



**August 11, 2021**

**To:** Finance and Administration Committee

**From:** Darrell E. Johnson, Chief Executive Officer

**Subject:** Fiscal Year 2020-21 Fourth Quarter Grant Reimbursement Status Report

### **Overview**

The Quarterly Grant Reimbursement Status Report summarizes grant activities for the Orange County Transportation Authority Board of Directors. This report focuses on activity for the fourth quarter of fiscal year 2020-21, covering April through June 2021.

### **Recommendation**

Receive and file as an information item.

### **Discussion**

The Orange County Transportation Authority (OCTA) has secured grant funding from federal and state grant agencies to deliver programs, projects, and services to improve mobility in Orange County. The use of these funds is consistent with the capital programming policies approved by the OCTA Board of Directors (Board). The Quarterly Grant Reimbursement Status Report summarizes current, pending close-out, and closed grant agreements.

Awarded/Executed Grant Agreements:

OCTA executed one competitive grant during the Fourth Quarter, which is summarized below.

OCTA executed a grant agreement with the Federal Transit Administration (FTA) to secure \$1.2 million in FTA Section 5339 funds. The funds will be used to ensure OCTA's bus facilities maintain a state of good repair. Expenditures include heating, ventilation, and air conditioner replacements, and rehabilitation of bus wash and steam cleaning areas to maintain the bus fleet.

**Current Grant Agreements:**

OCTA's FTA formula grant agreements total 12 and FTA discretionary grant agreements total five.

The 12 FTA formula grant agreements have a total federal amount of \$412 million. A total of \$132.3 million has been reimbursed, leaving a balance of approximately \$279.7 million. The balance of these FTA formula grant agreements will primarily fund the OC Streetcar Project, fixed-route bus procurements, and rail rehabilitation projects.

The FTA discretionary grant agreements have a total federal amount of \$164.1 million. This includes the full funding grant agreement award of \$148.9 million. A total of \$56.6 million has been reimbursed, leaving a balance of \$107.5 million. The balance of these FTA discretionary grant agreements will also primarily fund the OC Streetcar Project in addition to slope stabilization improvements on the railroad right-of-way from the City of Laguna Niguel to the City of Lake Forest.

The total FTA formula and discretionary grant agreements amount to \$576.2 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$188.9 million, leaving a balance of \$387.3 million. These grant agreements are summarized in Attachment A.

OCTA has 27 active state and Federal Highway Administration formula grant agreements that total \$80.6 million. The total amount reimbursed through the fourth quarter under these grant agreements is \$39.5 million, leaving a balance of \$41.1 million, and summarized in Attachment B.

In addition, OCTA has 12 active state discretionary grant agreements totaling approximately \$34.5 million. A total of \$15.7 million of these discretionary grant agreements has been reimbursed, leaving a balance of \$18.8 million. These discretionary grant agreements are summarized in Attachment C.

This report activity is as of June 30, 2021, and any grants with a deadline between the quarter end and the date this report is published will be fully reimbursed and closed out. This activity will be reflected in next quarter's report. Additionally, for these grants, staff anticipates each of the remaining balances to be fully reimbursed prior to the grant agreement expiration date.

**Grant Agreements Pending Close-Out:**

There are three grant agreements totaling \$11.9 million that are pending close-out in the fourth quarter of fiscal year (FY) 2020-21. All invoices for these grant agreements have been submitted to their external funding agency for reimbursement. OCTA is pending approval of close-out documentation. These grant agreements are summarized in Attachment D.

**Closed Grant Agreements:**

There are seven grant agreements totaling \$180.1 million that were closed out in the fourth quarter of FY 2020-21. These grant agreements are summarized in Attachment E.

***Summary***

This report provides an update of the grant agreement-funded activities for the fourth quarter of FY 2020-21, April through June 2021. Staff recommends this report be received and filed as an information item.

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***Attachments***

- A. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Federal Transit Administration Formula and Discretionary Grant Agreements
- B. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, State and Federal Highway Administration Formula Grant Agreements
- C. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, State Discretionary Grant Agreements
- D. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Grant Agreements Pending Close-out
- E. Fourth Quarter Grant Reimbursement Status Report, April through June 2021, Closed Grant Agreements

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