leases	480,925.79	485,000.00	4,074.21	0.8
Total operating expenses	16,093,064.05	22,509,755.00	6,416,690.95	28.5
Depreciation and Amortization ⁽³⁾	4,216,267.68	-	(4,216,267.68)	N/A
Operating income (loss)	30,090,609.77	12,480,245.00	17,610,364.77	141.1
Nonoperating revenues (expenses):				
Reimbursement from Other Agencies	875,192.41	1,250,000.00	(374,807.59)	(30.0)
Interest Income	3,344,818.33	1,471,495.00	1,873,323.33	127.3
Interest Expense	(4,280,324.68)	(4,400,700.00)	120,375.32	2.7
Other	11,462.08	-	11,462.08	N/A
Total nonoperating revenues (expenses)	(48,851.86)	(1,679,205.00)	1,630,353.14	97.1
Transfers In	-	-	-	N/A
Transfers Out ⁽⁴⁾	(11,566,156.36)	(41,711,150.00)	30,144,993.64	72.3
Net income (loss)	\$ 18,475,601.55	\$ (30,910,110.00)	\$ 49,385,711.55	(159.8)

¹Actual amounts are accounted for on the accrual basis of accounting in an enterprise fund. Budget amounts are accounted for on a modified accrual basis of accounting.

²Miscellaneous expenses include: Bond Insurance Costs, Bank Service Charge, Transponder Materials.

³Depreciation and amortization are not budgeted items.

⁴Transfers Out: For M2 Project I and Project J expense reimbursements.

⁵Litigation settlement was accrued, the negative will be offset once the litigation payment is issued.

⁶Actuals are preliminary pre-closing amounts as of FY 2020-21. Final numbers will be shown in the audited financial statements.

Capital Asset Activity

During the 12 months ending June 30, 2021, capital asset activities included \$11,453 for the replacement of the air conditioning units for the eastbound toll plaza, \$1,491,991 for

the BOS replacement project, and \$2,491,662 for payment of 6C implementation costs for the Electronic Toll and Traffic Management system.

OPERATIONS OVERVIEW RCTC

TRAFFIC AND REVENUE STATISTICS FOR RCTC

Total traffic volume on the 91 Express Lanes for June 2021 was 1,445,027. This represents a daily average of 48,168 vehicles. This is a 60.2 percent increase in total traffic volume from the same period last year, which totaled 901,930, and was the fourth month of the COVID-19 stay-at-home order. Potential toll revenue for June was \$4,708,887, which represents an increase of 73.9 percent from the prior year's total of \$2,708,569. Carpool percentage for June was 21 percent as compared to the previous year's rate of 22.1 percent. As compared to June 2019, traffic volume increased by 20.5 percent and revenue increased by 0.7 percent. Traffic volumes have returned to pre-COVID-19 levels.

Month-to-date traffic and revenue data is summarized in the table below. The following trip and revenue statistics tables represent all trips taken on the RCTC 91 Express Lanes and associated potential revenue for the month of June 2021.

Current Month-to-Date as of June 30, 2021

Trips	JUN-21 MTD Actual	Stantec MTD Projected	# Variance	% Variance	JUN-20 MTD Actual	Yr 21-to-Yr 20 % Variance	Jun-19 MTD Actual	Yr 21-to-Yr 19 % Variance
Full Toll Lanes	1,140,850	1,035,600	105,250	10.2%	702,369	62.4%	878,365	29.9%
3+ Lanes	304,177	337,829	(33,652)	(10.0%)	199,561	52.4%	320,850	(5.2%)
Total Gross Trips	1,445,027	1,373,429	71,598	5.2%	901,930	60.2%	1,199,215	20.5%
Revenue								
Full Toll Lanes	\$4,663,860	\$4,588,114	\$75,746	1.7%	\$2,673,259	74.5%	\$4,632,609	0.7%
3+ Lanes	\$45,027	\$0	\$45,027		\$35,310	27.5%	\$42,682	5.5%
Total Gross Revenue	\$4,708,887	\$4,588,114	\$120,773	2.6%	\$2,708,569	73.9%	\$4,675,291	0.7%
Average Revenue per Trip								
Average Full Toll Lanes	\$4.09	\$4.43	(\$0.34)	(7.7%)	\$3.81	7.3%	\$5.27	(22.4%)
Average 3+ Lanes	\$0.15	\$0.00	\$0.15		\$0.18	(16.7%)	\$0.13	15.4%
Average Gross Revenue	\$3.26	\$3.34	(\$0.08)	(2.4%)	\$3.00	8.7%	\$3.90	(16.4%)

The 2021 fiscal year-to-date traffic volume decreased by 0.7 percent and potential toll revenue decreased by 19.1 percent, when compared with the same period last year. Year-to-date average revenue per-trip is \$3.36.

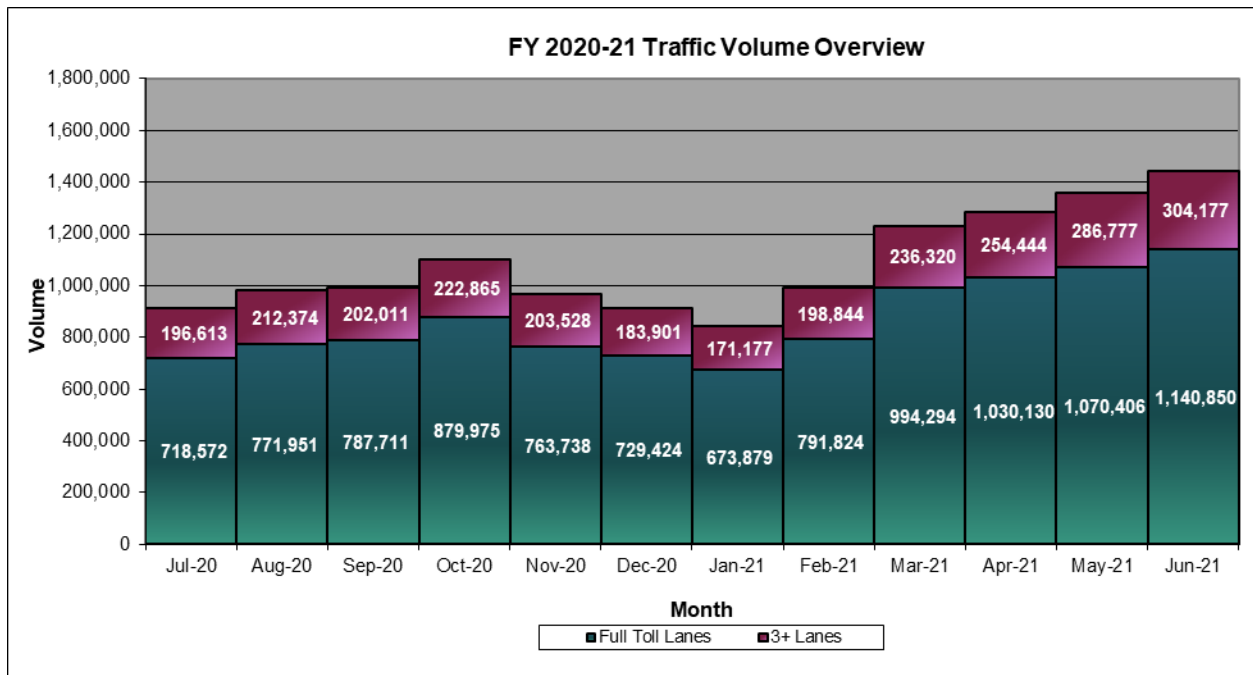
Fiscal year-to-date traffic and revenue data are summarized in the table below. The following trip and revenue statistics tables represent all trips taken on the RCTC 91 Express Lanes and associated potential revenue for the months of July 2020 through June 2021.

FY 2020-21 Year-to-Date as of June 30, 2021

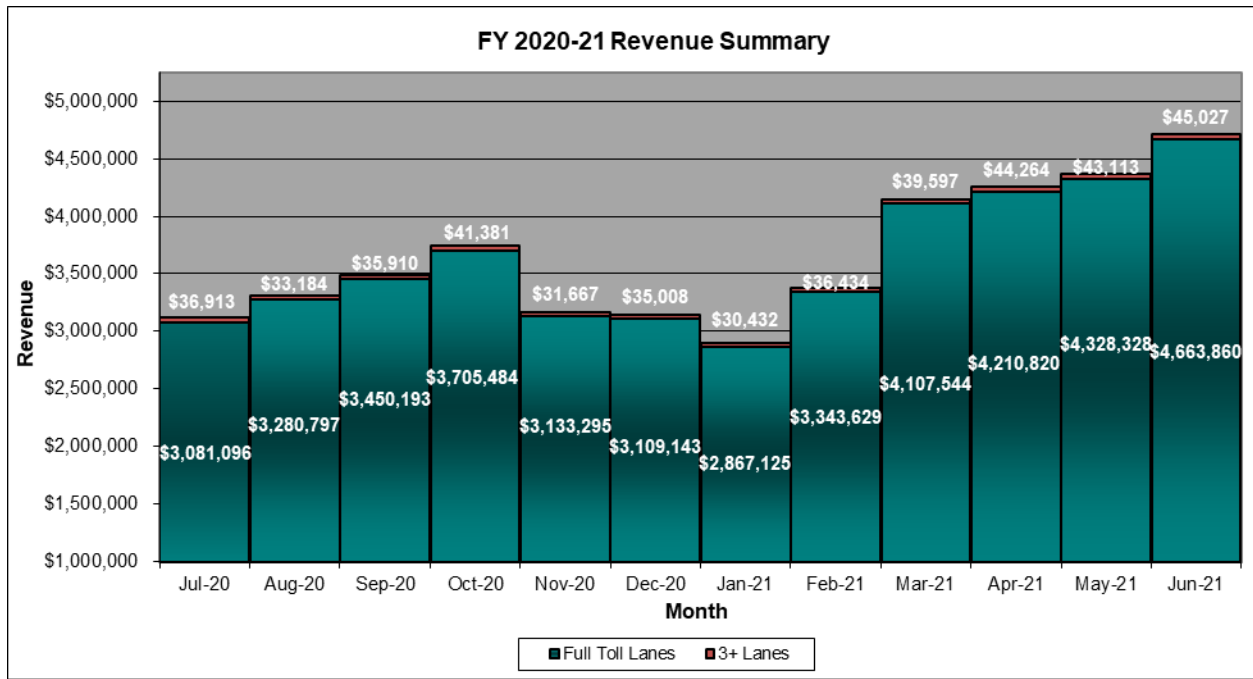
Trips	FY 2020-21 YTD Actual	Stantec YTD Projected	# Variance	% Variance	FY 2019-20 YTD Actual	Yr-to-Yr % Variance
Full Toll Lanes	10,352,754	12,188,900	(1,836,146)	(15.1%)	10,044,914	3.1%
3+ Lanes	2,673,031	3,967,543	(1,294,512)	(32.6%)	3,074,209	(13.0%)
Total Gross Trips	13,025,785	16,156,443	(3,130,658)	(19.4%)	13,119,123	(0.7%)
Revenue						
Full Toll Lanes	\$43,281,313	\$52,698,686	(\$9,417,373)	(17.9%)	\$53,676,973	(19.4%)
3+ Lanes	\$452,929	\$0	\$452,929		\$381,477	18.7%
Total Gross Revenue	\$43,734,242	\$52,698,686	(\$8,964,443)	(17.0%)	\$54,058,450	(19.1%)
Average Revenue per Trip						
Average Full Toll Lanes	\$4.18	\$4.32	(\$0.14)	(3.2%)	\$5.34	(21.7%)
Average 3+ Lanes	\$0.17	\$0.00	\$0.17		\$0.12	41.7%
Average Gross Revenue	\$3.36	\$3.26	\$0.10	3.1%	\$4.12	(18.4%)

RCTC Traffic and Revenue Summary

The chart below reflects the total trips broken down between full toll lanes and HOV3+ lanes for FY 2020-21 on a monthly basis.



The chart below reflects the gross potential revenue breakdown between full toll lanes and HOV3+ lanes for FY 2020-21 on a monthly basis.



RCTC PEAK-HOUR VOLUMES

In June, there were no toll rates adjusted in response to traffic volumes. RCTC evaluates traffic volumes for peak period hours and increases or decreases rates according to the toll rate policy.

RCTC EASTBOUND PEAK-HOUR VOLUMES

Eastbound PM Peak - County Line to McKinley

PM Time	Monday 05/31/21					Tuesday 06/01/21					Wednesday 06/02/21					Thursday 06/03/21					Friday 06/04/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500						\$5.30	209	1055	1,264	E	\$8.95	212	1125	1,337	F	\$10.95	229	1,159	1,388	F	\$24.95	291	1,132	1,423	F
1500 - 1600						\$10.95	235	1065	1,300	E	\$13.95	224	971	1,195	D	\$16.95	157	719	876	C	\$24.95	299	991	1,290	E
1600 - 1700						\$6.95	172	935	1,107	D	\$6.95	177	958	1,135	D	\$8.95	188	944	1,132	D	\$14.95	294	1,018	1,312	F
1700 - 1800						\$5.30	176	975	1,151	D	\$5.30	176	981	1,157	D	\$5.30	270	1,198	1,468	F	\$7.95	244	974	1,218	E
1800 - 1900						\$4.20	228	798	1,026	D	\$5.30	242	895	1,137	D	\$5.30	211	1,007	1,218	E	\$5.30	266	926	1,192	D
1900 - 2000						\$2.25	155	543	698	B	\$2.25	207	666	873	C	\$2.25	237	858	1,095	D	\$2.25	247	709	956	C

PM Time	Monday 06/07/21					Tuesday 06/08/21					Wednesday 06/09/21					Thursday 06/10/21					Friday 06/11/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	217	1,107	1,324	F	\$5.30	213	1,054	1,267	E	\$8.95	194	1,005	1,199	D	\$10.95	237	1,173	1,410	F	\$24.95	352	1,066	1,418	F
1500 - 1600	\$8.95	211	1,032	1,243	E	\$10.95	220	990	1,210	E	\$13.95	210	978	1,188	D	\$16.95	226	966	1,192	D	\$24.95	287	895	1,182	D
1600 - 1700	\$6.95	192	999	1,191	D	\$6.95	177	921	1,098	D	\$6.95	178	1,009	1,187	D	\$8.95	211	1,019	1,230	E	\$14.95	288	989	1,277	E
1700 - 1800	\$5.30	165	913	1,078	D	\$5.30	177	956	1,133	D	\$5.30	193	1,008	1,201	E	\$5.30	199	879	1,078	D	\$7.95	271	955	1,226	E
1800 - 1900	\$4.20	207	812	1,019	D	\$4.20	222	852	1,074	D	\$5.30	211	934	1,145	D	\$5.30	245	956	1,201	E	\$5.30	256	1,021	1,277	E
1900 - 2000	\$2.25	177	443	620	B	\$2.25	180	692	872	C	\$2.25	178	660	838	C	\$2.25	236	911	1,147	D	\$2.25	272	797	1,069	D

PM Time	Monday 06/14/21					Tuesday 06/15/21					Wednesday 06/16/21					Thursday 06/17/21					Friday 06/18/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	236	1,123	1,359	F	\$5.30	239	1,105	1,344	F	\$8.95	216	1,048	1,264	E	\$10.95	240	1,161	1,401	F	\$24.95	300	1,000	1,300	E
1500 - 1600	\$8.95	219	972	1,191	D	\$10.95	236	993	1,229	E	\$13.95	254	975	1,229	E	\$16.95	256	964	1,220	E	\$24.95	319	901	1,220	E
1600 - 1700	\$6.95	210	997	1,207	E	\$6.95	191	980	1,171	D	\$6.95	214	1,048	1,262	E	\$8.95	260	1,025	1,285	E	\$14.95	288	905	1,193	D
1700 - 1800	\$5.30	167	944	1,111	D	\$5.30	189	957	1,146	D	\$5.30	218	1,009	1,227	E	\$5.30	222	1,002	1,224	E	\$7.95	243	899	1,142	D
1800 - 1900	\$4.20	206	876	1,082	D	\$4.20	258	929	1,187	D	\$5.30	280	955	1,235	E	\$5.30	244	997	1,241	E	\$5.30	288	840	1,128	D
1900 - 2000	\$2.25	200	491	691	B	\$2.25	242	931	1,173	D	\$2.25	253	749	1,002	D	\$2.25	240	925	1,165	D	\$2.25	283	820	1,103	D

PM Time	Monday 06/21/21					Tuesday 06/22/21					Wednesday 06/23/21					Thursday 06/24/21					Friday 06/25/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	209	1,111	1,320	F	\$5.30	219	1,100	1,319	F	\$8.95	233	1,106	1,339	F	\$10.95	241	1,128	1,369	F	\$24.95	280	1,090	1,370	F
1500 - 1600	\$8.95	212	852	1,064	D	\$10.95	246	1,002	1,248	E	\$13.95	240	938	1,178	D	\$16.95	247	952	1,199	D	\$24.95	294	1,067	1,361	F
1600 - 1700	\$6.95	239	975	1,214	E	\$6.95	198	933	1,131	D	\$6.95	221	986	1,207	E	\$8.95	204	832	1,036	D	\$14.95	286	956	1,242	E
1700 - 1800	\$5.30	224	907	1,131	D	\$5.30	199	912	1,111	D	\$5.30	202	981	1,183	D	\$5.30	201	936	1,137	D	\$7.95	245	921	1,166	D
1800 - 1900	\$4.20	265	853	1,118	D	\$4.20	271	988	1,259	E	\$5.30	267	935	1,202	E	\$5.30	248	986	1,234	E	\$5.30	281	930	1,211	E
1900 - 2000	\$2.25	187	591	778	B	\$2.25	208	668	876	C	\$2.25	228	893	1,121	D	\$2.25	308	963	1,271	E	\$2.25	235	811	1,046	D

PM Time	Monday 06/28/21					Tuesday 06/29/21					Wednesday 06/30/21					Thursday 07/01/21					Friday 07/02/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	253	1,088	1,341	F	\$5.30	210	1,003	1,213	E	\$8.95	230	1,095	1,325	F										
1500 - 1600	\$8.95	244	974	1,218	E	\$10.95	193	913	1,106	D	\$13.95	228	917	1,145	D										
1600 - 1700	\$6.95	236	983	1,219	E	\$6.95	189	967	1,156	D	\$6.95	201	985	1,186	D										
1700 - 1800	\$5.30	228	1,011	1,239	E	\$5.30	193	921	1,114	D	\$5.30	191	944	1,135	D										
1800 - 1900	\$4.20	311	814	1,125	D	\$4.20	245	904	1,149	D	\$5.30	253	874	1,127	D										
1900 - 2000	\$2.25	200	565	765	B	\$2.25	213	719	932	C	\$2.25	259	874	1,133	D										

Eastbound PM Peak - County Line to 15 SB Ontario

PM Time	Monday 05/31/21					Tuesday 06/01/21					Wednesday 06/02/21					Thursday 06/03/21					Friday 06/04/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500						\$5.30	101	739	840	C	\$5.30	119	776	895	C	\$5.30	121	774	895	C	\$5.30	127	679	806	C
1500 - 1600						\$5.30	111	769	880	C	\$5.30	124	775	899	C	\$5.30	75	485	560	B	\$5.30	140	626	766	B
1600 - 1700						\$5.30	89	671	760	B	\$5.30	101	655	756	B	\$5.30	91	595	686	B	\$2.95	135	558	693	B
1700 - 1800						\$2.95	95	687	782	B	\$2.95	92	670	762	B	\$5.30	104	786	890	C	\$2.95	147	657	804	C
1800 - 1900						\$2.95	117	671	788	B	\$2.95	119	659	778	B	\$2.95	128	668	796	B	\$2.95	141	590	731	B
1900 - 2000						\$1.95	100	457	557	B	\$2.95	97	447	544	B	\$2.95	143	602	745	B	\$2.95	153	488	641	B

PM Time	Monday 06/07/21					Tuesday 06/08/21					Wednesday 06/09/21					Thursday 06/10/21					Friday 06/11/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	121	733	854	C	\$5.30	122	750	872	C	\$5.30	109	698	807	C	\$5.30	109	706	815	C	\$5.30	170	671	841	C
1500 - 1600	\$5.30	132	732	864	C	\$5.30	128	726	854	C	\$5.30	123	713	836	C	\$5.30	122	660	782	B	\$5.30	151	569	720	B
1600 - 1700	\$2.95	96	669	765	B	\$5.30	99	677	776	B	\$5.30	90	691	781	B	\$5.30	101	633	734	B	\$2.95	145	597	742	B
1700 - 1800	\$2.95	84	645	729	B	\$2.95	79	614	693	B	\$2.95	91	670	761	B	\$5.30	104	573	677	B	\$2.95	132	644	776	B
1800 - 1900	\$2.95	120	575	695	B	\$2.95	125	670	795	B	\$2.95	109	706	815	C	\$2.95	119	648	767	B	\$2.95	145	624	769	B
1900 - 2000	\$1.95	95	346	441	B	\$1.95	119	523	642	B	\$2.95	115	480	595	B	\$2.95	152	600	752	B	\$2.95	150	536	686	B

PM Time	Monday 06/14/21					Tuesday 06/15/21					Wednesday 06/16/21					Thursday 06/17/21					Friday 06/18/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	123	621	744	B	\$5.30	138	782	920	C	\$5.30	144	806	950	C	\$5.30	130	754	884	C	\$5.30	133	573	706	B
1500 - 1600	\$5.30	133	720	853	C	\$5.30	109	678	787	B	\$5.30	110	695	805	C	\$5.30	110	693	803	C	\$5.30	158	601	759	B
1600 - 1700	\$2.95	108	648	756	B	\$5.30	110	623	733	B	\$5.30	108	677	785	B	\$5.30	122	679	801	C	\$2.95	132	599	731	B
1700 - 1800	\$2.95	91	640	731	B	\$2.95	108	628	736	B	\$2.95	115	654	769	B	\$5.30	113	598	711	B	\$2.95	109	563	672	B
1800 - 1900	\$2.95	110	636	746	B	\$2.95	131	641	772	B	\$2.95	135	688	823	C	\$2.95	119	710	829	C	\$2.95	174	548	722	B
1900 - 2000	\$1.95	116	350	466	B	\$1.95	156	615	771	B	\$2.95	139	539	678	B	\$2.95	149	579	728	B	\$2.95	169	494	663	B

PM Time	Monday 06/21/21					Tuesday 06/22/21					Wednesday 06/23/21					Thursday 06/24/21					Friday 06/25/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	119	710	829	C	\$5.30	137	775	912	C	\$5.30	124	798	922	C	\$5.30	139	714	853	C	\$5.30	127	654	781	B
1500 - 1600	\$5.30	97	548	645	B	\$5.30	125	703	828	C	\$5.30	121	690	811	C	\$5.30	125	682	807	C	\$5.30	115	601	716	B
1600 - 1700	\$2.95	120	668	788	B	\$5.30	93	674	767	B	\$5.30	109	605	714	B	\$5.30	104	568	672	B	\$2.95	175	574	749	B
1700 - 1800	\$2.95	100	638	738	B	\$2.95	90	596	686	B	\$2.95	103	642	745	B	\$5.30	87	556	643	B	\$2.95	118	615	733	B
1800 - 1900	\$2.95	146	634	780	B	\$2.95	120	667	787	B	\$2.95	133	637	770	B	\$2.95	131	635	766	B	\$2.95	132	594	726	B
1900 - 2000	\$1.95	102	419	521	B	\$1.95	125	545	670	B	\$2.95	123	610	733	B	\$2.95	138	655	793	B	\$2.95	158	523	681	B

PM Time	Monday 06/28/21					Tuesday 06/29/21					Wednesday 06/30/21					Thursday 07/01/21					Friday 07/02/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
1400 - 1500	\$5.30	115	657	772	B	\$5.30	124	794	918	C	\$5.30	129	730	859	C										
1500 - 1600	\$5.30	125	673	798	B	\$5.30	100	747	847	C	\$5.30	98	727	825	C										
1600 - 1700	\$2.95	126	608	734	B	\$5.30	102	658	760	B	\$5.30	119	627	746	B										
1700 - 1800	\$2.95	136	709	845	C	\$2.95	109	627	736	B	\$2.95	118	666	784	B										
1800 - 1900	\$2.95	126	592	718	B	\$2.95	135	701	836	C	\$2.95	137	629	766	B										
1900 - 2000	\$1.95	111	430	541	B	\$1.95	126	522	648	B	\$2.95	151	585	736	B										

RCTC WESTBOUND PEAK-HOUR VOLUMES

Westbound AM Peak - McKinley to County Line

AM Time	Monday 05/31/21					Tuesday 06/01/21					Wednesday 06/02/21					Thursday 06/03/21					Friday 06/04/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500						\$5.30	202	498	700	B	\$5.30	255	478	733	B	\$5.30	209	536	745	B	\$2.25	223	491	714	B
0500 - 0600						\$9.95	295	1309	1,604	F	\$9.95	376	1387	1,763	F	\$9.95	312	1,438	1,750	F	\$6.95	294	1,337	1,631	F
0600 - 0700						\$12.95	259	1377	1,636	F	\$12.95	222	1319	1,541	F	\$11.95	249	1,525	1,774	F	\$6.95	201	1,324	1,525	F
0700 - 0800						\$8.95	285	1545	1,830	F	\$8.95	276	1657	1,933	F	\$7.95	351	1,778	2,129	F	\$5.30	262	1,733	1,995	F
0800 - 0900						\$5.30	223	1569	1,792	F	\$5.30	208	1639	1,847	F	\$5.30	216	1,571	1,787	F	\$2.25	222	1,385	1,607	F
0900 - 1000						\$5.30	164	1151	1,315	E	\$5.30	177	1182	1,359	F	\$5.30	155	1,070	1,225	E	\$2.25	216	1,064	1,280	E

AM Time	Monday 06/07/21					Tuesday 06/08/21					Wednesday 06/09/21					Thursday 06/10/21					Friday 06/11/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$5.30	232	489	721	B	\$5.30	240	474	714	B	\$5.30	205	528	733	B	\$5.30	229	480	709	B	\$2.25	182	481	663	B
0500 - 0600	\$9.95	347	1,384	1,731	F	\$9.95	386	1,396	1,782	F	\$9.95	371	1,383	1,754	F	\$9.95	324	1,267	1,591	F	\$6.95	292	1,154	1,446	F
0600 - 0700	\$11.95	269	1,579	1,848	F	\$12.95	271	1,338	1,609	F	\$12.95	286	1,460	1,746	F	\$11.95	270	1,402	1,672	F	\$6.95	235	1,267	1,502	F
0700 - 0800	\$8.95	312	1,793	2,105	F	\$8.95	331	1,802	2,133	F	\$8.95	334	1,765	2,099	F	\$7.95	322	1,791	2,113	F	\$5.30	297	1,431	1,728	F
0800 - 0900	\$5.30	207	1,449	1,656	F	\$5.30	226	1,651	1,877	F	\$5.30	200	1,584	1,784	F	\$5.30	217	1,596	1,813	F	\$2.25	232	1,398	1,630	F
0900 - 1000	\$5.30	157	954	1,111	D	\$5.30	179	1,106	1,285	E	\$5.30	180	1,129	1,309	E	\$5.30	229	1,115	1,344	E	\$2.25	211	984	1,195	D

AM Time	Monday 06/14/21					Tuesday 06/15/21					Wednesday 06/16/21					Thursday 06/17/21					Friday 06/18/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$5.30	222	549	771	B	\$5.30	230	512	742	B	\$5.30	230	501	731	B	\$5.30	215	533	748	B	\$2.25	193	466	659	B
0500 - 0600	\$9.95	277	1,424	1,701	F	\$9.95	363	1,354	1,717	F	\$9.95	303	1,344	1,647	F	\$9.95	284	1,359	1,643	F	\$6.95	339	1,074	1,413	F
0600 - 0700	\$11.95	243	1,483	1,726	F	\$12.95	308	1,668	1,976	F	\$12.95	255	1,656	1,911	F	\$11.95	268	1,498	1,766	F	\$6.95	269	1,424	1,693	F
0700 - 0800	\$8.95	322	1,710	2,032	F	\$8.95	347	1,937	2,284	F	\$8.95	317	1,696	2,013	F	\$7.95	316	1,842	2,158	F	\$5.30	260	1,541	1,801	F
0800 - 0900	\$5.30	229	1,598	1,827	F	\$5.30	258	1,652	1,910	F	\$5.30	212	1,441	1,653	F	\$5.30	237	1,617	1,854	F	\$2.25	234	1,337	1,571	F
0900 - 1000	\$5.30	204	1,134	1,338	E	\$5.30	217	1,246	1,463	F	\$5.30	222	1,069	1,291	E	\$5.30	216	1,147	1,363	F	\$2.25	243	876	1,119	D

AM Time	Monday 06/21/21					Tuesday 06/22/21					Wednesday 06/23/21					Thursday 06/24/21					Friday 06/25/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$5.30	225	529	754	B	\$5.30	237	479	716	B	\$5.30	223	421	644	B	\$5.30	199	373	572	B	\$2.25	161	374	535	B
0500 - 0600	\$9.95	327	1,309	1,636	F	\$9.95	381	1,332	1,713	F	\$9.95	318	1,087	1,405	F	\$9.95	321	1,081	1,402	F	\$6.95	235	1,108	1,343	E
0600 - 0700	\$11.95	269	1,399	1,668	F	\$12.95	273	1,516	1,789	F	\$12.95	228	1,198	1,426	F	\$11.95	279	1,264	1,543	F	\$6.95	198	1,242	1,440	F
0700 - 0800	\$8.95	277	1,461	1,738	F	\$8.95	286	1,794	2,080	F	\$8.95	284	1,525	1,809	F	\$7.95	255	1,437	1,692	F	\$5.30	197	1,432	1,629	F
0800 - 0900	\$5.30	278	1,700	1,978	F	\$5.30	204	1,686	1,890	F	\$5.30	246	1,393	1,639	F	\$5.30	211	1,393	1,604	F	\$2.25	208	1,261	1,469	F
0900 - 1000	\$5.30	259	1,397	1,656	F	\$5.30	210	1,341	1,551	F	\$5.30	217	1,052	1,269	E	\$5.30	179	1,015	1,194	D	\$2.25	192	935	1,127	D

AM Time	Monday 06/28/21					Tuesday 06/29/21					Wednesday 06/30/21					Thursday 07/01/21					Friday 07/02/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$5.30	208	389	597	B	\$5.30	185	455	640	B	\$5.30	163	431	594	B										
0500 - 0600	\$9.95	288	1,113	1,401	F	\$9.95	336	1,128	1,464	F	\$9.95	252	1,121	1,373	F										
0600 - 0700	\$11.95	197	1,208	1,405	F	\$12.95	199	1,333	1,532	F	\$12.95	225	1,252	1,477	F										
0700 - 0800	\$8.95	277	1,544	1,821	F	\$8.95	271	1,524	1,795	F	\$8.95	281	1,461	1,742	F										
0800 - 0900	\$5.30	179	1,111	1,290	E	\$5.30	226	1,495	1,721	F	\$5.30	266	1,503	1,769	F										
0900 - 1000	\$5.30	230	956	1,186	D	\$5.30	195	1,090	1,285	E	\$5.30	208	1,084	1,292	E										

Westbound AM Peak - 15 North to County Line

AM Time	Monday 05/31/21					Tuesday 06/01/21					Wednesday 06/02/21					Thursday 06/03/21					Friday 06/04/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500						\$2.95	119	369	488	B	\$2.95	71	245	316	A	\$2.95	77	304	381	A	\$2.95	73	247	320	A
0500 - 0600						\$6.85	140	835	975	C	\$6.85	125	779	904	C	\$6.85	103	726	829	C	\$5.30	80	594	674	B
0600 - 0700						\$6.85	148	1005	1,153	D	\$6.85	121	1037	1,158	D	\$6.85	106	780	886	C	\$5.30	103	779	882	C
0700 - 0800						\$6.85	114	1061	1,175	D	\$6.85	106	866	972	C	\$6.85	96	762	858	C	\$2.95	71	625	696	B
0800 - 0900						\$2.95	95	936	1,031	D	\$2.95	86	783	869	C	\$2.95	82	823	905	C	\$2.95	77	649	726	B
0900 - 1000						\$2.95	68	699	767	B	\$2.95	77	583	660	B	\$2.95	67	631	698	B	\$2.95	78	432	510	B

AM Time	Monday 06/07/21					Tuesday 06/08/21					Wednesday 06/09/21					Thursday 06/10/21					Friday 06/11/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$2.95	112	326	438	B	\$2.95	83	296	379	A	\$2.95	76	350	426	B	\$2.95	97	298	395	A	\$2.95	80	288	368	A
0500 - 0600	\$6.85	106	730	836	C	\$6.85	152	759	911	C	\$6.85	109	716	825	C	\$6.85	128	821	949	C	\$5.30	99	651	750	B
0600 - 0700	\$6.85	105	725	830	C	\$6.85	115	836	951	C	\$6.85	116	896	1,012	D	\$6.85	120	841	961	C	\$5.30	110	793	903	C
0700 - 0800	\$6.85	97	799	896	C	\$6.85	138	903	1,041	D	\$6.85	142	873	1,015	D	\$6.85	133	913	1,046	D	\$2.95	110	743	853	C
0800 - 0900	\$2.95	83	691	774	B	\$2.95	96	677	773	B	\$2.95	115	801	916	C	\$2.95	92	647	739	B	\$2.95	102	602	704	B
0900 - 1000	\$2.95	91	591	682	B	\$2.95	91	642	733	B	\$2.95	83	633	716	B	\$2.95	104	576	680	B	\$2.95	114	631	745	B

AM Time	Monday 06/14/21					Tuesday 06/15/21					Wednesday 06/16/21					Thursday 06/17/21					Friday 06/18/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$2.95	87	326	413	B	\$2.95	95	340	435	B	\$2.95	92	342	434	B	\$2.95	59	336	395	A	\$2.95	84	269	353	A
0500 - 0600	\$6.85	106	782	888	C	\$6.85	142	715	857	C	\$6.85	124	791	915	C	\$6.85	112	761	873	C	\$5.30	125	641	766	B
0600 - 0700	\$6.85	97	825	922	C	\$6.85	98	782	880	C	\$6.85	105	846	951	C	\$6.85	113	907	1,020	D	\$5.30	88	645	733	B
0700 - 0800	\$6.85	131	904	1,035	D	\$6.85	111	730	841	C	\$6.85	139	900	1,039	D	\$6.85	107	795	902	C	\$2.95	79	672	751	B
0800 - 0900	\$2.95	67	655	722	B	\$2.95	94	871	965	C	\$2.95	77	632	709	B	\$2.95	120	812	932	C	\$2.95	83	535	618	B
0900 - 1000	\$2.95	90	574	664	B	\$2.95	89	628	717	B	\$2.95	107	701	808	C	\$2.95	108	613	721	B	\$2.95	120	488	608	B

AM Time	Monday 06/21/21					Tuesday 06/22/21					Wednesday 06/23/21					Thursday 06/24/21					Friday 06/25/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$2.95	87	313	400	A	\$2.95	107	353	460	B	\$2.95	113	405	518	B	\$2.95	109	390	499	B	\$2.95	95	365	460	B
0500 - 0600	\$6.85	136	815	951	C	\$6.85	124	738	862	C	\$6.85	164	937	1,101	D	\$6.85	192	974	1,166	D	\$5.30	112	844	956	C
0600 - 0700	\$6.85	127	887	1,014	D	\$6.85	109	937	1,046	D	\$6.85	150	1,176	1,326	E	\$6.85	167	1,137	1,304	E	\$5.30	119	999	1,118	D
0700 - 0800	\$6.85	86	713	799	B	\$6.85	123	910	1,033	D	\$6.85	170	1,256	1,426	F	\$6.85	161	1,163	1,324	E	\$2.95	124	961	1,085	D
0800 - 0900	\$2.95	32	173	205	A	\$2.95	83	748	831	C	\$2.95	144	1,026	1,170	D	\$2.95	139	1,060	1,199	D	\$2.95	110	869	979	C
0900 - 1000	\$2.95	91	594	685	B	\$2.95	109	680	789	B	\$2.95	149	832	981	C	\$2.95	125	761	886	C	\$2.95	149	715	864	C

AM Time	Monday 06/28/21					Tuesday 06/29/21					Wednesday 06/30/21					Thursday 07/01/21					Friday 07/02/21				
	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS	Price	HOV	SOV	Vol.	LOS
0400 - 0500	\$2.95	113	446	559	B	\$2.95	96	419	515	B	\$2.95	101	423	524	B										
0500 - 0600	\$6.85	159	949	1,108	D	\$6.85	163	982	1,145	D	\$6.85	150	1,001	1,151	D										
0600 - 0700	\$6.85	125	1,124	1,249	E	\$6.85	128	1,236	1,364	E	\$6.85	155	1,138	1,293	E										
0700 - 0800	\$6.85	138	1,114	1,252	E	\$6.85	154	1,177	1,331	E	\$6.85	163	1,241	1,404	F										
0800 - 0900	\$2.95	139	940	1,079	D	\$2.95	156	1,087	1,243	E	\$2.95	118	968	1,086	D										
0900 - 1000	\$2.95	152	686	838	C	\$2.95	127	804	931	C	\$2.95	144	823	967	C										

RCTC OPERATIONAL HIGHLIGHTS

On-Road Operations

RCTC Freeway Service Patrol responded to 98 calls during the month of June. Of those calls, 73 were to assist disabled vehicles, 11 calls to remove debris, and 14 were in response to accidents in the Express Lanes.

91 Express Lanes BOS Development Update

In February, CUSA notified OCTA and RCTC of a delay to the implementation of the new BOS for the 91 Express Lanes for both Orange and Riverside counties as a result of the COVID-19 pandemic. CUSA is the current operator of the 91 Express Lanes for both OCTA and RCTC and is the firm selected for the design, development, and implementation of the new BOS, as well as the customer service center operation, once the current contract expires on June 30, 2021.

In April 2021, OCTA and RCTC (Agencies) provided notice to CUSA that the Agencies will be exercising the first of the six one-month extension period, which will commence July 1, 2021 through July 31, 2021. The Agencies intend to exercise the one-month options until the Agencies transition to the new contract. Agencies' staff are continuing to work with CUSA to meet the revised anticipated go-live date in October 2021.

FINANCIAL HIGHLIGHTS RCTC

RCTC 91 Express Lanes Operating Statement

Description	YTD as of : 6/30/2021		YTD Variance	
	Actual ¹	Budget	Dollar \$	Percent (%)
Operating revenues:				
Toll Revenue	\$ 39,930,214.27	\$ 25,754,400.00	\$ 14,175,814.27	55.0
Fee Revenue	6,320,347.12	2,450,500.00	3,869,847.12	157.9
Total operating revenues	46,250,561.39	28,204,900.00	18,045,661.39	64.0
Operating expenses:				
Salaries and Benefits	611,942.17	675,500.00	63,557.83	9.4
Legal Services	110,513.98	350,000.00	239,486.02	68.4
Advisory Services	57,833.86	75,000.00	17,166.14	22.9
Audit and Accounting Fees	37,775.00	36,000.00	(1,775.00)	(4.9)
Service Fees	5,475.33	20,000.00	14,524.67	72.6
Other Professional Services	764,452.33	2,621,000.00	1,856,547.67	70.8
Lease Expense	258,155.49	490,200.00	232,044.51	47.3
Operations	2,127,972.41	2,987,000.00	859,027.59	28.8
Utilities	64,984.02	81,600.00	16,615.98	20.4
Supplies and Materials	4,909.00	30,000.00	25,091.00	83.6
Membership and Subscription Fees	28,281.50	30,000.00	1,718.50	5.7
Office Equipment & Furniture (Non-Capital)	5,383.88	15,000.00	9,616.12	64.1
Maintenance/Repairs	126,670.76	365,100.00	238,429.24	65.3
Training Seminars and Conferences	(720.00)	2,300.00	3,020.00	131.3
Transportation Expenses	-	4,000.00	4,000.00	100.0
Lodging	-	3,500.00	3,500.00	100.0
Meals	-	500.00	500.00	100.0
Other Staff Expenses	-	500.00	500.00	100.0
Advertising	4,611.25	275,000.00	270,388.75	98.3
Program Management	75,689.76	166,100.00	90,410.24	54.4
Program Operations	7,409,400.61	8,635,700.00	1,226,299.39	14.2
Litigation Settlement	-	-	-	N/A
Furniture & Equipment	-	305,000.00	305,000.00	100.0
Improvements	-	-	-	N/A
Bad Debt Expense	17,973.78	-	(17,973.78)	N/A
Total operating expenses	11,711,305.13	17,169,000.00	5,457,694.87	31.8
Operating income (loss)	34,539,256.26	11,035,900.00	23,503,356.26	213.0
Nonoperating revenues (expenses):				
Interest Revenue	529,601.68	691,900.00	(162,298.32)	23.5
Other Miscellaneous Revenue	944,155.83	100.00	944,055.83	(944,055.8)
Interest Expense	(29,687,116.56)	(7,119,900.00)	(22,567,216.56)	317.0
Total nonoperating revenues (expenses)	(28,213,359.05)	(6,427,900.00)	(21,785,459.05)	(338.9)
Transfers In	-	-	-	N/A
Transfers Out	(643,900.00)	(1,025,300.00)	381,400.00	(37.2)
Net income (loss)	\$ 5,681,997.21	\$ 3,582,700.00	\$ 2,099,297.21	58.6

¹ Unaudited

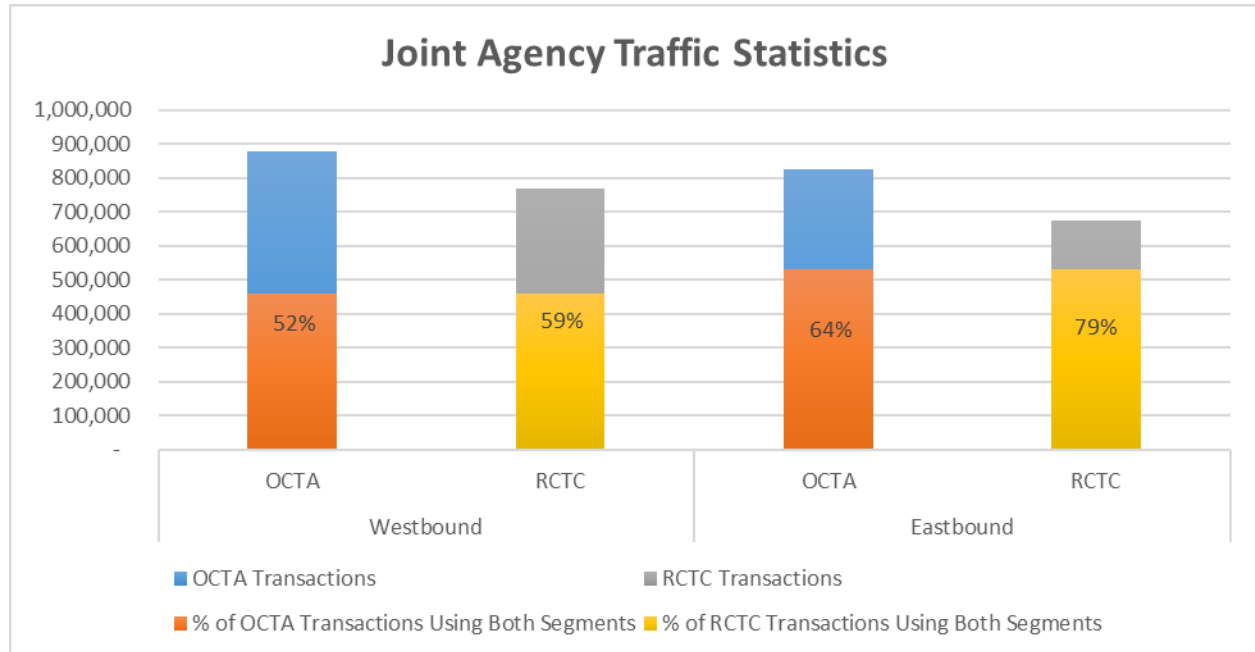
JOINT AGENCY TRIP AND REVENUE STATISTICS

MULTI AGENCY TRIP AND REVENUE STATISTICS

MONTH ENDING June 30, 2021

MTD	Transactions by Agency	Transactions Using Both Segments	% Using Both Segments	Revenue
Westbound				
OCTA	878,371	458,036	52%	\$2,339,539
RCTC	770,811	458,036	59%	\$2,534,675
I-15	274,149	244,866	89%	\$770,218
McKinley	496,662	213,170	43%	\$1,764,457
Eastbound				
OCTA	825,920	531,501	64%	\$2,739,329
RCTC	674,216	531,501	79%	\$2,174,212
I-15	257,131	211,763	82%	\$617,734
McKinley	417,085	319,738	77%	\$1,556,478

JOINT AGENCY TRAFFIC STATISTICS



JOINT AGENCY PERFORMANCE MEASURES

REPORTING REQUIREMENT	Reporting Period	PERFORMANCE STANDARD	Jun-21 Performance
CUSTOMER SERVICE			
Call Wait Time**	Monthly	Not to exceed 2 minutes	2:07
Abandon Rate	Monthly	No more than 4.0%	3.2%
Customer Satisfaction	Monthly	At least 75 outbound calls	76
VIOLATION PROCESSING			
Response Time	Monthly	Within 2 business days of receipt	0.7
CUSA Violation Collection Rate	Quarterly	70% or more	69%
CUSA Violation Collection Rate	Annually	74% or more	61%
TRAFFIC OPERATIONS			
Initial & Secondary Review s	Monthly	Equal to or less than 15 days	0.9
* Plate Misread Errors	Monthly	Equal to or less than 0.4%	0.02%
CAS Response Time	Monthly	0:20 (minutes) per call	0:19
ACCOUNTING			
OCTA Exceptions	Monthly	No more than 3	0
RCTC Exceptions	Monthly	No more than 3	0
INFORMATION TECHNOLOGY			
Back-office System Uptime	Monthly	99% Availability	100%
Network Uptime	Monthly	99% Availability	100%

CUSA = Cofiroute USA; CAS = OCTA Customer Assistance Specialists

* Plate Misread Error performance is current after a 60-day hold-back period; therefore, percentage reported here is for two months prior to the month of this report.

** Call Wait Time was not met in June 2021 as a result of decrease availability of staff due to the pandemic.

JOINT AGENCY TRANSPONDER DISTRIBUTION

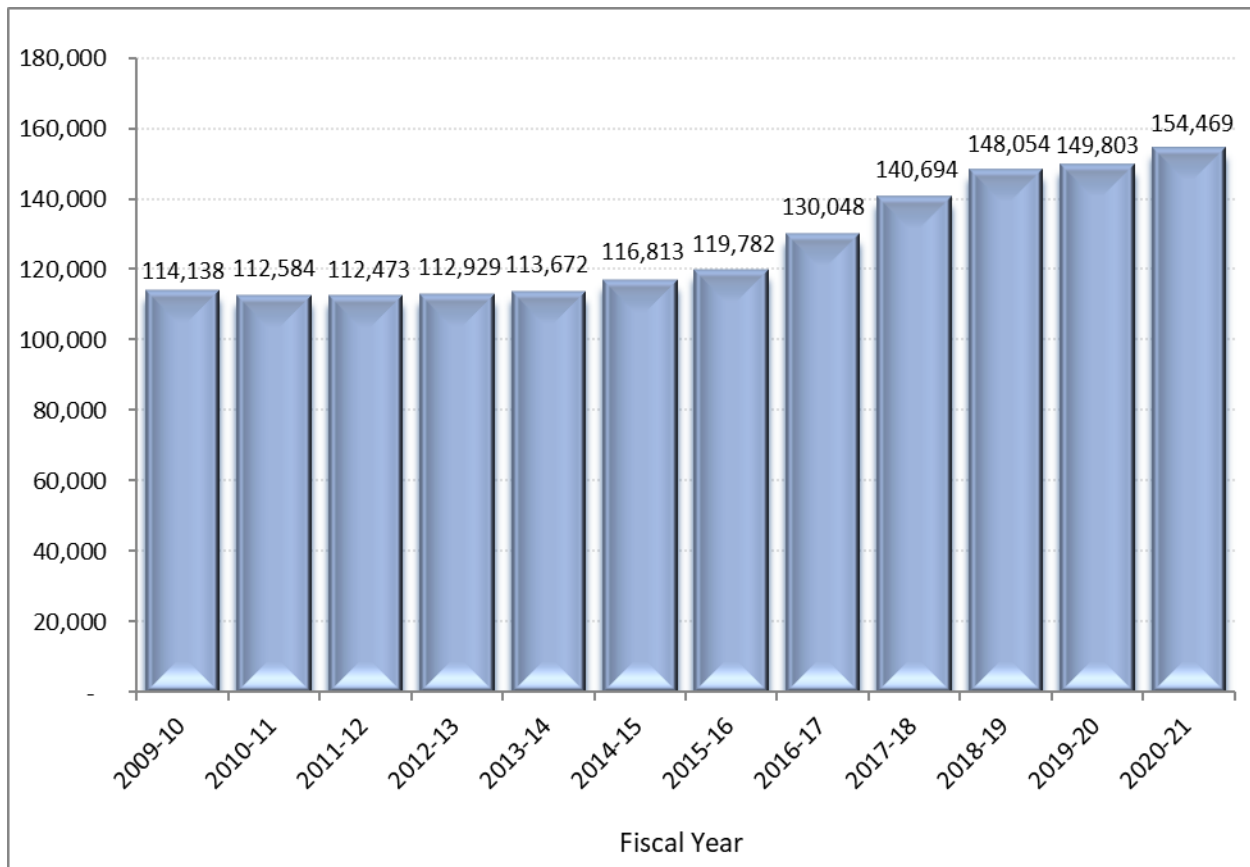
T21 TRANSPONDER DISTRIBUTION	June-21		May-21		FY 2020-21	
	Tags	% of Total	Tags	% of Total	Average To-Date	
Issued						
To New Accounts	295	81.0%	1,181	97.3%	798	96.5%
Additional Tags to Existing Accounts	7	1.9%	22	1.8%	15	1.8%
Replacement Transponders	62	17.0%	11	0.9%	14	1.7%
Total Issued	364		1,214		827	
Returned						
Account Closures					16	0.5%
Accounts Dow nsizing					4	0.1%
Defective Transponders	6,113	100.0%	1,785	100.0%	3,190	99.4%
Total Returned	6,113		1,785		3,210	

6C TRANSPONDER DISTRIBUTION		June-21		May-21		FY 2020-21	
		Tags	% of Total	Tags	% of Total	Average To-Date	
Issued							
To New Accounts	18,544	99.4%	35,117	99.8%	28,320	99.9%	
Additional Tags to Existing Accounts	116	0.6%	61	0.2%	38	0.1%	
Replacement Transponders			1	0.0%	1	0.0%	
Total Issued	18,660		35,179		28,359		
Returned							
Account Closures							
Accounts Downsizing							
Defective Transponders							
Total Returned							

At the end of June 2021, the 91 Express Lanes had 154,469 active customer accounts and 588,045 transponders classified as assigned.

Number of Accounts by FY

As of June 30, 2021



Incoming Email Activity

During June, the Anaheim Processing Center received 4,381 emails.

Operational Activity

Amid concerns about the spread of COVID-19 and following the State of California's guidance to help reduce its spread, the 91 Express Lanes Customer Walk-In Center was closed in March 2020 and will remain so until July 6, 2021. Operational activities in the Anaheim and Corona locations continued to function with a combination of remote workers and core staff located at the facilities. Core essential functions include aiding stranded motorists, providing incident management services, and dispatching emergency vehicles through the traffic operations center. The call center remains open to respond to customer service and violation calls.



August 11, 2021

To: Finance and Administration Committee

From: Darrell E. Johnson, Chief Executive Officer

Subject: Agreements for Health Insurance Services

Overview

The Orange County Transportation Authority currently has agreements with various companies to provide medical, dental, vision, life, accidental death and dismemberment, disability, and supplemental life plans for administrative employees and employees represented by the Transportation Communications International Union and represented by Teamsters Local 952 Union. These agreements expire on December 31, 2021. Staff is presenting recommendations for medical, dental, vision, life, accidental death and dismemberment, disability, and supplemental life insurance, as well as leave administration for the calendar year 2022.

Recommendations

- A. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3649 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Kaiser Permanente Health Plan, Inc., on a cost per employee basis, for prepaid medical services through December 31, 2022. The annual 2022 Kaiser Permanente Health Plan, Inc. premium cost will vary in accordance with actual enrollment.
- B. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3650 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for prepaid medical services through December 31, 2022. The annual 2022 Anthem Blue Cross health maintenance organization premium costs will vary in accordance with actual enrollment.

- C. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3651 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for preferred provider organization medical services through December 31, 2022. The annual 2022 Anthem Blue Cross preferred provider organization premium costs will vary in accordance with actual enrollment.
- D. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 6 to Agreement No. C-5-3652 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Anthem Blue Cross, on a cost per employee basis, for a consumer driven health plan through December 31, 2022. The annual 2022 Anthem Blue Cross consumer driven health plan premium costs and health savings account expenses will vary in accordance with actual enrollment.
- E. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-1-3670 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Delta Dental, on a cost per employee basis, for preferred provider organization dental services through December 31, 2022. The annual 2022 Delta Dental preferred provider organization premium costs will vary in accordance with actual enrollment.
- F. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 11 to Agreement No. C-1-2995 between the Orange County Transportation Authority and Delta Dental, on a cost per employee basis, for health maintenance organization dental services through December 31, 2022. The annual 2022 Delta Dental health maintenance organization premium costs will vary in accordance with actual enrollment.
- G. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-1-3672 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Delta Dental, on a cost per employee basis, for health maintenance organization dental services through December 31, 2022. The annual 2022 Delta Dental health maintenance organization premium costs will vary in accordance with actual enrollment.

- H. Authorize the Chief Executive Officer to negotiate and execute Agreement No. C-1-3671 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for Vision Service Plan, on a cost per employee basis, for vision services through December 31, 2022. The annual 2022 vision services premium costs will vary in accordance with actual enrollment.
- I. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 5 to Purchase Order No. C-7-1897 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA for life and accidental death and dismemberment insurance through December 31, 2022. The annual 2022 life and accidental death and dismemberment premium costs will vary in accordance with actual volume in the plan.
- J. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1898 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA to provide supplemental life insurance to employees at their own expense through December 31, 2022.
- K. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1899 between the Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA for short-term and long-term disability insurance through December 31, 2022. The annual 2022 short-term and long-term disability premium costs will vary in accordance with actual volume in the plan.
- L. Authorize the Chief Executive Officer to negotiate and execute Amendment No. 4 to Purchase Order No. C-7-1900 between Orange County Transportation Authority and Public Risk Innovation, Solutions, and Management for VOYA with Compsych to provide employee leave administration through December 31, 2022.

Background

Staff continues to focus on developing and maintaining a long-term strategy to contain rising healthcare costs as a multi-year program. The goal of this long-term strategy is to develop and maintain a sustainable and strategic long-term benefits program that is both cost-effective and meets the needs of the employees, and consists of four basic components:

- 1) Provide an equitable cost-sharing structure,
- 2) Manage utilization,
- 3) Educate employees to be better healthcare consumers, and
- 4) Implement a health risk management program.

An equitable employee contribution schedule was developed that rewards tenure within the Orange County Transportation Authority (OCTA) for administrative employees and employees represented by the Transportation Communications International Union (TCU) with a reduction in premium costs after ten years of continuous service. For coach operators, cost sharing is defined in the Collective Bargaining Agreement. All coach operators who participate in the medical, dental, and vision plans will continue to make employee contributions to the medical, dental, and vision premium(s) not to exceed five percent for the employee-only coverage, seven percent for the employee plus one coverage, and ten percent for the employee plus family coverage of the total cost. According to Article 49 (Section 1), the schedule allows employees to share in the cost as they share in the benefits. Employees who select the more expensive Preferred Provider Organization (PPO) plan pay a higher percentage of the premium than those electing the lower cost Health Maintenance Organization (HMO) plans.

The Consumer Driven Health Plan (CDHP), along with a Health Savings Account, supports employee engagement and encourages employees to be connected to health care dollars, thereby increasing use of generic drugs, reducing emergency visits, and increasing participation in wellness programs. Cost savings continue to increase as employees migrate to the CDHP plan, which has a 24 percent lower premium than the PPO plan.

Discussion

OCTA received proposals for medical and life insurance plans from Public Risk Innovation, Solutions, and Management (PRISM) and OCTA's broker, Alliant Insurance Services, for services effective January 1, 2022, through December 31, 2022.

Staff recommends the following medical, dental, vision, life, accidental death and dismemberment, disability, administrative services for protected leaves, and supplemental life insurance.

Medical

Since 2015, the OCTA Board of Directors (Board) has approved contracting with CSAC-EIA, now known as PRISM, for the most competitive pricing of medical

insurances. OCTA's experience with PRISM for administrative, coach operator, and TCU employee health plans has resulted in competitive pricing below California market trends for five of the last six years. In 2020, OCTA experienced a 1.35 percent renewal decrease as compared to market trends of 5.5 percent increases for medical plans, and in 2021, OCTA experienced a 0.9 percent renewal increase as compared to market trends of seven to nine percent increases for medical plans.

For the 2022 medical rate renewal, PRISM proposes a renewal decrease of 3.7 percent for the Kaiser and Anthem Blue Cross (Anthem) medical insurance plans. This renewal decrease of 3.7 percent is less than market trend of seven percent increases for medical plans. Staff recommends continuing to offer the PRISM Kaiser and Anthem HMO, PPO, and CDHP plans and adding acupuncture benefits to these plans, thereby modifying the overall renewal rate decrease to 3.4 percent.

Dental

OCTA currently offers two choices of dental plans to its employees: a dental PPO and a dental HMO plan. Administrative, TCU, and coach operator employees are offered a dental PPO plan with Delta Dental, contracted through PRISM. A rate decrease of 2.5 percent is proposed for the dental PPO plan. The dental HMO plan for administrative and TCU employees is a Delta Dental plan contracted through PRISM, while the dental HMO plan offered to coach operators is contracted directly with Delta Dental. Neither dental HMO plan has proposed an increase for calendar year 2022.

Vision

The PRISM Vision Service Plan for vision insurance proposed no rate increases.

Life Insurance

OCTA provides eligible employees with life insurance as well as accidental death and dismemberment insurance. For administrative and TCU employees, the benefit amount is equal to two times the annual salary of the employee, to a maximum of \$500,000. For coach operators, life insurance of \$25,000 is provided, as well as a \$5,000 spousal and \$500 child life insurance benefit. PRISM for Voya life insurance proposed no rate increases.

Supplemental Life Insurance

OCTA offers voluntary supplemental life insurance to all eligible employees at their own expense. PRISM for Voya proposed no rate increases.

Disability Insurance

OCTA provides administrative employees with short-term disability and administrative and TCU employees with long-term disability insurance. It provides a benefit amount of 67 percent of base income up to maximum amounts of \$2,300 weekly for short-term disability leave and \$8,000 monthly for long-term disability leave. These current weekly and monthly maximums offer less than the recommended 67 percent income protection for approximately 87 administrative staff. Staff recommends increasing insurance benefit maximums to \$2,800 weekly for short-term disability and \$12,500 for long-term disability to offer 72 of the 87 administrative staff with 67 percent income protection for approved disability leave of absence.

Protected Leave Management

PRISM for Voya provides protected leave administrative services to OCTA. No rate increases are proposed for 2022.

Fiscal Impact

The costs for healthcare benefits were approved in OCTA's Fiscal Year (FY) 2021-22 Budget, assuming a ten percent increase in rates beginning January 1, 2022. Based on staff recommendations for calendar year 2022, OCTA's cost for health and life insurance benefits for the administrative, TCU, and coach operator employees will be approximately 3.1 percent lower than last calendar year and within the budgeted amount for FY 2021-22. Since the renewals are on a calendar year basis, OCTA will address the FY 2022-23 amounts, along with the other assumptions utilized in the budget, during the next budgeting cycle.

Summary

Staff is recommending that the Board authorize the Chief Executive Officer to negotiate and execute amendments to the existing contracts with PRISM for medical, dental, and vision insurance, and with Delta Dental for dental insurance, as well as negotiate and execute amendments with PRISM for life, accidental death and dismemberment, short-term and long-term disability, and supplemental life insurance, and protected leave management through December 31, 2022.

Attachments

- A. Public Risk Innovation, Solutions, and Management, Kaiser Permanente Health Plan, Inc., Agreement No. C-5-3649 Fact Sheet
- B. Public Risk Innovation, Solutions, and Management, Anthem Blue Cross Health Maintenance Organization, Agreement No. C-5-3650 Fact Sheet
- C. Public Risk Innovation, Solutions, and Management, Anthem Blue Cross Preferred Provider Organization, Agreement No. C-5-3651 Fact Sheet
- D. Public Risk Innovation, Solutions, and Management, Anthem Blue Cross Consumer Driven Health Plan, Agreement No. C-5-3652 Fact Sheet
- E. Public Risk Innovation, Solutions, and Management, Delta Dental Preferred Provider Organization, Agreement No. C-1-3670 Fact Sheet
- F. Delta Dental Health Maintenance Organization for Coach Operators, Agreement No. C-1-2995 Fact Sheet
- G. Public Risk Innovation, Solutions, and Management, Delta Dental Health Maintenance Organization, Agreement No. C-1-3672 Fact Sheet
- H. Public Risk Innovation, Solutions, and Management Vision Service Plan, Agreement No. C-1-3671 Fact Sheet
- I. Public Risk Innovation, Solutions, and Management, VOYA, Purchase Order No. C-7-1897 Fact Sheet
- J. Public Risk Innovation, Solutions, and Management, VOYA, Purchase Order No. C-7-1898 Fact Sheet
- K. Public Risk Innovation, Solutions, and Management, VOYA, Purchase Order No. C-7-1899 Fact Sheet
- L. Public Risk Innovation, Solutions, and Management, VOYA, Purchase Order No. C-7-1900 Fact Sheet
- M. Orange County Transportation Authority, Financial Overview, Effective January 1, 2022
- N. Orange County Transportation Authority, Monthly Rate Comparison – 2022 vs. 2021
- O. Orange County Transportation Authority, Administrative & TCU Monthly Employee Cost for 2022

- P. Orange County Transportation Authority, Coach Operators Monthly Employee Cost for 2022

Prepared by:



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Materials Management
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**Public Risk Innovation, Solutions, and Management
Kaiser Permanente Health Plan, Inc.
Agreement No. C-5-3649 Fact Sheet**

1. October 12, 2015, Agreement No. C-5-3649, \$2,520,000, approved by the Board of Director (Board).
2. August 22, 2016, Amendment No. 1 to Agreement No. C-5-3649, \$2,500,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2017 through December 31, 2017.
3. August 28, 2017, Amendment No. 2 to Agreement No. C-5-3649, \$2,760,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2018 through December 31, 2018.
4. August 27, 2018, Amendment No. 3 to Agreement No. C-5-3649, \$11,986,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
5. August 26, 2019, Amendment No. 4 to Agreement No. C-5-3649, \$13,260,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
6. August 24, 2020, Amendment No. 5 to Agreement No. C-5-3649, \$13,112,500, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
7. August 23, 2021, Amendment No. 6 to Agreement No. C-5-3649, \$12,285,340, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for Kaiser Permanente Health Plan, Inc., Agreement No. C-5-3649, in the amount of \$58,423,840.

**Public Risk Innovation, Solutions, and Management
Anthem Blue Cross Health Maintenance Organization
Agreement No. C-5-3650 Fact Sheet**

1. October 12, 2015, Agreement No. C-5-3650, \$2,500,000, approved by the Board of Directors (Board).
2. August 22, 2016, Amendment No. 1 to Agreement No. C-5-3650, \$2,500,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2017 through December 31, 2017.
3. August 28, 2017, Amendment No. 2 to Agreement No. C-5-3650, \$2,100,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2018 through December 31, 2018.
4. August 27, 2018, Amendment No. 3 to Agreement No. C-5-3650, \$3,092,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
5. August 26, 2019, Amendment No. 4 to Agreement No. C-5-3650, \$2,350,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
6. August 24, 2020, Amendment No. 5 to Agreement No. C-5-3650, \$2,753,904, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
7. August 23, 2021, Amendment No. 6 to Agreement No. C-5-3650, \$2,669,360, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions and Management for Anthem Blue Cross Health Maintenance Organization, Agreement No. C-5-3650, in the amount of \$17,965,264.

**Public Risk Innovation, Solutions, and Management
Anthem Blue Cross Preferred Provider Organization
Agreement No. C-5-3651 Fact Sheet**

1. October 12, 2015, Agreement No. C-5-3651, \$1,700,000, approved by the Board of Directors (Board).
2. August 22, 2016, Amendment No. 1 to Agreement No. C-5-3651, \$1,300,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2017 through December 31, 2017.
3. August 28, 2017, Amendment No. 2 to Agreement No. C-5-3651, \$1,035,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2018 through December 31, 2018.
4. August 27, 2018, Amendment No. 3 to Agreement No. C-5-3651, \$1,432,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
5. August 26, 2019, Amendment No. 4 to Agreement No. C-5-3651, \$1,600,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
6. August 24, 2020, Amendment No. 5 to Agreement No. C-5-3651, \$1,717,656, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
7. August 23, 2021, Amendment No. 6 to Agreement No. C-5-3651, \$1,677,565, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for Anthem Blue Cross Preferred Provider Organization, Agreement No. C-5-3651, in the amount of \$10,462,221.

**Public Risk Innovation, Solutions, and Management
Anthem Blue Cross Consumer Driven Health Plan
Agreement No. C-5-3652 Fact Sheet**

1. October 12, 2015, Agreement No. C-5-3652, \$1,600,000, approved the by Board of Directors (Board).
2. August 22, 2016, Amendment No. 1 to Agreement No. C-5-3652, \$2,900,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2017 through December 31, 2017.
3. August 28, 2017, Amendment No. 2 to Agreement No. C-5-3652, \$2,160,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2018 through December 31, 2018.
4. August 27, 2018, Amendment No. 3 to Agreement No. C-5-3652, \$2,443,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
5. August 26, 2019, Amendment No. 4 to Agreement No. C-5-3652, \$2,420,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
6. August 24, 2020, Amendment No. 5 to Agreement No. C-5-3652, \$2,454,912, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
7. August 23, 2021, Amendment No. 6 to Agreement No. C-5-3652, \$2,367,132, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for Anthem Blue Cross Consumer Driven Health Plan, Agreement No. C-5-3652, in the amount of \$16,345,044.

**Public Risk Innovation, Solutions, and Management
Delta Dental Preferred Provider Organization
Agreement No. C-1-3670 Fact Sheet**

August 23, 2021, Agreement No. C-1-3670, \$1,266,010, pending approval by Board of Directors.

Total committed to Public Risk Innovation, Solutions, and Management for Delta Dental Preferred Provider Organization, Agreement No. C-1-3670, in the amount of \$1,266,010.

**Delta Dental Health Maintenance Organization for Coach Operators
Agreement No. C-1-2995 Fact Sheet**

1. October 7, 2011, Agreement No. C-1-2995, \$18,000, approved by the Board of Directors (Board).
2. October 22, 2012, Amendment No. 1 to Agreement No. C-1-2995, \$18,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2013 through December 31, 2013.
3. October 11, 2013, Amendment No. 2 to Agreement No. C-1-2995, \$15,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2014 through December 31, 2014.
4. October 13, 2014, Amendment No. 3 to Agreement No. C-1-2995, \$19,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2015 through December 31, 2015.
5. October 12, 2015, Amendment No. 4 to Agreement No. C-1-2995, \$19,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2016 through December 31, 2016.
6. August 22, 2016, Amendment No. 5 to Agreement No. C-1-2995, \$16,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2017 through December 31, 2017.
7. August 28, 2017, Amendment No. 6 to Agreement No. C-1-2995, \$15,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2018 through December 31, 2018.

8. April 23, 2018, Amendment No. 7 to Agreement No. C-1-2995, \$60,963, approved by the Board.
 - To provide dental services to include the coach operators beginning July 1, 2018 through December 31, 2018.
9. August 27, 2018, Amendment No. 8 to Agreement No. C-1-2995, \$51,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
10. August 26, 2019, Amendment No. 9 to Agreement No. C-1-2995, \$54,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
11. August 24, 2020, Amendment No. 10 to Agreement No. C-1-2995, \$57,069, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
12. August 23, 2021, Amendment No. 11 to Agreement No. C-1-2995, \$51,722, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Delta Dental Health Maintenance Organization for coach operators, Agreement No. C-1-2995, in the amount of \$394,754.

**Public Risk Innovation, Solutions, and Management
Delta Dental Health Maintenance Organization
Agreement No. C-1-3672 Fact Sheet**

August 23, 2021, Agreement No. C-1-3672, \$18,960, pending approval by Board of Directors.

Total committed to Public Risk Innovation, Solutions, and Management for Delta Dental Health Maintenance Organization, Agreement No. C-1-3672, the amount of \$18,960.

**Public Risk Innovation, Solutions, and Management
Vision Service Plan
Agreement No. C-1-3671 Fact Sheet**

August 23, 2021, Agreement No. C-1-3671, \$248,562, pending approval by Board of Directors.

Total committed to Public Risk Innovation, Solutions, and Management for Vision Service Plan, Agreement No. C-1-3671, the amount of \$248,562.

**Public Risk Innovation, Solutions, and Management
VOYA
Purchase Order No. C-7-1897 Fact Sheet**

1. August 28, 2017, Purchase Order No. C-7-1897, \$155,000, approved by the Board of Directors (Board).
 - Agreement to provide life and accidental death and dismemberment insurance.
 - Term of the agreement is effective January 1, 2018 through December 31, 2018.
2. April 23, 2018, Amendment No. 1 to Purchase Order No. C-7-1897, \$40,983, approved by the Board.
 - To provide life and accidental death and dismemberment insurance to include the coach operators beginning July 1, 2018 through December 31, 2018.
3. August 27, 2018, Amendment No. 2 to Purchase Order No. C-7-1897, \$232,203, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
4. August 26, 2019, Amendment No. 3 to Purchase Order No. C-7-1897, \$240,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
5. August 24, 2020, Amendment No. 4 to Purchase Order No. C-7-1897, \$264,242, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
6. August 23, 2021, Amendment No. 5 to Purchase Order No. C-7-1897, \$262,170, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for VOYA, Purchase Order No. C-7-1897, in the amount of \$1,194,598.

**Public Risk Innovation, Solutions, and Management
VOYA
Purchase Order No. C-7-1898 Fact Sheet**

1. August 28, 2017, Purchase Order No. C-7-1898, \$0.00, approved by the Board of Directors (Board).
 - Agreement to provide supplemental life insurance to employees at their own expense.
 - Term of the agreement is effective January 1, 2018 through December 31, 2018.
2. August 27, 2018, Amendment No. 1 to Purchase Order No. C-7-1898, \$0.00, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
3. August 26, 2019, Amendment No. 2 to Purchase Order No. C-7-1898, \$0.00, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
4. August 24, 2020, Amendment No. 3 to Purchase Order No. C-7-1898, \$0.00, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
5. August 23, 2021, Amendment No. 4 to Purchase Order No. C-7-1898, \$0.00, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for VOYA, Purchase Order No. C-7-1898, in the amount of \$0.00.

**Public Risk Innovation, Solutions, and Management
VOYA
Purchase Order No. C-7-1899 Fact Sheet**

1. August 28, 2017, Purchase Order No. C-7-1899, \$130,000, approved by the Board of Directors (Board).
 - Agreement to provide short-term and long-term disability insurance.
 - Term of the agreement is effective January 1, 2018 through December 31, 2018.
2. August 27, 2018, Amendment No. 1 to Purchase Order No. C-7-1899, \$130,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
3. August 26, 2019, Amendment No. 2 to Purchase Order No. C-7-1899, \$167,000, approved by the Board.
 - To continue services through December 31, 2019 and extend contract for period January 1, 2020 through December 31, 2020.
4. August 24, 2020, Amendment No. 3 to Purchase Order No. C-7-1899, \$160,631, approved by the Board.
 - To continue services through December 31, 2020 and extend contract for period January 1, 2021 through December 31, 2021.
5. August 23, 2021, Amendment No. 4 to Purchase Order No. C-7-1899, \$180,418, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for VOYA, Purchase Order No. C-7-1899, in the amount of \$768,049.

**Public Risk Innovation, Solutions, and Management
VOYA
Purchase Order No. C-7-1900 Fact Sheet**

1. August 28, 2017, Purchase Order No. C-7-1900, \$26,000, approved by the Board of Directors (Board).
 - Agreement to provide employee leave administration.
 - Term of the agreement is effective January 1, 2018 through December 31, 2018.
2. August 27, 2018, Amendment No. 1 to Purchase Order No. C-7-1900, \$26,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2019 through December 31, 2019.
3. August 26, 2019, Amendment No. 2 to Purchase Order No. C-7-1900, \$30,000, approved by the Board.
 - To continue services and extend contract for period January 1, 2020 through December 31, 2020.
4. August 24, 2020, Amendment No. 3 to Purchase Order No. C-7-1900, \$24,388, approved by the Board.
 - To continue services and extend contract for period January 1, 2021 through December 31, 2021.
5. August 23, 2021, Amendment No. 4 to Purchase Order No. C-7-1900, \$27,234, pending approval by the Board.
 - To continue services and extend contract for period January 1, 2022 through December 31, 2022.

Total committed to Public Risk Innovation, Solutions, and Management for VOYA, Purchase Order No. C-7-1900, in the amount of \$133,622.

ORANGE COUNTY TRANSPORTATION AUTHORITY

FINANCIAL OVERVIEW Effective January 1, 2022

PLAN/COVERAGE		Current Annual Cost	Renewal Annual Cost	% Δ
MEDICAL	EE's			
PRISM-Kaiser Admin/TCU HMO	189	\$3,080,232	\$2,974,022	-3.4%
PRISM-Kaiser Coach HMO	597	\$9,644,436	\$9,311,318	-3.5%
PRISM-Anthem HMO Admin/TCU	120	\$2,195,376	\$2,126,647	-3.1%
PRISM-Anthem HMO Coach	32	\$560,256	\$542,713	-3.1%
PRISM-Anthem PPO Admin/TCU	58	\$1,113,576	\$1,076,716	-3.3%
PRISM-Anthem PPO Coach	36	\$621,408	\$600,849	-3.3%
PRISM-Anthem CDHP Admin/TCU	139	\$2,448,132	\$2,367,132	-3.3%
DENTAL				
PRISM-Delta Admin/TCU DMO	53	\$17,460	\$17,460	0.0%
Direct-Delta Coach Operators DMO	157	\$51,722	\$51,722	0.0%
PRISM-Delta Admin/TCU DPO	452	\$718,691	\$700,583	-2.5%
PRISM-Delta Coach Operators DPO	486	\$580,079	\$565,427	-2.5%
VISION				
PRISM-VSP Vision Admin (Actives)	491	\$149,012	\$149,012	0.0%
PRISM-VSP Vision Coach Operators	613	\$99,550	\$99,550	0.0%
BASIC LIFE/AD&D				
PRISM-Voya Life/AD&D Admin	536	\$180,461	\$180,461	0.0%
PRISM-Voya Life/AD&D Coach	650	\$81,709	\$81,709	0.0%
SHORT TERM DISABILITY				
PRISM-Voya STD Admin	485	\$28,152	\$31,968	13.6%
LONG TERM DISABILITY				
PRISM-Voya LTD Admin	521	\$122,187	\$148,450	21.5%
FMLA Leave Management				
VOYA	1355	\$27,234	\$27,234	0.0%
TOTAL ANNUAL PREMIUM		\$21,719,674	\$21,052,973	
ANNUAL DOLLAR CHANGE			(\$666,701)	
ANNUAL PERCENT CHANGE			-3.1%	

Orange County Transportation Authority

Monthly Rate Comparison - 2022 vs. 2021

PLAN	COVERAGE	CURRENT	RENEWAL	\$ CHANGE
(PRISM) Kaiser - Coach HMO	Employee	\$661.00	\$638.00	(\$23.00)
	Employee + one	\$1,305.00	\$1,260.00	(\$45.00)
	Employee + family	\$1,839.00	\$1,776.00	(\$63.00)
(PRISM) Kaiser HMO	Employee	\$667.00	\$644.00	(\$23.00)
	Employee + one	\$1,400.00	\$1,352.00	(\$48.00)
	Employee + family	\$1,999.00	\$1,930.00	(\$69.00)
(PRISM) Anthem HMO	Employee	\$716.00	\$693.00	(\$23.00)
	Employee + one	\$1,504.00	\$1,457.00	(\$47.00)
	Employee + family	\$2,148.00	\$2,081.00	(\$67.00)
(PRISM) Anthem PPO	Employee	\$906.00	\$876.00	(\$30.00)
	Employee + one	\$1,900.00	\$1,838.00	(\$62.00)
	Employee + family	\$2,716.00	\$2,626.00	(\$90.00)
(PRISM) Anthem CDHP PPO	Employee	\$666.00	\$644.00	(\$22.00)
	Employee + one	\$1,399.00	\$1,353.00	(\$46.00)
	Employee + family	\$1,997.00	\$1,931.00	(\$66.00)
(PRISM) DeltaCare DHMO - Admin/TCU	Employee	\$16.80	\$16.80	\$0.00
	Employee + one	\$29.90	\$29.90	\$0.00
	Employee + family	\$43.80	\$43.80	\$0.00
(PRISM) Delta PPO - Admin/TCU	Employee	\$62.10	\$60.50	(\$1.60)
	Employee + one	\$131.10	\$127.80	(\$3.30)
	Employee + family	\$175.40	\$171.00	(\$4.40)
DeltaCare DHMO - Coach	Employee	\$14.43	\$14.43	\$0.00
	Employee + one	\$27.54	\$27.54	\$0.00
	Employee + family	\$42.91	\$42.91	\$0.00
(PRISM) Delta PPO - Coach	Employee	\$48.10	\$46.90	(\$1.20)
	Employee + one	\$86.20	\$84.00	(\$2.20)
	Employee + family	\$138.80	\$135.30	(\$3.50)
(PRISM) VSP - Admin/TCU	Employee	\$13.25	\$13.25	\$0.00
	Employee + one	\$24.50	\$24.50	\$0.00
	Employee + family	\$34.62	\$34.62	\$0.00
(PRISM) VSP - Coach	Employee	\$6.70	\$6.70	\$0.00
	Employee + one	\$12.00	\$12.00	\$0.00
	Employee + family	\$19.32	\$19.32	\$0.00

Orange County Transportation Authority Administrative & TCU Monthly Employee Cost for 2022

PLAN	COVERAGE	LESS THAN 10 YEARS OF SERVICE	
		COST SHARE %	MONTHLY COST
(PRISM) Kaiser HMO	Employee	10%	\$64.39
	Employee + one	15%	\$202.80
	Employee + family	15%	\$289.51
(PRISM) Anthem HMO	Employee	10%	\$69.29
	Employee + one	15%	\$218.55
	Employee + family	15%	\$312.15
(PRISM) Anthem PPO	Employee	15%	\$131.41
	Employee + one	20%	\$367.60
	Employee + family	20%	\$525.20
(PRISM) Anthem CDHP PPO	Employee	10%	\$64.39
	Employee + one	15%	\$202.95
	Employee + family	15%	\$289.64
(PRISM) DeltaCare DHMO	Employee	10%	\$1.69
	Employee + one	15%	\$4.49
	Employee + family	15%	\$6.57
(PRISM) Delta PPO	Employee	10%	\$6.05
	Employee + one	15%	\$19.18
	Employee + family	15%	\$25.65
(PRISM) VSP	Employee	10%	\$1.33
	Employee + one	15%	\$3.68
	Employee + family	15%	\$5.19

MORE THAN 10 YEARS OF SERVICE	
COST SHARE %	MONTHLY COST
0%	\$0.00
5%	\$67.60
5%	\$96.50
0%	\$0.00
5%	\$72.84
5%	\$104.04
7.5%	\$65.69
10%	\$183.80
10%	\$262.60
5%	\$32.20
7.5%	\$101.47
7.5%	\$144.82
10%	\$1.69
15%	\$4.49
15%	\$6.57
10%	\$6.05
15%	\$19.18
15%	\$25.65
10%	\$1.33
15%	\$3.68
15%	\$5.19

CDHP - Consumer Driven Health Plan
 DHMO - Dental Health Maintenance Organization
 HMO - Health Maintenance Organization
 PPO - Preferred Provider Organization
 PRISM - Public Risk Innovation, Solutions, and Management
 TCU - Transportation Communications International Union
 VSP - Vision Service Plan

Orange County Transportation Authority Coach Operators Monthly Employee Cost for 2022

PLAN	COVERAGE	COST SHARE %	MONTHLY COST*
(PRISM) Kaiser - Coach HMO	Employee	5%	\$31.89
	Employee + one	7%	\$88.21
	Employee + family	10%	\$177.60
(PRISM) Anthem HMO	Employee	5%	\$34.65
	Employee + one	7%	\$101.99
	Employee + family	10%	\$208.11
(PRISM) Anthem PPO	Employee	5%	\$43.81
	Employee + one	7%	\$128.66
	Employee + family	10%	\$262.60
DeltaCare DHMO	Employee	5%	\$0.72
	Employee + one	7%	\$1.93
	Employee + family	10%	\$4.29
(PRISM) Delta PPO - Coach	Employee	5%	\$2.34
	Employee + one	7%	\$5.87
	Employee + family	10%	\$13.52
(PRISM) VSP - Coach	Employee	5%	\$0.33
	Employee + one	7%	\$0.85
	Employee + family	10%	\$1.93

*Note: Cost share is in agreement with Teamsters Local 952 Union Collective Bargaining Agreement as negotiated.

CDHP - Consumer Driven Health Plan
DHMO - Dental Health Maintenance Organization
HMO - Health Maintenance Organization
PPO - Preferred Provider Organization
PRISM - Public Risk Innovation, Solutions, and Management
TCU - Transportation Communications International Union
VSP - Vision Service Plan

Agreements for Health Insurance Services

Background

Each year, OCTA renews employee benefits, including:

- Medical insurance
- Dental insurance
- Vision insurance
- Life insurance
- Accidental death and dismemberment insurance
- Disability insurance
- Employee leave administration

Goal: - Provide cost-sharing structure, manage utilization, educate employees to be better healthcare consumers, and implement a health risk management program.

Plan Participants:

- Administrative Employees
- Parts clerks and facility technicians represented by the Transportation Communications International Union
- Coach operators represented by Teamsters Local 952 Union

PRISM Overview

- In 2015 (plan year 2016), OCTA joined the California State Association of Counties – Excess Insurance Authority (CSAC-EIA), a medical purchasing program offered to counties, cities and special districts. In 2020, the organization rebranded their name to Public Risk Innovation, Solutions, and Management (PRISM).
- OCTA is one of 42 large group members in the PRISM pool, which includes approximately 40,000 employees.
- OCTA has benefited from renewal rates far below market trends since joining PRISM:
 - Prior to joining PRISM, OCTA's quoted renewal rates for 2016 were at double-digit increases.
 - OCTA shares risk with PRISM members and rates are renewed as a single pool.
 - PRISM is dedicated to controlling losses and providing effective risk management solutions.

Current Plan Offerings

Plans through PRISM:

- Medical Insurance (Anthem HMO, PPO and CDHP, and Kaiser)
- Dental Insurance (Delta Dental HMO & PPO)
- Vision Insurance (VSP Choice)
- Life Insurance (Voya)
- Accidental Death and Dismemberment Insurances (Voya)
- Short-term and Long-term Disability Insurance (Voya)
- Employee Protected Leave Administration (Voya)

Plan outside of PRISM Pool:

- Dental Insurance (Delta Dental HMO for Coach Operators)

CDHP – Consumer Driven Health Plan

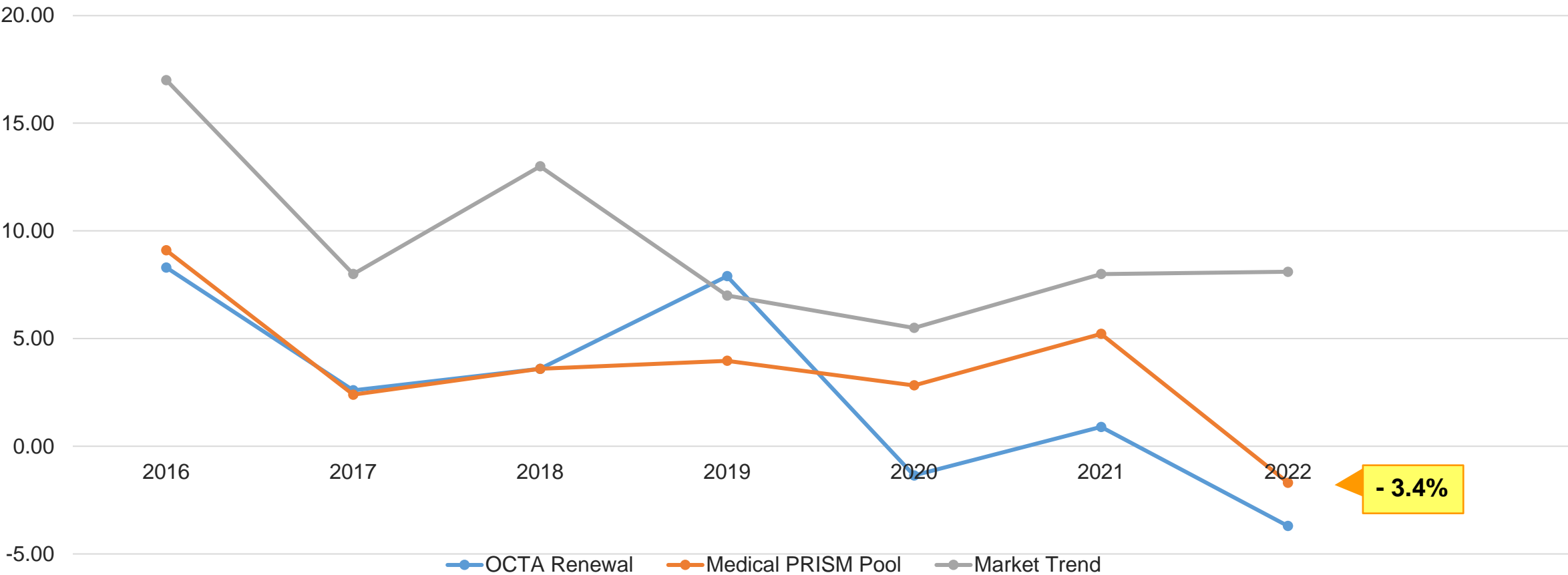
HMO – Health Maintenance Organization

PPO – Preferred Provider Organization

VSP – Vision Services Plan

OCTA Medical Plan Renewal Trends

OCTA vs. Medical PRISM Pool vs. Market Trend by Year



Financial Summary

ORANGE COUNTY TRANSPORTATION AUTHORITY				
FINANCIAL OVERVIEW, Effective January 1, 2022				
PLAN/COVERAGE		Current Annual Cost	Renewal Annual Cost	% Δ
MEDICAL	EE's			
PRISM-Kaiser Admin/TCU HMO	189	\$3,080,232	\$2,974,022	-3.4%
PRISM-Kaiser Coach HMO	597	\$9,644,436	\$9,311,318	-3.5%
PRISM-Anthem HMO Admin/TCU	120	\$2,195,376	\$2,126,647	-3.1%
PRISM-Anthem HMO Coach	32	\$560,256	\$542,713	-3.1%
PRISM-Anthem PPO Admin/TCU	58	\$1,113,576	\$1,076,716	-3.3%
PRISM-Anthem PPO Coach	36	\$621,408	\$600,849	-3.3%
PRISM-Anthem CDHP Admin/TCU	139	\$2,448,132	\$2,367,132	-3.3%
DENTAL				
PRISM-Delta Admin/TCU DMO	53	\$17,460	\$17,460	0.0%
Direct-Delta Coach Operators DMO	157	\$51,722	\$51,722	0.0%
PRISM-Delta Admin/TCU DPO	452	\$718,691	\$700,583	-2.5%
PRISM-Delta Coach Operators DPO	486	\$580,079	\$565,427	-2.5%
VISION				
PRISM-VSP Vision Admin (Actives)	491	\$149,012	\$149,012	0.0%
PRISM-VSP Vision Coach Operators	613	\$99,550	\$99,550	0.0%
BASIC LIFE/AD&D				
PRISM-Voya Life/AD&D Admin	536	\$180,461	\$180,461	0.0%
PRISM-Voya Life/AD&D Coach	650	\$81,709	\$81,709	0.0%
SHORT TERM DISABILITY				
PRISM-Voya STD Admin	485	\$28,152	\$31,968	13.6%
LONG TERM DISABILITY				
PRISM-Voya LTD Admin	521	\$122,187	\$148,450	21.5%
FMLA Leave Management				
VOYA	1355	\$27,234	\$27,234	0.0%
TOTAL ANNUAL PREMIUM		\$21,719,674	\$21,052,973	
ANNUAL DOLLAR CHANGE			(\$666,701)	
ANNUAL PERCENT CHANGE			-3.1%	

Recommendations

- Remain in PRISM to continue benefiting from lower than market renewal rates.
- Execute agreements to continue medical, dental, vision, life, accidental death and dismemberment and short-term and long-term disability insurances and employee protected leave administration with PRISM through December 31, 2022.
- Execute agreement to continue dental HMO insurance with Delta Dental for coach operators through December 31, 2022.