

**California Department of Transportation
Cooperative Agreement No. C-3-1504 Fact Sheet**

1. March 11, 2013, Cooperative Agreement No. C-3-1504, \$4,390,000, approved by the Board of Directors (Board).
 - To define the roles and responsibilities of the California Department of Transportation (Caltrans) and Orange County Transportation Authority (OCTA) for the Interstate 5 high-occupancy vehicle improvement project between Pacific Coast Highway and San Juan Creek Road (Project).
 - OCTA's maximum obligation toward construction support is \$3,000,000 in Measure M2 (M2) funds and \$1,390,000 in Congestion Mitigation Air Quality (CMAQ) funds.

2. April 22, 2013, Amendment No. 1 to Cooperative Agreement No. C-3-1504, \$52,272,000, approved by the Board.
 - To add funding for construction capital.
 - Caltrans to reimburse OCTA for field office related expenses, in the maximum amount of \$500,000.
 - Caltrans to reimburse OCTA for public outreach efforts, in the maximum amount of \$280,000.

3. April 30, 2014, Funding Summary No. 2 to Cooperative Agreement No. C-3-1504, (-\$6,232,000), approved by Contracts Administration and Materials Management Department.
 - To reduce total cooperative agreement amount by \$6,232,000.
 - To transfer federal funds from construction capital to construction support to reflect market average hourly billing rates for support personnel.

4. October 12, 2015, Amendment No. 2 to Cooperative Agreement No. C-3-1504, \$5,800,000, approved by the Board.
 - To add supplemental funding for construction capital costs, time-related overhead, fixed costs, and construction management team costs for Project.

5. May 9, 2016, Amendment No. 3 to Cooperative Agreement No. C-3-1504, \$6,824,500, approved by the Board.
 - To reduce CMAQ funding and increase M2 funding for construction capital costs, time-related overhead, fixed costs, and construction management team costs for Project.

6. December 9, 2019, Amendment No. 4 to Cooperative Agreement No. C-3-1504, \$900,000, pending Board approval.
 - To increase supplemental construction capital funding with M2 funds for balance of funds owed to construction contractor.

Total committed to Caltrans after approval of Amendment No. 4 to Cooperative Agreement No. C-3-1504: \$63,954,500. OCTA's maximum obligation under this cooperative agreement will be \$33,423,500.