



November 25, 2019

To: Members of the Board of Directors

From: Darrell E. Johnson, Chief Executive Officer

Subject: Conflict of Interest Code and 2019 Annual Statement of Economic Interests Filing

Overview

Pursuant to the Orange County Transportation Authority's Conflict of Interest Code, Members of the Board of Directors and designated positions are required to file a Statement of Economic Interests - Form 700.

Recommendation

Direct the Clerk of the Board to distribute and monitor the 2019 annual Statement of Economic Interests - Form 700 to Members of the Board of Directors and designated positions, to be filed by April 1, 2020.

Background

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to review its designated positions and disclosure categories to determine accuracy to date.

Discussion

The Orange County Transportation Authority's (OCTA) Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, and Chief Financial Officer/Treasurer are required to file an annual Statement of Economic Interests - Form 700 (Form 700) with the County of Orange Clerk of the Board of Supervisors (Attachment A).

OCTA's other designated positions are required to file a Form 700 with OCTA's Clerk of the Board (Attachment B). The Human Resources and Organizational Development Division and OCTA's General Counsel reviewed and made changes, as needed, to the designated positions list and standard disclosure categories (Attachment C).

OCTA's Clerk of the Board shall forward the electronic or original Form 700s of the Members of the Board of Directors, Chief Executive Officer, Deputy Chief Executive Officer, and Chief Financial Officer/Treasurer to the County of Orange Clerk of the Board of Supervisors.

OCTA's Clerk of the Board shall retain the electronic or original Form 700s submitted by all other designated positions. The California Fair Political Practices Commission's filing deadline for the 2019 annual Form 700 statement is April 1, 2020.

Summary

The Board of Directors annually reviews and approves the list of designated positions and disclosure categories subject to OCTA's Conflict of Interest Code.

Attachments

- A. Conflict of Interest Code for the Orange County Transportation Authority (November 25, 2019)
- B. Orange County Transportation Authority Designated Positions and Disclosure Category (November 25, 2019)
- C. Orange County Transportation Authority Disclosure Categories (November 25, 2019)

Prepared by:



Laurena Weinert
Clerk of the Board
(714) 560-5676

Approved by:



Kenneth Phipps
Deputy Chief Executive Officer
(714) 560-5637