

**Comprehensive Transportation Funding Programs
March 2023 Semi-Annual Review Adjustment Request Descriptions**

Cancellations

Local agencies may request to cancel projects at any time for any reason. Cancelled projects are eligible to reapply upon resolution of the issues that led to the original project cancellation.

The City of Costa Mesa (Costa Mesa) is requesting a cancellation for the construction (CON) phase of the Placentia Avenue Stormwater Quality Improvement Project (20-CMSA-ECP-3980) due to construction bid costs coming in significantly higher than original project estimates.

During the March 2023 review cycle, the following cancellation requests were received pursuant to the Temporary Policy for Flexible Cancellation of Project Allocations to Reapply and Pre-Award Authority authorized in August 2022 by the Orange County Transportation Authority (OCTA) Board of Directors (Board).

The City of Santa Ana (Santa Ana) is requesting cancellations for the CON phase for the following four projects due to the unprecedented increase in construction costs above and beyond original project estimates. The request marks completion of all criteria by Santa Ana as required for the project reapplications submitted in the 2023 Comprehensive Transportation Funding Programs (CTFP) call for projects (call) to be eligible for programming consideration.

- The CON phase of the Bristol Street Improvements Phase 3A - Civic Center Drive to Washington Avenue (20-SNTA-ACE-3968).
- The CON phase of the Bristol Street Improvements Phase 4 - Warner Avenue to St. Andrew Place (20-SNTA-ACE-3969).
- The CON phase of the Warner Avenue Improvements (Oak Street to Grand Avenue) (21-SNTA-ACE-3997).
- The CON phase of the Bristol Street and Memory Lane Intersection Improvements (21-SNTA-ICE-3995).

The City of Yorba Linda (Yorba Linda) is requesting a cancellation for the CON phase of the Lakeview Avenue Widening from Bastanchury Road to Oriente Drive Project (21-YLND-ACE-3998) due to the unprecedented increase in construction costs above and beyond original project estimates. The request marks completion of all criteria by Yorba Linda as required for the project reapplication submitted in the 2023 CTFP call to be eligible for programming consideration.

Delays

Local agencies may request a one-time delay of up to 24 months to obligate funds. During the March 2023 semi-annual review cycle, the following delay requests were submitted.

OCTA, as administrative lead, is requesting a 24-month delay for the operations and maintenance (O&M) phase for the three projects below. Additional time is required due to unforeseen prolonged supply chain impacts exacerbated by the coronavirus (COVID-19) pandemic, which resulted in construction-related delays during the implementation (IMP) phase.

- The O&M phase of the Alton Parkway Regional Traffic Signal Synchronization Program Project (21-OCTA-TSP-4000).
- The O&M phase of the Portola Parkway/Santa Margarita Parkway Traffic Signal Synchronization Program Project (21-OCTA-TSP-4001).
- The O&M phase of the First Street/Bolsa Avenue Regional Traffic Signal Synchronization Program Project (21-OCTA-TSP-4002).

Santa Ana is requesting a 24-month delay for the two projects below. Additional time is required due to unforeseen funding coordination issues resulting from COVID-19 and staff turnover impacts.

- The CON phase of the Fairview Street Improvements (9th Street to 16th Street) (21-SNTA-ACE-3996).
- The ENG phase of the Fairview Street Improvements (Monte Carlo Drive to Trask Street) (22-SNTA-ACE-4020).

CTFP Timely-Use of Funds Extensions

CTFP funds expire 36 months from the contract award date. Local agencies may request an extension(s) of up to 24 months. During this semi-annual review cycle, the following CTFP timely-use of funds extension requests were submitted.

The City of Irvine is requesting a 24-month timely-use of funds extension for both the IMP and O&M phases of the Barranca Parkway Traffic Signal Synchronization Project (20-IRVN-TSP-3974), from May 2024 to May 2026 for the IMP phase and from June 2025 to June 2027 for the O&M phase. This request is due to unforeseen staffing and schedule coordination issues resulting from COVID-19 during the design phase. Additional time is also required due to construction-related delays from unforeseen supply chain impacts prolonged by COVID-19 and to allow sufficient time to finalize project closeout processes.

OCTA, as lead agency, is requesting a 24-month timely-use of funds extension for the IMP phase of the Red Hill Avenue Corridor Regional Traffic Signal Synchronization Program Project (19-OCTA-TSP-3939), from November 2023 to November 2025. This request is due to unforeseen construction-related delays from supply chain impacts prolonged by the pandemic.

Local Fair Share (LFS) Timely-Use of Funds Extensions

Once issued, LFS funds expire three years from the check issuance date. An extension may be granted but is limited to a total of five years from the date of disbursement. For review purposes, OCTA tracks expenditures based on the fiscal year¹ (FY) of receipt. Requests for extensions must be submitted prior to expiration and should be submitted as part of the semi-annual review process prior to the end of the second FY funds were disbursed. Local agencies may request an extension(s) of up to two years for Board consideration; however, OCTA will track Board-approved extensions in FY increments. During this semi-annual review cycle, the following timely-use of funds LFS extension requests were submitted:

The City of Brea (Brea) is requesting a two-year timely-use of funds extension of \$862,306. The funds being considered for extension were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension tracking deadlines provided in Attachment B. Brea has indicated these funds will be directed towards traffic signal improvements and citywide street rehabilitation improvements.

- \$168,049, from June 2023 to June 2025
- \$199,110, from June 2023 to June 2025
- \$146,299, from June 2023 to June 2025
- \$179,433, from June 2023 to June 2025
- \$169,415, from June 2023 to June 2025

The City of La Habra (La Habra) is requesting a two-year timely-use of funds extension for \$796,945. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B. La Habra has indicated these funds will be directed towards street rehabilitation and street alley improvements.

- \$154,516, from June 2023 to June 2025
- \$183,076, from June 2023 to June 2025
- \$134,518, from June 2023 to June 2025
- \$164,984, from June 2023 to June 2025
- \$159,851, from June 2023 to June 2025

¹ FY means July 1 through June 30. For example, funds received in March 2021, tracked by FY, should be spent by June 30, 2023.

The City of La Palma is requesting a two-year timely-use of funds extension for \$71,092. The funds being considered for extension were disbursed in FY 2019-20 and should be expended by the FY extension deadline provided in Attachment B. Note: as part of this adjustment, Board approval of a waiver is requested to the Measure M2 (M2) eligibility guidelines requirement that funds extensions must be submitted as part of the semi-annual review process prior to expiration. A waiver to the CTFP and M2 eligibility guidelines that a plan of expenditure be submitted as part of an LFS timely-use of funds extensions request is also requested.

- \$38,834, from June 2022 to June 2024
- \$32,258, from June 2022 to June 2024

The City of Los Alamitos is requesting a two-year timely-use of funds extension for \$111,052. The funds being considered for extension were disbursed in FY 2019-20 through three separate installments and should be expended by the FY extension deadline provided in Attachment B. Note: as part of this adjustment, Board approval of a waiver is requested to the M2 eligibility guidelines requirement that funds extensions must be submitted as part of the semi-annual review process prior to expiration. A waiver to the CTFP and M2 eligibility guidelines that a plan of expenditure be submitted as part of an LFS timely-use of funds extensions request is also requested.

- \$49,338, from June 2022 to June 2024
- \$33,711, from June 2022 to June 2024
- \$28,003, from June 2022 to June 2024

The City of Newport Beach (Newport Beach) is requesting a two-year timely use of funds extension for \$271,098. The funds being considered for extensions were disbursed in FY 2020-21 in one installment and should be expended by the FY extension deadline provided in Attachment B. Newport Beach has indicated these funds will be directed towards pavement rehabilitation.

- \$271,098, from June 2023 to June 2025

The City of Seal Beach (Seal Beach) is requesting a two-year timely-use of funds extension for \$430,791. The funds being considered for extension were disbursed in FY 2019-20 through six separate installments and should be expended by the extension deadline provided in Attachment B. Note: as part of this adjustment, Board approval of a waiver is requested to the M2 eligibility guidelines requirement that funds extensions must be submitted as part of the semi-annual review process prior to expiration. A waiver to the CTFP and M2 eligibility guidelines that a plan of expenditure be submitted as part of an LFS timely-use of funds extensions request is also requested.

- \$80,266, from June 2022 to June 2024
- \$77,875, from June 2022 to June 2024
- \$69,118, from June 2022 to June 2024
- \$90,425, from June 2022 to June 2024

- \$61,784, from June 2022 to June 2024
- \$51,322, from June 2022 to June 2024

Seal Beach is also requesting a two-year timely-use of funds extension for \$353,895. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B. Seal Beach has indicated these funds will be directed towards bicycle lane improvements.

- \$68,968, from June 2023 to June 2025
- \$81,716, from June 2023 to June 2025
- \$60,042, from June 2023 to June 2025
- \$73,640, from June 2023 to June 2025
- \$69,529, from June 2023 to June 2025

Yorba Linda is requesting a two-year timely-use of funds extension for \$911,886. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B. Yorba Linda has indicated these funds will be directed towards traffic signal improvements and street rehabilitation.

- \$177,711, from June 2023 to June 2025
- \$210,558, from June 2023 to June 2025
- \$154,711, from June 2023 to June 2025
- \$189,750, from June 2023 to June 2025
- \$179,156, from June 2023 to June 2025

Senior Mobility Program (SMP) Timely-Use of Funds Extensions

Once issued, SMP funds expire 36 months from the check issuance date. Local agencies may request an extension(s) of up to 24 months. During this semi-annual review cycle, the following timely-use of funds SMP extension requests were submitted:

The City of Anaheim (Anaheim) is requesting a 24-month timely-use of funds extension for \$240,933. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$43,436, from June 2023 to June 2025
- \$56,641, from June 2023 to June 2025
- \$41,618, from June 2023 to June 2025
- \$51,044, from June 2023 to June 2025
- \$48,194, from June 2023 to June 2025

The City of Cypress is requesting a 24-month timely-use of funds extension for \$46,958. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$9,151, from June 2023 to June 2025
- \$10,843, from June 2023 to June 2025
- \$7,967, from June 2023 to June 2025
- \$9,771, from June 2023 to June 2025
- \$9,226, from June 2023 to June 2025

The City of Dana Point (Dana Point) is requesting a 24-month timely-use of funds extension for \$8,735. The funds being considered for extension were disbursed in FY 2020-21 as one installment and should be expended by the FY extension deadline provided in Attachment B.

- \$8,735, from June 2023 to June 2025

The City of Fullerton (Fullerton) is requesting a 24-month timely-use of funds extension for \$118,238. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$23,043, from June 2023 to June 2025
- \$27,302, from June 2023 to June 2025
- \$20,060, from June 2023 to June 2025
- \$24,604, from June 2023 to June 2025
- \$23,230, from June 2023 to June 2025

The City of Garden Grove is requesting a 24-month timely use of funds extension for \$131,172. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$17,195, from June 2023 to June 2025
- \$32,688, from June 2023 to June 2025
- \$24,018, from June 2023 to June 2025
- \$29,458, from June 2023 to June 2025
- \$27,813, from June 2023 to June 2025

The City of Laguna Hills is requesting a 24-month timely use of funds extension for \$31,910. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$6,219, from June 2023 to June 2025

- \$7,368, from June 2023 to June 2025
- \$5,414, from June 2023 to June 2025
- \$6,640, from June 2023 to June 2025
- \$6,269, from June 2023 to June 2025

The City of Laguna Niguel is requesting a 24-month timely-use of funds extension for \$80,882. The funds being considered for extension were disbursed in FY 2019-20 through six separate installments and should be expended by the FY extension deadlines provided in Attachment B. Note: as part of this adjustment, Board approval is requested of a waiver to the SMP guidelines requirement that participating cities and eligible agencies requesting an extension beyond the three-year limitation must submit a justification letter for review and approval by OCTA at least 90 days prior to the end of the third FY.

- \$15,070, from June 2022 to June 2024
- \$14,621, from June 2022 to June 2024
- \$12,977, from June 2022 to June 2024
- \$16,977, from June 2022 to June 2024
- \$11,600, from June 2022 to June 2024
- \$9,636, from June 2022 to June 2024

The City of Lake Forest is requesting a 24-month timely-use of funds extension for \$34,915. The funds being considered for extensions were disbursed in FY 2020-21 through three separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$10,316, from June 2023 to June 2025
- \$12,653, from June 2023 to June 2025
- \$11,946, from June 2023 to June 2025

The City of Mission Viejo (Mission Viejo) is requesting a 24-month timely-use of funds extension for \$105,282. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$20,518, from June 2023 to June 2025
- \$24,310, from June 2023 to June 2025
- \$17,862, from June 2023 to June 2025
- \$21,908, from June 2023 to June 2025
- \$20,684, from June 2023 to June 2025

The City of Orange (Orange) is requesting a 24-month timely-use of funds extension for \$137,073. The funds being considered for extension were disbursed in FY 2019-20 through six separate installments and should be expended by the FY extension deadlines provided in Attachment B. Note: as part of this adjustment, Board approval is requested of a waiver to the SMP guidelines requirement that participating cities and eligible

agencies requesting an extension beyond the three-year limitation must submit a justification letter for review and approval by OCTA at least 90 days prior to the end of the third FY.

- \$25,540, from June 2022 to June 2024
- \$24,779, from June 2022 to June 2024
- \$21,993, from June 2022 to June 2024
- \$28,772, from June 2022 to June 2024
- \$19,659, from June 2022 to June 2024
- \$16,330, from June 2022 to June 2024

Orange is also requesting a 24-month timely-use of funds extension for \$113,533. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$22,178, from June 2023 to June 2025
- \$26,278, from June 2023 to June 2025
- \$19,038, from June 2023 to June 2025
- \$23,681, from June 2023 to June 2025
- \$22,359, from June 2023 to June 2025

The City of Placentia (Placentia) is requesting a 24-month timely-use of funds extension for \$59,016. The funds being considered for extension were disbursed in FY 2019-20 through six separate installments and should be expended by the FY extension deadlines provided in Attachment B. Note: as part of this adjustment, Board approval is requested of a waiver to the SMP guidelines requirement that participating cities and eligible agencies requesting an extension beyond the three-year limitation must submit a justification letter for review and approval by OCTA at least 90 days prior to the end of the third FY.

- \$10,996, from June 2022 to June 2024
- \$10,668, from June 2022 to June 2024
- \$9,469, from June 2022 to June 2024
- \$12,388, from June 2022 to June 2024
- \$8,464, from June 2022 to June 2024
- \$7,031, from June 2022 to June 2024

Placentia is also requesting a 24-month timely-use of funds extension for \$9,549. The funds being considered for extension were disbursed in FY 2020-21 as one installment and should be expended by the FY extension deadline provided in Attachment B.

- \$9,549, from June 2023 to June 2025

The City of Rancho Santa Margarita (Rancho Santa Margarita) is requesting a 24-month timely-use of funds extension for \$430,791. The funds being considered for extension were disbursed in FY 2019-20 through six separate installments and should be expended by the FY extension deadlines provided in Attachment B. Note: as part of this adjustment, Board approval is requested of a waiver to the SMP guidelines requirement that participating cities and eligible agencies requesting an extension beyond the three-year limitation must submit a justification letter for review and approval by OCTA at least 90 days prior to the end of the third FY.

- \$5,417, from June 2022 to June 2024
- \$5,256, from June 2022 to June 2024
- \$4,665, from June 2022 to June 2024
- \$6,103, from June 2022 to June 2024
- \$4,170, from June 2022 to June 2024
- \$3,464, from June 2022 to June 2024

Rancho Santa Margarita is also requesting a 24-month timely-use of funds extension for \$24,140. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$4,704, from June 2023 to June 2025
- \$5,574, from June 2023 to June 2025
- \$4,096, from June 2023 to June 2025
- \$5,023, from June 2023 to June 2025
- \$4,743, from June 2023 to June 2025

The City of San Clemente is requesting a 24-month timely-use of funds extension for \$65,568. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$12,778, from June 2023 to June 2025
- \$15,140, from June 2023 to June 2025
- \$11,124, from June 2023 to June 2025
- \$13,644, from June 2023 to June 2025
- \$12,882, from June 2023 to June 2025

Santa Ana is requesting a 24-month timely-use of funds extension for \$174,544. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$34,016, from June 2023 to June 2025
- \$40,303, from June 2023 to June 2025
- \$29,613, from June 2023 to June 2025

- \$36,320, from June 2023 to June 2025
- \$34,292, from June 2023 to June 2025

The City of Villa Park is requesting a 24-month timely-use of funds extension for \$10,007. The funds being considered for extensions were disbursed in FY 2020-21 through five separate installments and should be expended by the FY extension deadlines provided in Attachment B.

- \$1,950, from June 2023 to June 2025
- \$2,311, from June 2023 to June 2025
- \$1,698, from June 2023 to June 2025
- \$2,082, from June 2023 to June 2025
- \$1,966, from June 2023 to June 2025

Scope Changes

Agencies may request minor scope changes for CTFP projects if they can assure that project benefits as committed to in the initial application can still be delivered. During this semi-annual review cycle, the following scope change requests were submitted.

Costa Mesa is requesting a scope change for the IMP phase of the Baker/Victoria/19th Traffic Signal Synchronization Program Project (20-CMSA-TSP-3972), due to relocation of equipment installations.

Dana Point is requesting a scope change for the Dana Point Pacific Coast Highway Trolley Service (16-DPNT-CBT-3823) to include the lease buyout of a fifth trolley utilized for existing M2-funded services through the Community-Based Transit Circulators Program (Project V).

Fullerton is requesting a scope change for the IMP phase of the Orangethorpe Avenue/Esperanza Road Corridor Regional Traffic Signal Synchronization Program Project (18-FULL-TSP-3896). The scope change includes the addition of communication switches, other peripheral equipment, and central system intersection licenses, as well as modifications to quantities and/or location of equipment installations.

Fullerton is also requesting a scope change for the IMP phase of the Harbor Boulevard Corridor Project (19-FULL-TSP-3936). The scope change includes the addition of communication switches and other peripheral equipment, as well as modifications to quantities and/or location of equipment installations.

The City of Huntington Beach is requesting a scope change for the O&M phase of the Huntington Beach Southeast Rideshare Pilot Program (20-HBCH-CBT-3960). The scope change includes a service area modification for coverage in the southwesterly section of city limits.

La Habra is requesting a scope change for the IMP phase of the Lambert Road Corridor Regional Traffic Signal Synchronization Program Project (20-LHAB-TSP-3975). The

scope change includes the addition of gigabit switches, new traffic signal service enclosures, as well as modifications to quantities and/or location of equipment installations.

Mission Viejo is requesting a scope change for the CON phase of the Trash and Runoff Abatement Project: Northerly Area Project (22-MVJO-ECP-4029). The scope change includes increasing the number of connector pipe screens (CPS) from 33 CPS to 34 CPS and replacing two catch basin locations receiving installations with three alternate locations within the same project limits due to concerns raised during the field inspection.

Newport Beach is requesting a scope change for the planning study phase of the Balboa Island/Corona Del Mar Microtransit Feasibility Study (20-NBCH-CBT-3956), due to city council interest in expanding the study area for future community-based transit.

OCTA, as administrative lead for the Garden Grove Boulevard Traffic Signal Synchronization Program Project (Valley View Street - Bristol Street) (18-OCTA-TSP-3897), is requesting a scope change to the IMP phase with several components, which includes the removal of equipment that is no longer necessary, modifications to improvement locations, increased conduit quantities, added rewiring at various locations, and the addition of a video detection system, accessible pedestrian system, and other equipment cables. These modifications emerged during the design process and field review and are requested in order to facilitate project completion and utilize project cost savings to enhance overall project benefits.

OCTA, as administrative lead for the Aliso Creek Road Traffic Signal Synchronization Program Project (19-OCTA-TSP-3941), is requesting a scope change to the IMP phase with several components, which includes the removal of equipment that is no longer necessary, modifications to improvement locations, and the addition of network operations equipment. These modifications emerged during the design process and are requested in order to facilitate project completion and utilize project cost savings to enhance overall project benefits, as well as cover price increases in the cost of equipment and materials due to supply chain impacts prolonged by the pandemic.

Transfers

The CTFP guidelines allow agencies to request to transfer up to 100 percent of savings of funds between subsequent phases or years within a project. Funds can only be transferred to a phase or year that has already been awarded competitive funds. Such requests must be made prior to the acceptance of a final report and submitted as part of the semi-annual review process. During this review cycle, the following transfer requests were submitted due to the need to utilize project savings.

Anaheim is requesting a transfer for the Lincoln Avenue Widening from East Street to Evergreen Street Project (17-ANAH-ACE-3860). The request is to transfer project savings in an amount to be determined from the right-of-way phase to the subsequent CON phase (22-ANAH-ACE-4014).

Dana Point is requesting a transfer for the Summer Weekend Trolley/Harbor Shuttle Service (14-DPNT-CBT-3742). The transfer includes FY 2022-23 project savings of \$70,516 from the capital phase to the O&M phase.

OCTA, as administrative lead for the Los Alisos Boulevard Route Project (18-OCTA-TSP-3905), is requesting a transfer. The request is to transfer project savings of \$2,342 from the IMP phase to the O&M phase.